# Continity

## The Continuity Compliance Core™

# A combination of strategic planning, regulatory insight and technology designed to lower compliance risk and cost – so you can get back to the business of banking.

The burden of regulatory compliance has become overwhelming for community financial institutions, and examiners are losing patience. It's time for a different approach – one that allows community financial institutions to thrive in any regulatory climate. We believe compliance shouldn't be a barrier to growth. It should simply be a part of how you do business. That's why we've created the Compliance Core – a nexus of capabilities that eliminates the heavy burden of compliance for financial institutions of all sizes.



### The Industry's Compliance Core

Continuity's Compliance Core functions as the heartbeat of your compliance operations. The Compliance Core is continuously updated with the latest regulatory requirements and best practices honed across a network of Compliance Core financial institutions.

Through a single, interconnected solution, we provide:

- Strategists to help you align operations with compliance requirements, planning and best practices.
- Unlimited access to expertise from our team of regulatory experts that are on the job interpreting your compliance requirements.
- Assurance Services to ensure your institution is regulatory compliant.
- Innovative technology through an easy-to-use compliance operations platform that's built to be every bit as dynamic as the regulatory landscape.

### Core / kôr/ 1. the central or most important part of something

The Compliance Core is a single, combined solution with one subscription. Now community banks and credit unions have the advantage of scale — like much larger financial institutions — but at a predictable, affordable cost.

### Partnering with Community Financial Institutions to Reduce the Regulatory Burden

Continuity is a long-term partner that works closely with you, and over 200 other community financial institutions, to leverage collective experiences in managing compliance in an efficient, process-oriented way. We guide you through a strategic plan to transition compliance into a Core service, providing huge benefits in terms of cost and effort reduction, risk mitigation and providing you the space to focus on being a better community financial institution.

### How do you get started?

The Continuity Core can be deployed in as little as two to four weeks. Working with Continuity extends your compliance team and expertise without adding to your personnel costs. The first step in your compliance journey is to understand where you are today, and Continuity's regulatory and compliance experts will help you get there. We benchmark your financial institution's compliance programs and processes against like peers in the industry to assess and measure your current state of compliance. Then we develop a One-page Strategy which provides a concise plan that includes key results to accomplish, work tasks, responsibilities and timelines.

### What our clients say.

"Compliance is here to stay, and it's only going to get bigger. After conducting exhaustive due diligence, our executive team felt confident that Continuity is a smart solution which enables our bank to be proactive in keeping up with the regulatory changes while controlling our compliance costs."

### - Mike Copeland, CEO, Fairfield National Bank

"Two years later, we're still seeing areas to expand our use of Continuity. The biggest benefit to date is freeing 80 percent of our compliance officer's time to focus her talents and skills on risk management and proactive compliance monitoring throughout the bank. It's a much broader and more valuable use of her time."

- Amy Brock, VP of Internal Audit, Bank of the Pacific

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Continuity provides a thorough, yet highly efficient process to assess and benchmark your current compliance programs and continual monitoring of progress and areas in need of improvement.



Your compliance assessment comes together in a One-Page Strategy which captures your corporate objectives, key results to be accomplished, work tasks, responsibilities and timeframes.

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### Learn more about the Compliance Core today.

Contact Continuity at info@continuity.net to find out more, or visit us at www.continuity.net