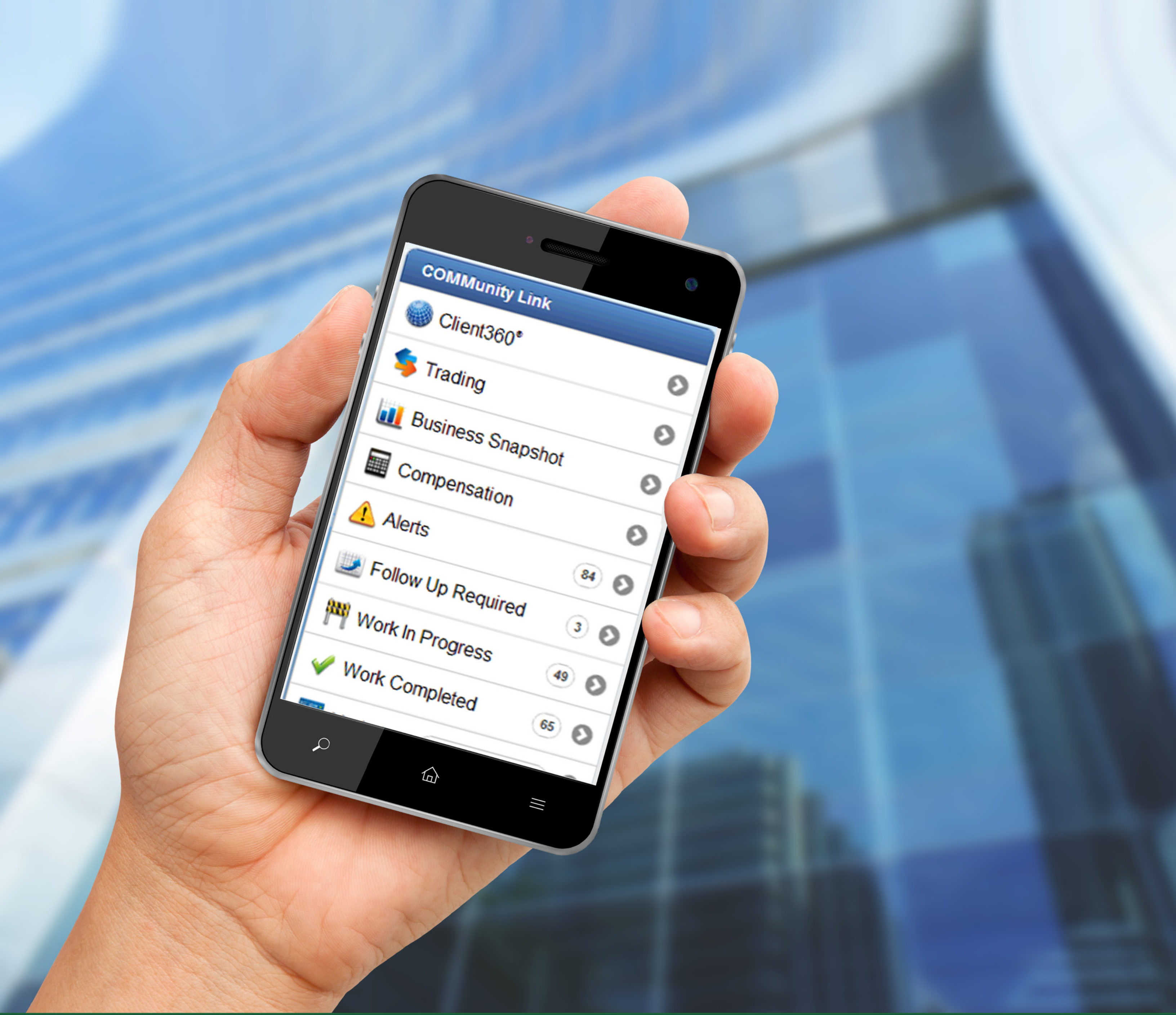

COMMunity Link® Mobile:

An On-the-Go Resource for Commonwealth-Affiliated Advisors

COMMONWEALTH *financial network*®

COMMunity Link,® our powerful web portal and the gateway to Commonwealth's back office, provides affiliated advisors with instant access to online business processing, financial research, and product information, as well as all of our web-based tools. Through it, advisors can download Compliance-approved marketing materials and find e-mail links and forums for communicating and sharing ideas with other advisor branches and with the Commonwealth home office.

Recognizing the increasing necessity to provide access to our systems from handheld devices, we launched a revamped version of COMMunity Link for smartphones—a secure, easy-to-use application providing on-the-go access to Client360°,® our total client management system, along with alerts, CRM contacts, our trading engine, market quotes, and more. Then, we launched a beta version for tablets. Both applications offer similar features but display content in drastically different ways. Each one was tailor made for the unique capabilities of the device it is intended to run on:

- We focused on how quickly we could get you in and out of the smartphone app; and
- We're taking advantage of the increased screen real estate tablets offer to show you more information at once.

Follow along for a look at the capabilities of each application—and discover how Commonwealth is committed to staying on the leading edge of technology solutions for financial advisors.

COMMunity Link for Smartphones

Once you log in to our smartphone app, you are presented with a main menu of options to choose from (see Figure 1). If for any reason you want to hide any menu item on the homepage, you can tap the Customize Menu button at the bottom of the main menu to toggle each feature on or off.

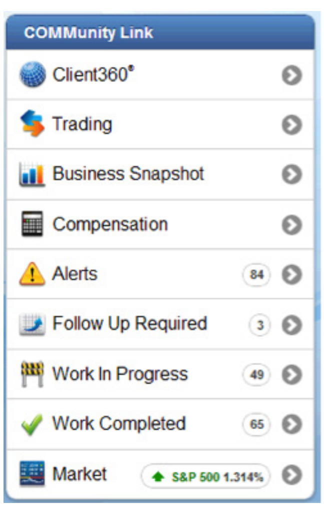

Figure 1. Main Menu

Starting from the top, **Client360°** leads to a household search page showing your last 25 searches. Tapping a household takes you to the Portfolio Overview page. From there, you can review household totals and account balances. Options below take you to the Holdings, Processed Orders, Performance, Activity, and Contacts views, respectively (see Figure 2). On the Contacts page, simply tap a contact to dial his or her phone number or view the household's address on a map.

Back on the main menu you'll find **Trading**, historically our number-one requested mobile feature. Tap the Trading button to place a trade or view processed orders across all of your households. Both the smartphone and PC versions of COMMunity Link share the same trading engine under the covers, so trades made in one app show up in the other. Trades can also be placed by navigating to the Holdings Detail page inside a household.

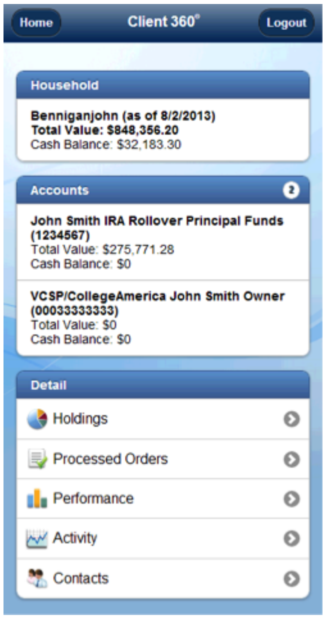

Figure 2. Client360° Portfolio Overview

The **Business Snapshot** and **Compensation** buttons take you to views very similar to their widget counterparts on the homepage of the desktop version of COMMunity Link. The Business Snapshot page includes a report of the previous day's trades, in addition to your balances at National Financial Services, our clearing firm, and on our fee-based Preferred Portfolio Services® (PPS) platform. The Compensation page includes production balances, check deposits, and a compensation report.

Alerts, **Follow-Up Required**, **Work in Progress**, and **Work Completed** round out the main menu's list of options by allowing you to see all the work that is being performed on your behalf via the Commonwealth home office. All of these screens take their cues from COMMunity Link (PC), but we scaled back the amount of information displayed to improve the overall experience on a smartphone.

COMMunity Link for Tablets

The first thing users will notice after logging in to the tablet version is how different this app looks compared with the smartphone version. All content is represented in the form of widgets inside newspaper-like columns, with each column scrolling independently from the other (see Figure 3). As we add new widgets over time, you might find it handy to temporarily compare one or more widgets side by side. Again, the tablet version takes its cue from the desktop version of our back-office website, presenting the same content, but with a slightly different appearance.

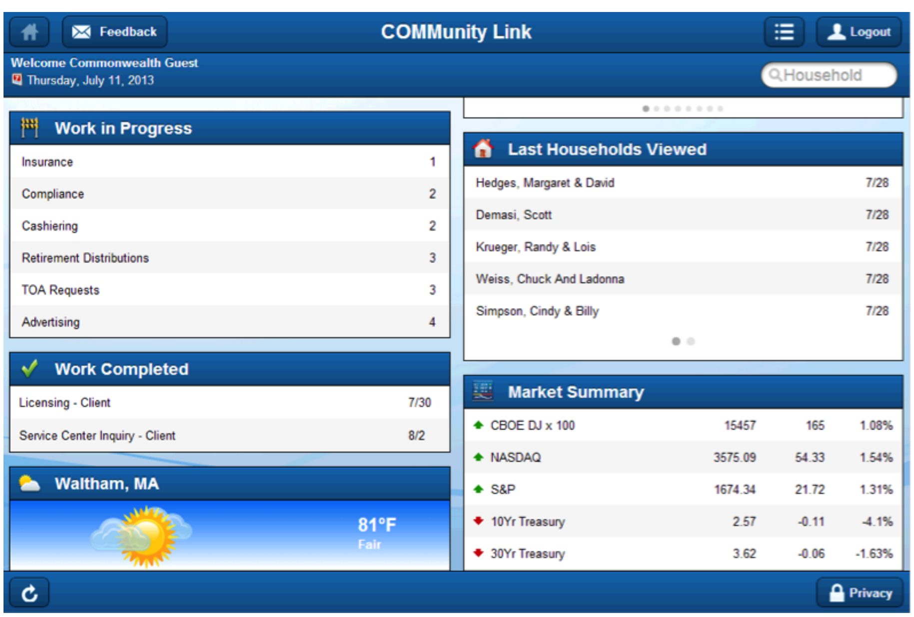

Figure 3. COMMunity Link Tablet Home

All of your widgets are positioned between a top and a bottom bar. The top bar includes buttons for **Feedback**, **Options**, and **Logout**. Within the Options view, you can change application settings, review recent changes to the app, and log in to COMMunity Link (PC); simply tap Launch Full Site and you're in! No login page needed. The bottom bar includes **Refresh** and **Privacy** buttons. Tapping Refresh brings your widgets up to date, while the Privacy button slides every widget off screen and away from prying eyes.

To launch **Client360**°, use the Household search box up top or tap a household within the **Last Households Viewed** widget. Make note of the row of small dots below the list of households. Each dot represents another page of information. Slide the list to the left with your finger to expose the next group of households. Slide to the right to move back. You'll find these same dots below other widgets indicating that there is more data out of view.

The **Client360° Dashboard** displays Balances, Performance, Holdings, and Activity for a household (see Figure 4). Along the top of the screen, you'll find a dropdown menu of household accounts and another Household search box. The navigation buttons at the bottom take you to screens displaying Holdings, Activity, Contacts, and 1-Click Review®, a time-saving function that allows you to save multiple reports in a single packet for use during client reviews, year-end meetings, and so on—and generate those reports with the click of a button!

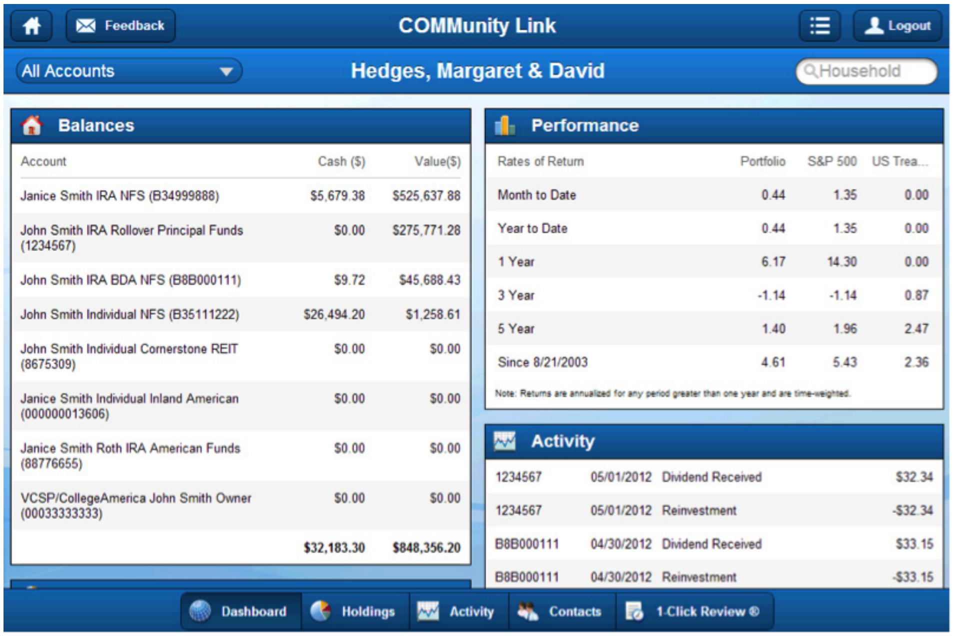

Figure 4. Client360° Dashboard

Alerts	
Bond Calls	3
Check/Wire/EFT Paid	2
Check/Wire/EFT Received	3
Check Exceptions	1
Debit Accounts	2
Funded Closed Accounts	20
Invalid Beneficiary Accounts	5
Maturity Notices	12
Odd Lot Offer Notices	1
Retirement SWP Insufficient Funds	5
Security Announcements	3
TOA Delivery Complete	1
TOA Receives Receipt	3

Figure 5. Alerts Widget

Back on the home screen, **Alerts** (see Figure 5), **Follow-Up Required**, **Work in Progress**, and **Work Completed** are all represented. With the extra screen real estate a tablet offers, we can show much more content on the screen at the same time, so case summaries and history are displayed with room to spare. Account number links shown in a case's summary will take you directly to the related household in Client360°.

To finish off the tour, we've included **Weather**, **News**, **Business**, **Compensation**, and **Market Summary** widgets. The Weather widget defaults to your hometown, but with the slide of a finger, you'll know if it's sunny and warm at the Waltham and San Diego offices. And finally, the News widget keeps you in the know with the latest news from Commonwealth. Both COMMunity Link (PC) and tablet versions display the same news feed.

Our goal is to create products that work the way our advisors work. The days of lugging around that bulky laptop are numbered. If you need to place a trade while shopping at the supermarket, confirm a balance transfer at Starbucks, or review your compensation report before buying that new iPad, we've got you covered.

Want to See More?

If you'd like to take a closer look at Commonwealth's technology, contact Andrew Daniels, managing principal, business development, or a member of his Field Development team at 866.462.3638 or at adaniels@commonwealth.com to schedule a tour.

John Bond is manager, mobile development, at Commonwealth Financial Network,® member FINRA/SIPC, an independent broker/dealer–RIA. A 15-year veteran of the firm, John oversees all aspects of our mobile applications. He received his degree in computer science from the University of Houston.

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Founded in 1979, Commonwealth Financial Network, member FINRA/SIPC, is the nation's largest privately held independent broker/dealer–RIA, with headquarters in Waltham, Massachusetts, and San Diego, California. The firm supports more than 1,487 independent advisors nationwide in serving their clients as registered representatives, investment adviser representatives, and registered investment advisers, as well as through hybrid service models. For more information, please visit www.commonwealth.com.

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