



# Data Management Review Awards 2016

FROM **A-TEAM**GROUP



## DMR Awards 2016 Winners' Report

# A-TEAMGROUP

Call **020 8090 2055**

As a marketing or business manager, you know you need **content marketing** if you're going to **succeed in attracting and engaging** with today's **senior executives at financial institutions**.

But do you:

- Struggle to find time to create content consistently?
- Find it hard to think of fresh topics to write about?
- Lack the capacity to generate blogs, run or moderate webinars, seminars or events or other valuable content?
- Fail to generate enough leads or sales conversions from your marketing efforts?

You're not alone. While 93% of marketers use content marketing today, their top two challenges are a lack of time (69%) and producing enough content (55%)\*

Come to the content experts at **A-Team Group**.

**A-Team Group** has, since 2001, been delivering distinguished content based on in-depth domain expertise on behalf of financial technology and data vendors. Run by **experienced fintech journalists**, we thrive on taking complex business and technology topics and turning them into compelling content assets to drive **lead generation** and **prospect nurturing** with a measurable ROI in the financial technology industry.

Whether you just need **support with content** such as white papers or to manage a **webinar**, or if you want the **full service content marketing strategy and execution**, A-Team Group has the experience, knowledge and content know-how to help you succeed.

*\* Source: Survey of 1,217 respondents across a range of industries, functional areas and company sizes, by Content Marketing Institute, MarketingProfs and Brightcove.*

For a **free consultation** or to ask any questions, give us a call **020 8090 2055** or email **marketing@a-teamgroup.com**

# About the DMR Awards



Congratulations to all our winners of the fourth annual Data Management Review Awards 2016. It was wonderful to celebrate their achievements with everyone at a luncheon in the glorious Merchant Taylor's Hall in London in November.

We were all entertained over lunch by another high achiever in the form of guest speaker Mark Foster, eight time World Record holder in swimming, who inspired us with a talk about the dedication and sheer hard work it takes to achieve brilliance.

The DMR Awards were created to recognise the hard work and brilliance across the many vendors within the financial data management arena.

Our editorial team, with input and advice from our advisory board members, put together the categories and nominees we believe most accurately reflect the key priorities within the business of data management today – spanning EDM platforms to applications to data providers to data quality initiatives and more. We then opened up voting to our Data Management Review readership – receiving over 500 votes from practitioners at financial institutions.

Thanks to all our readers who took the time to vote, and of course to our advisory board for their invaluable contribution to our DMR Awards.

Angela Wilbraham  
Chief Executive Officer  
A-Team Group

I'd personally like to thank our advisory board for their time and valuable input. Thank you to DMS Advisory Board Members:

- Sue Baldwin, Head of 3rd Party Oversight, JP Morgan Chase
- Tom Dalglish, Senior Integration & Data Manager, HSBC
- Colin Gibson, Director, Architecture, Willis
- Neville Homer, Head of RWA Reference Data, RWA & Regulatory Reporting, RBS
- Igor Lobanov, Head of Systems Architecture, Legal & General IM
- Diarmuid O'Donovan, Chief Data Officer, Legal & General
- Martin Mitchell, Chief Data Architect, M&G Investments
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- Julia Sutton, Group Customer Data Management, HSBC
- Sean Taylor, Executive Director, Canaccord Genuity Group
- Richard Thomas, Data Governance Manager, Invesco
- Colin Ware, Industry & Regulatory Engagement Group Data Systems and Insights Office, Barclays





# ENTERPRISE DATA MANAGEMENT IS ABOUT END-TO-END PROCESSES

Today, buy-side firms must focus on cost, efficiency, regulation, but also on agility, as they look to expand into new asset classes to find new sources of growth. Classical approaches to data management are no longer the right answer to address these needs and challenges.

Data management needs to be put into a context and cannot be seen as an independent function to achieve efficiency and cost reduction. It is about empowering firms to view and manage the full end-to-end processes.

Back in 2011, we decided to build workflows blueprints by looking for patterns amongst our 100+ clients. Seeing similar needs for processing reference data, prices or corporate actions data, we captured the functionalities to create 'business applications'. Each application brings domain-rich features, is easily configurable by end-users, and sits on top of a renewed modern technology platform, **GAIN EDM**.

Today, AIM Software's business applications empower business and data operations professionals of many of the world's leading buy-side organizations to address today's complex challenges.

Learn more at [www.aimsoftware.com](http://www.aimsoftware.com)



# Gold Sponsor

## AIM Software

We have radically changed how data management should be approached in the industry, and this award further confirms that AIM's approach is a major differentiator. We are particularly thankful to the Data Management Review readers – which include many of our clients and partners – who voted for us.

Today, buy-side firms must focus on cost, efficiency, regulation, but also on agility, as they look to expand into new asset classes to find new sources of growth. Classical approaches to data management are no longer the right answer to address these needs and challenges. It is widely accepted that platforms that employ Toolkit approaches to data management projects tend to rapidly spiral out of control, requiring significant resource, unforeseen cost and additional project management, and where ultimately, the depth of customization makes it difficult to manage or upgrade, or to benefit from best practice. Data management needs to be put into a context and cannot be seen as an independent function. Our clients asked that we empower them to view and manage the full end-to-end process - the only way to achieve efficiency and cost reduction.

Back in 2011, we decided to build workflows blueprints by looking for patterns amongst our clients. Seeing similar needs for processing reference data, prices or corporate actions data, we captured the functionalities to create 'business applications'. Each application brings domain-rich features, is easily configurable by end-users, and sits on top of a renewed modern technology platform, GAIN EDM.

We pride ourselves on how closely we work with our active and engaged community of users and partners through formal interactions. This ensures that each application keeps up with the evolutions of the industry, offering feature rich functionality with every release. In 2015, AIM welcomed a primary investment from Welsh Carson Anderson and Stowe, confirming that AIM's vision of productized applications in the EDM space is the way forward. Our continued commitment to our clients and prospects is of paramount importance, helping us to lead the way in providing market leading business applications to the enterprise data management community.



## About AIM Software

AIM Software is the fastest growing, award-winning provider of Enterprise Data Management (EDM) solutions to the financial services industry. It serves over 100 investment managers, asset managers, private banks, custodian, asset servicing, and insurance companies in 17 countries with a suite of best-in-class applications, GAIN. AIM Software is the only vendor to offer a living suite of packaged business applications combined with a modern data management platform – GAIN. The applications are packaged sets of functions improving specific business processes, and evolving through the input of an active, engaged client community.

The logo for AIM Software, consisting of the letters 'AIM' in a bold, blue, sans-serif font.

Advanced Information Management

[www.aimsoftware.com](http://www.aimsoftware.com)



# RDU

The SmartStream Reference Data Utility

[smartstreamrdu.com](http://smartstreamrdu.com)

# Simplifying Reference Data. Together.

Established as an industry utility based on the principle of market commonality, collaboration and contribution, The SmartStream Reference Data Utility (RDU) delivers a cost efficient approach to realize the truth of the data contained within the industry with guaranteed results.

Managing data holistically across legal entity, instrument and corporate action data, this shared service model promotes fixes to data processing across the instrument lifecycle and the events that originate and change data.

Join the revolution, contact us today: [info@smartstreamrdu.com](mailto:info@smartstreamrdu.com)



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## SmartStream RDU

The data management industry is looking to standardise, add reliability and gain efficiency in the way it manages its data. Delays resulting from the way data is pulled from the market, variables in how it is presented and inconsistencies in the processing, all culminate towards the irregularities seen across the data value chain. Individual firms are looking to execute on their data governance policies, to achieve a complete, accurate and timely supply of information to support their business decision making, facilitate regulatory compliance and enable straight-through-processing. Many firms are looking for an alternative way to resolving data processing issues without having to reinvest in legacy technology and infrastructure when previous investments had not resolved common market issues present in their data.



The SmartStream Reference Data Utility (RDU) is a managed service that delivers complete, accurate and timely reference data for use in critical regulatory reporting, trade processing and risk management operations, dramatically simplifying and reducing un-necessary costs for financial institutions.

The RDU acts as a processing agent for its participants selected data sources; sourcing, validating and cross-referencing data using market best practises so that these processes do not need to be duplicated in every financial institution. An experienced global team, who operate under the compliance frameworks of their customers, deliver data that is fit-for-purpose, consistent and in a format that is specific to the financial institutions' needs.

# RDU

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[www.smartstreamrdu.com](http://www.smartstreamrdu.com)

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## About Asset Control

Asset Control has been the leader in providing supremely reliable, high performance systems for the management of financial data since 1991, helping financial organisations deliver high-quality reference, market and risk data to the people and applications that need it – on time, all the time.

Serving the world's most successful financial institutions including top-tier banks and investment managers, as well as growing firms across global markets, our software and operational expertise makes processing and reporting possible sooner, with absolute accuracy, and total consistency. Our systems track every data element from the point of capture to final delivery, giving banks and asset managers the ability to manage costs and achieve the highest standards of data governance.

Whether it is for regulatory compliance, portfolio valuation, or risk management, we deliver data with unequalled efficiency, transparency and integrity.



[www.asset-control.com](http://www.asset-control.com)



## About Bloomberg Polarlake

Bloomberg PolarLake powers the Bloomberg Data Management Utility.

Through private-cloud based services and an expert global data operations team, Bloomberg PolarLake delivers world-class data management.

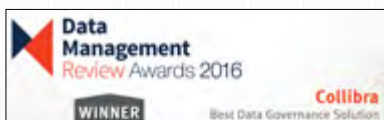
The combination of vendor data management, data quality rule sets, exception handling and golden-copy data distribution enables our clients to meet their business goals and regulatory obligations.

Improved data quality, accurate cost management, regulatory compliance and a road-map for the future have convinced firms across the global finance industry to entrust their data quality management and processing to Bloomberg PolarLake.

Bloomberg PolarLake – Leading the Data Management Revolution.



[www.bloombergpolarlake.com](http://www.bloombergpolarlake.com)



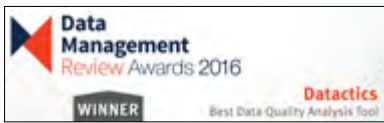
## About Collibra

As the leader in data governance, Collibra helps organizations around the world gain competitive advantage by maximizing the value of their data across the enterprise. Collibra is the only solution purpose-built to address the gamut of data stewardship, governance, and management needs of the most complex, data-intensive industries. Our flexible and configurable cloud-based or on-premises solution puts people and processes first – automating data governance and management to quickly and securely deliver trusted data to the business users who need it.



[www.collibra.com](http://www.collibra.com)





## About Dataactics

Dataactics is a provider of agile data quality, powerful matching software and associated services. The company is well regarded for its technology and has a strong focus and significant experience in the financial services sector. Dataactics offers business user-friendly tools to help financial organizations improve their data quality and continually monitor against benchmark standards and regulations.

Dataactics' RegMetrics™ solution enables active monitoring, remediation and reporting of data quality on all entity, transaction and risk data across an organisation. Business users such as SMEs or content specialists can rapidly deploy RegMetrics™ using its out-of-the box rules with no programming required. The solution delivers a powerful CDO/CRO data quality dashboard, ensures that content delivered to business applications is accurate and fit for purpose and also assists with regulatory reporting on BCBS 239, FATCA and MiFID..

# DATACTICS™

[www.dataactics.com](http://www.dataactics.com)



## About DTCC

With over 40 years of experience, DTCC is the premier post-trade market infrastructure for the global financial services industry. From operating facilities, data centers and offices in 16 countries, DTCC, through its subsidiaries, automates, centralizes and standardizes the post-trade processing of financial transactions, mitigating risk, increasing transparency and driving efficiency for thousands of broker/dealers, custodian banks and asset managers worldwide. Industry owned and governed, the firm simplifies the complexities of clearing, settlement, asset servicing, data management and information services across asset classes, bringing increased security and soundness to the financial markets. In 2015, DTCC's subsidiaries processed securities transactions valued at more than US\$1.5 quadrillion. Its depository provides custody and asset servicing for securities issued from over 130 countries and territories valued at US\$45.4 trillion. DTCC's global trade repository maintains approximately 40 million open OTC positions and processes roughly 280 million messages a week. To learn more, visit us at [www.dtcc.com](http://www.dtcc.com) or connect with us on LinkedIn, Twitter, YouTube and Facebook.

# DTCC

Securing Today. Shaping Tomorrow.®

[www.dtcc.com](http://www.dtcc.com)



## About Eagle Investment Systems

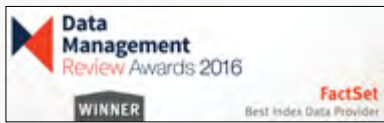
Eagle is committed to helping financial institutions worldwide grow assets efficiently with its award-winning portfolio management suite of data management, investment accounting and performance measurement solutions that are delivered over its secure private cloud, Eagle ACCESSSM. Eagle deploys trusted solutions and services that create operational efficiencies and help reduce complexity and risk. Eagle Investment Systems LLC is a subsidiary of BNY Mellon. Additional information is available at [www.eagleinvsys.com](http://www.eagleinvsys.com) or follow us on Twitter @Eagleinvsys

# EAGLE

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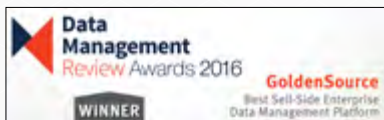


## About FactSet

FactSet provides integrated financial information and big data analytical applications for the global investment community. From streaming real-time data to historical information, FactSet offers unique and third-party content through desktop, mobile and off-platform solutions. The Company offers tools and resources including company and industry analyses, full screening tools, portfolio analysis, risk profiles, alpha-testing, portfolio optimization and research management solutions. FactSet is an honoree of Fortune's 100 Best Companies to Work For and a Best Workplace Award recipient in the United Kingdom and France. FactSet is listed on the New York Stock Exchange and NASDAQ (NYSE:FDS | NASDAQ:FDS). Learn more at [www.factset.com](http://www.factset.com) and follow on Twitter: [www.twitter.com/factset](http://www.twitter.com/factset).

The logo for FactSet, consisting of the word 'FACTSET' in a bold, blue, sans-serif font.

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## About GoldenSource

GoldenSource's software, services and expertise enable firms in the financial markets to manage risks, comply with regulatory requirements, and control costs in the middle and back office with a trusted source of complete, consistent information. Its products source, standardize, validate and deliver mission critical market, reference, customer, position and transaction data to the people and systems that need it, such as trading, compliance, risk management, settlements, and accounting. GoldenSource provides automated, enterprise-level processing delivered through a single hosted or on-premise platform, to achieve the highest levels of data quality and operational efficiency.

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[www.thegoldensource.com](http://www.thegoldensource.com)



## About ICE Data Services

ICE Data Services offers a robust end-to-end solution for information, analytics and connectivity, with a range of proprietary data and tools for global markets across fixed income, equities, commodities, FX and options. From exclusive real-time data driven by 11 global exchanges, leading continuous fixed income evaluated pricing, reference data and analytics, to robust desktops, trading tools, and connectivity solutions, thousands of market participants around the world deploy our award-winning data services to inform their market and economic perspectives and decision-making.

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[www.theice.com](http://www.theice.com)



## About Markit Corporate Actions

IHS Markit (Nasdaq: INFO) is a world leader in critical information, analytics and solutions for the major industries and markets that drive economies worldwide. The company delivers next-generation information, analytics and solutions to customers in business, finance and government, improving their operational efficiency and providing deep insights that lead to well-informed, confident decisions. IHS Markit has more than 50,000 key business and government customers, including 85 percent of the Fortune Global 500 and the world's leading financial institutions. Headquartered in London, IHS Markit is committed to sustainable, profitable growth.

The logo for IHS Markit, featuring a green circular icon with a white spiral inside, followed by the text 'IHS Markit' in a blue, sans-serif font.

<https://ihsmarkit.com>



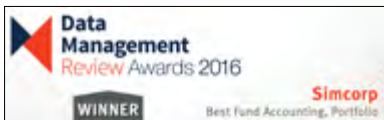
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S&P Global Market Intelligence is a division of S&P Global (NYSE: SPGI), which provides essential intelligence for individuals, companies and governments to make decisions with confidence.

**S&P Global**  
Market Intelligence

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## About Simcorp

SimCorp provides integrated, best-in-class investment management solutions to the world's leading asset managers, fund managers, asset servicers, pension and insurance funds, wealth managers and sovereign wealth funds. Whether deployed on premise or as an ASP solution, its core system, SimCorp Dimension, supports the entire investment value chain and range of instruments, all based on a market-leading IBOR. SimCorp invests more than 20% of its annual revenue in R&D, helping clients develop their business and stay ahead of ever-changing industry demands. Listed on NASDAQ Copenhagen, SimCorp is a global company, regionally covering all of Europe, North America, and Asia Pacific.

 **SimCorp**

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## About SIX Financial Information

SIX Financial Information is a leading global provider of data and value-added services for the wealth and asset management industry. Aggregated directly and in real-time from 1500 worldwide sources - covering all the major trading venues - SIX's database includes reference and market data, corporate actions, regulatory data and pricing information for over 20 million instruments. With offices in 23 countries, SIX combines the advantages of local expertise with global reach to offer financial specialists comprehensive data services for Asset Servicing and Administration, Middle Office, Investment Advisory, Portfolio and Fund Management.

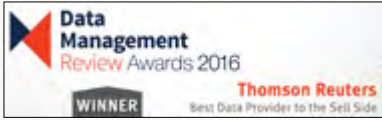
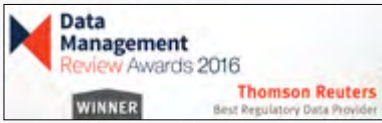
Financial Information is one of four business areas of SIX which offers global premium services in securities trading, clearing and settlement, payment transactions, and financial information.

 **SIX** Financial Information

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## About Wolters Kluwer

Wolters Kluwer's Finance, Risk & Reporting business, through its OneSumX solution, provides the world's leading banks an ecosystem of complementary and comprehensive solutions and services to manage the intersection of finance, risk and regulatory reporting increasing organizational profitability, efficiency and growth. Independent verification of its leading position in Regulatory Reporting by renowned analysts in the market named it #1 in the field for six consecutive years. Wolters Kluwer reported 2015 annual revenues of €2 billion and employs 19,000 worldwide.





