

# The Compliance Management System that reduces time, cost and risk for community financial institutions.



Here's the smarter way to manage regulatory compliance. Continuity Control is the only complete compliance management system for community financial institutions. It automates and integrates all the regulatory management activities that have to be done, and puts them into one simple software tool.

Having everything in the same place makes it much easier to stay up to date with regulations, quickly update your processes, and distribute work to all the right people.

An easier, cost-effective way to keep up with regulatory compliance.

Experience the peace of mind that comes with knowing your institution can automatically stay on top of regulatory changes. Continuity makes it simpler to manage every task in the entire regulatory lifecycle. All your policies, procedures and programs are in one, unified system. All activities become more transparent, controllable, accurate and efficient. You know where each compliance program is – who's doing what – at any point in time.

*Continuity Control provides a unified system that automates the entire regulatory lifecycle – our customers say there's no simpler way to manage regulatory changes.*



[www.continuity.net](http://www.continuity.net)

## Proven Value

Over 150 community institutions use Continuity Control. Here are some of their results:

- **65%** reduction in compliance costs
- **\$90K** annual savings in staff additions
- **\$18K** savings in audit costs
- **2-day** savings in prep time for each board meeting
- **160 hours** per year exam time savings

## The demanding pace of compliance requires a new way.

Over the last year, regulators issued more than 200 new regulations detailed in over 16,000 pages of text – a volume financial institutions can't possibly process manually. Through the harshest regulatory climate in history, examiners are also requiring institutions to have a standardized compliance system to manage ever-growing risk and complexity.

Continuity Control built its system to change at the speed of regulation. We've engineered a compliance management system that's always adapting – immediately plugging into every new regulatory change being issued, and then automating all the activities needed to comply with each new ruling.

## The perfect combination of smart technology, process and people.

Continuity knew we needed to combine breakthrough innovations in technology and process, and integrate them with a team of world-class compliance experts to drastically reduce the regulatory workload and its adverse impact on an institution's business. Our solution is engineered to drive time, cost and risk from your compliance programs by systematically capturing regulatory changes, distributing the work, and monitoring progress. The cornerstones to our solution include:

**Technology Platform** Built to serve as your regulatory management framework for decades to come. All the regulatory management data lives in a single system that will quickly change to meet each new regulatory issuance. No matter how intense the regulatory environment becomes, this platform will help keep matters simple for the compliance officer and everyone – from the board room to the teller line – that is impacted by regulatory requirements.

**Integration Engine** In today's ever changing world, your compliance management system needs to be "smart" to deal with all the factors driving regulatory realities. Our Integration Engine links to the Code of Federal Regulations and various agencies for regulatory updates. It can also be connected to critical data sources, as well as your internal systems, via a simple API that allows computers to talk with each other. This is a smarter way of managing compliance.

**Regulatory Operations Center (ROC)** The continuous updates coming from Washington, coupled with challenges in the marketplace, have turned managing compliance into a losing battle. To have an advantage in winning this war calls for a command center – one staffed with experts fighting for you, hardened processes and a plan for any scenario that leaves you well prepared. This is our Regulatory Operations Center. The ROC is your first line of defense against compliance complexity, determining which changes affect your organization, providing expert analysis and developing a plan of action to level that battle field.

## See an online tour.

Attend a (re)think webinar today to see an online tour of the Continuity Control compliance management system. Go to [www.continuity.net/rethink](http://www.continuity.net/rethink).

**Questions?** Give us a call at 888.932.6759 (888.WE.CMPLY)



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## Exceptional Benefits

At Continuity Control our mission is to take on the growing compliance complexity in a different way. Through our compliance management system, a tool has been created that is focused on reducing the regulatory impact, bringing quantifiable benefits to community financial institutions.

- **Simplified Exams**

Standardized, consistently organized compliance programs in a single system are easier for financial institutions to manage and for examiners to review.

- **Reduced Risk**

By simplifying regulatory updates, Continuity reduces risk by ensuring that nothing is overlooked or falls through the cracks.

- **Reduced Cost**

As a comprehensive solution, Continuity reduces staff time and energy, as well as cuts hard costs in audit and technology point solutions to reduce costs.

- **Visibility and Transparency**

Continuity puts all information related to regulatory compliance in one single system so you clearly know where things are, who's been assigned which task, and if it's been completed.

- **Plan for the Future**

With Continuity acting as your partner for change, you'll be confident you are able to manage the growing volume and rate of regulatory change now and in the years ahead letting you keep your focus on the communities you serve.

