

# Dell EMC PowerMax Reliability, Availability, and Serviceability Technical White Paper

#### Abstract

This technical white paper explains the reliability, availability, and serviceability hardware and software features of Dell EMC<sup>™</sup> PowerMax storage arrays October 2018

## Revisions

Date	Description
May 2018	Initial release
October 2018	Update

The information in this publication is provided "as is." Dell Inc. makes no representations or warranties of any kind with respect to the information in this publication, and specifically disclaims implied warranties of merchantability or fitness for a particular purpose.

Use, copying, and distribution of any software described in this publication requires an applicable software license.

© 2018 Dell Inc. or its subsidiaries. All Rights Reserved. Dell, EMC, Dell EMC and other trademarks are trademarks of Dell Inc. or its subsidiaries. Other trademarks may be trademarks of their respective owners. Published in the USA. [10/18/2018] [Technical White Paper] [H17064.2]

Dell believes the information in this document is accurate as of its publication date. The information is subject to change without notice.

## Table of contents

1	Intro	oduction	5
2	Dell	EMC PowerMax System Family Overview	6
3	Pow	verMax engine and director components	7
	3.1	Channel front-end redundancy	9
4	Pow	verMax NVMe Back-end	11
	4.1	Smart RAID	11
	4.2	RAID 5	12
	4.3	RAID 6	12
	4.4	Drive sparing	12
	4.5	Data at Rest Encryption (D@RE)	14
	4.6	Drive monitoring and correction	15
5	Infini	iBand fabric switch	16
6	Redundant power subsystem		
	6.1	Vaulting	
	6.2	Power-down operation	19
	6.3	Power-up operation	19
7	Rem	note Support	20
	7.1	Supportability through the Management Module Control Station	20
	7.2	Secure Service Credential (SSC), secured by RSA	21
8	Com	nponent-level serviceability	
	8.1	Dell EMC internal QE testing	22
9	Non-	-Disruptive PowerMaxOS Upgrades	24
10	TimeFinder and SRDF replication software		
	10.1	Local replication using TimeFinder	25
	10.2	2 Remote replication using SRDF	25
11	Unis	sphere for PowerMax System Health Check	29
12	Cond	clusion	
А	Refe	erences	32

## **Executive summary**

Today's mission-critical environments demand more than redundancy. They require non-disruptive operations, non-disruptive upgrades and being "always online." They require high-end performance, handling all workloads, predictable or not, under all conditions. They require the added protection of increased data availability provided by local snapshot replication and continuous remote replication.

Dell EMC PowerMax storage arrays deliver all of these needs. The introduction of NVMe drives raises the performance expectations and possibilities of high-end arrays. A simple, service level-based provisioning model simplifies the way users consume storage, taking the focus away from the back-end configuration steps and allowing them to concentrate on other key roles.

While performance and simplification of storage consumption are critical, other features also create a powerful platform. Redundant hardware components and intelligent software architecture deliver extreme performance while also providing high availability. This combination provides exceptional reliability, while also leveraging components in new ways that decrease the total cost of ownership of each system. Important functionality such as local and remote replication of data, used to deliver business continuity, must cope with more data than ever before without impacting production activities. Furthermore, at the end of the day, all of these challenges must be met while continually improving data center economics.

Reliability, availability, and serviceability (RAS) features are crucial for enterprise environments requiring always-on availability. PowerMax arrays are architected for six-nines (99.9999%) availability. The many redundant features discussed in this document are taken into account in the calculation of overall system availability. This includes redundancy in the back-end, cache memory, front-end and fabric, as well as the types of RAID protections given to volumes on the back-end. Calculations may also include time to replace failed or failing FRUs (field replaceable units). In turn, this also considers customer service levels, replacement rates of the various FRUs and hot sparing capability in the case of drives.





## 1 Introduction

PowerMax arrays include enhancements that improve reliability, availability, and serviceability. This makes PowerMax arrays ideal choices for critical applications and 24x7 environments demanding uninterrupted access to information.

PowerMax array components have a mean time between failure (MTBF) of several hundred thousand to millions of hours for a minimal component failure rate. A redundant design allows systems to remain online and operational during component replacement. All critical components are fully redundant, including director boards, global memory, internal data paths, power supplies, battery backup, and all NVMe back-end components. Periodically, the system tests all components. PowerMaxOS reports errors and environmental conditions to the host system as well as to the Customer Support Center.

PowerMaxOS validates the integrity of data at every possible point during the lifetime of the data. From the point at which data enters an array, the data is continuously protected by error detection metadata, data redundancy, and data persistence. This protection metadata is checked by hardware and software mechanisms any time data is moved within the subsystem, allowing the array to provide true end-to-end integrity checking and protection against hardware or software faults. Data redundancy and persistence allows recovery of data where the integrity checks fail.

The protection metadata is appended to the data stream, and contains information describing the expected data location as well as CRC representation of the actual data contents. The expected values found in protection metadata are stored persistently in an area separate from the data stream. The protection metadata is used to validate the logical correctness of data being moved within the array any time the data transitions between protocol chips, internal buffers, internal data fabric endpoints, system cache, and system disks.

PowerMaxOS supports industry standard T10 Data Integrity Field (DIF) block cyclic redundancy code (CRC) for track formats. For open systems, this enables a host-generated DIF CRC to be stored with user data and used for end-to-end data integrity validation. Additional protections for address/control fault modes provide increased levels of protection against faults. These protections are defined in user-definable blocks supported by the T10 standard. Address and write status information is stored in the extra bytes in the application tag and reference tag portion of the block CRC.

The objective of this technical note is to provide an overview of the architecture of PowerMax arrays and the reliability, availability, and serviceability (RAS) features within PowerMaxOS.

## 2 Dell EMC PowerMax System Family Overview

The Dell EMC PowerMax 2000 and Dell EMC PowerMax 8000 are the first Dell EMC hardware platforms with a Non-Volatile Memory Express (NVMe) back-end for customer data. NVMe is the protocol that runs on the PCI Express (PCIe) transport interface, used to efficiently access storage devices based on Non-Volatile Memory (NVM) media, including today's NAND-based <u>flash</u> along with future, higher-performing, Storage Class Memory (SCM) media technologies such as 3D XPoint and Resistive RAM (ReRAM). NVMe also contains a streamlined command set used to communicate with NVM media, replacing SCSI and ATA. NVMe was specifically created to fully unlock the bandwidth, IOPS, and latency performance benefits that NVMe offers to host-based applications which are currently unattainable using the SAS and SATA storage interfaces.

The NVMe back-end consists of a 24-slot NVMe DAE using 2.5" form factor drives connected to the Brick via dual-ported NVMe PCIe Gen3 (8 lane) back-end I/O interface modules, delivering up to 8GB/sec of bandwidth per module.

In addition to the all-NVMe storage density and scale which provide high back-end IOPS and low latency, the Dell EMC PowerMax arrays also introduce a more powerful data reduction module capable of performing inline hardware data compression, deduplication, and adaptive tiering to lower TCO by using auto data placement.

Highlights of the PowerMax 2000 system include:

- 1 2 engines per system
- 12-core Intel Broadwell CPUs yielding 48 cores per engine
- Up to 2TB of DDR4 cache per engine
- Up to 64 FE ports per system
- Up to 1 PBe per system of PCIe Gen3 NVMe storage

Highlights of the PowerMax 8000 system include:

- 1 8 engines per system
- 18-core Intel Broadwell CPUs yielding 72 cores per engine
- Up to 2TB DDR4 cache per engine
- Up to 256 FE ports per system
- Up to 4 PBe per system of PCIe Gen3 NVMe storage

The primary benefits that the PowerMax platforms offer Dell EMC customers are:

- Massive scale with low latency NVMe design
- More storage IOPS density per system in a much smaller footprint
- Future proof technology ready for next generation storage media such as 3D XPoint and NVMe over Fabric (NVMe-oF) infrastructure
- Applied machine learning to lower TCO by using intelligent data placement
- Improved data efficiency and data reduction capabilities with inline dedupe and compression

### 3 PowerMax engine and director components

The engine is the critical building block of PowerMax systems. It primarily consists of two redundant director boards that house global memory, front-end connectivity, back-end connectivity, internal network communications and environmental monitoring components. Each director board has a dedicated power and cooling system. Even single-engine configurations are fully redundant. A PowerMax system may have between one and eight engines depending on model and configuration.

Table 1 lists the components within an engine, count per director, and defines their purposes.

Director Component	Count (per director)	Purpose
Power Supply	2	Provide redundant power to a director
Fan	5	Provide cooling for a director
Management Module	1	Manage environmental functionality
NVMe Flash I/O Module	Up to 4	Safely store data from cache during the vaulting sequence.
Front-end I/O Module	Up to 4	Provide front-end connectivity to the array. There are different types of front-end I/O modules that allow connectivity to various interfaces, including SAN, FICON, SRDF, and embedded NAS (eNAS).
PCIe Back-end I/O Module	2	Connect the director boards to the back-end of the system, allowing I/O to the system's drives.
Compression and Deduplication I/O Module	1	Perform inline data compression and deduplication
Fabric I/O module	1	Provides connectivity between directors. In multi-engine PowerMax 8000 systems, the fabric I/O modules are connected to an internal InfiniBand switch.
Memory Module	16	Global memory component

Table 1PowerMax engine and director components

Figure 2 displays the front view of a PowerMax engine.

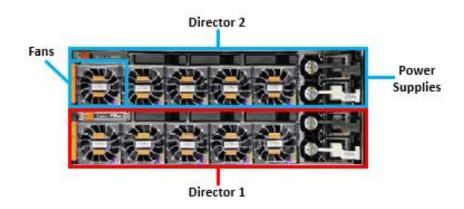
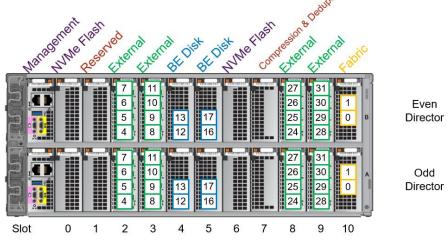


Figure 2 Front view of PowerMax 2000 and PowerMax 8000 engine

The following figures display rear views of engine components, with logical port numbering.





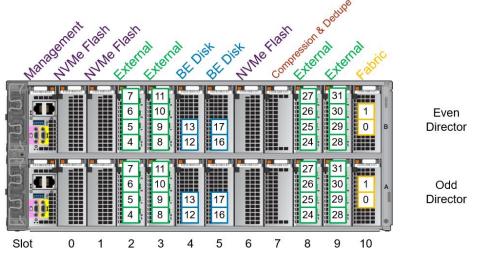
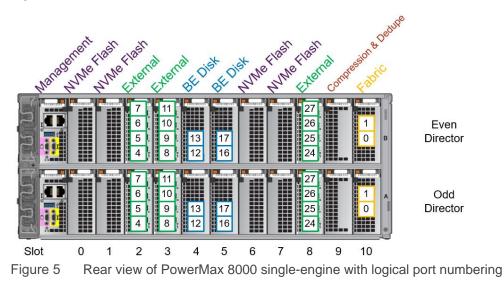


Figure 4 Rear view of PowerMax 8000 multi-engine with logical port numbering



Note that a single-engine PowerMax 8000 system requires four NVMe Flash I/O modules per director compared to a multi-engine PowerMax 8000 which requires three NVMe Flash I/O modules per director. The four NVMe Flash I/O modules per director configuration will remain even if additional engines are added to the system. This must be considered when ordering new systems as the additional NVMe Flash I/O module reduces the number of external I/O modules, thus reducing the total number of external ports.

### 3.1 Channel front-end redundancy

Channel redundancy is provided by configuring multiple connections from the host servers (direct connect) or Fibre Channel switch (SAN connect) to the system. With SAN connectivity, through Fibre Channel switches, each front-end port can support multiple host attachments, enabling storage consolidation across a large number of host platforms. The multiple connections are distributed across separate directors to ensure uninterrupted access in the event of a channel failure. A minimum of two connections per server or SAN to different directors is necessary to provide full redundancy.

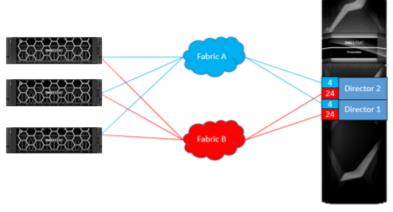
Host connectivity to the front-end director ports should be spread across physical components for the most efficient form of redundancy.

The following are recommended for connecting a host or cluster:

- 2-4 front-end paths are configured in the port group for masking and zones to the host (single initiator zoning is recommended).
- For cabling options, one approach is to connect all even-numbered ports to fabric A and all oddnumbered ports to fabric B.
- In single engine systems with this approach, select 2 I/O ports spanning both SAN fabrics on each director, with each port being on a separate I/O module.

**Example:** Port 4 & 24 on both directors 1 and 2.

• In a multi-engine system, distributing the paths further across directors spanning different engines spreads the load for performance and ensures fabric redundancy.



Example: Port 4 in directors 1, 2, 3 and 4.

Figure 6 SAN connectivity in a single engine environment

#### 3.1.1 Global memory technology overview

Global memory is a crucial component in the architecture. All read and write operations are transferred to and from global memory. Transfers between the host processor and channel directors can be processed at much greater speeds than transfers involved with physical drives. PowerMaxOS uses complex statistical prefetch algorithms which can adjust to proximate conditions on the array. Intelligent algorithms adjust to the workload by constantly monitoring, evaluating and optimizing cache decisions.

PowerMax arrays can have up to 2TB of mirrored DDR4 memory per engine and up to 16TB mirrored per array. Global memory within an engine is accessible by any director within the array.

Dual-write technology is maintained by the array. Front-end writes are acknowledged when the data is written to mirrored locations in the cache. In the event of a director or memory failure, the data continues to be available from the redundant copy. If an array has a single engine, physical memory mirrored pairs are internal to the engine. Physical memory is paired across engines in multi-engine PowerMax 8000 arrays.

#### 3.1.2 Physical memory error verification and error correction

PowerMaxOS can correct single-bit errors and report an error code once the single-bit errors reach a predefined threshold. To protect against possible future multi-bit errors, if single-bit error rates exceed a predefined threshold, the physical memory module is marked for replacement. When a multi-bit error occurs, PowerMaxOS initiates director failover and calls out the appropriate memory module for replacement.

When a memory module needs to be replaced, the array notifies Dell EMC support and a replacement is ordered. The failed module is then sent back to Dell EMC for failure analysis.

## 4 PowerMax NVMe Back-end

The PowerMax architecture incorporates an NVMe back-end that reduces command latency and increases data throughput while maintaining full redundancy. NVMe is an interface that allows host software to communicate with a non-volatile memory subsystem. This interface is optimized for Enterprise and Client solid state drives (SSDs), typically attached as a register-level interface to the PCI Express interface.

The NVMe back-end subsystem provides redundant paths to the data stored on solid state drives. This provides seamless access to information, even in the event of a component failure and/or replacement.

Each PowerMax Drive Array Enclosure (DAE) can hold 24-2.5" NVMe SSDs. The DAE also houses redundant Canister Modules (Link Control Cards) and redundant AC/DC power supplies with integrated cooling fans. Figure 7 and Figure 8 show the front and rear views of the PowerMax DAE.



Figure 7 PowerMax DAE (front)



Figure 8 PowerMax DAE (rear)

The directors are connected to each DAE through a pair of redundant back-end I/O modules. The back-end I/O modules connect to the DAEs at redundant LCCs. Each connection between a back-end I/O module and an LCC uses a completely independent cable assembly. Within the DAE, each NVMe drive has two ports, each of which connects to one of the redundant LCCs.

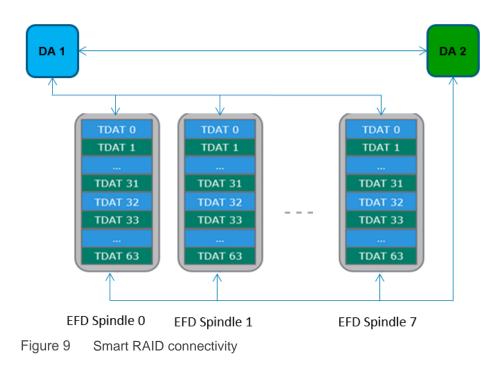
The dual-initiator feature ensures continuous availability of data in the unlikely event of a drive management hardware failure. Both directors within an engine connect to the same drives via redundant paths. If the sophisticated fencing mechanisms of PowerMaxOS detect a failure of the back-end director, the system can process reads and writes to the drives from the other director within the engine without interruption.

### 4.1 Smart RAID

Smart RAID provides active/active shared RAID support for PowerMax arrays. Smart RAID allows RAID groups to be shared between back-end directors within the same engine. Each back-end director has access to every physical drive within the DAE but each TDAT on that physical drive will be primary to only one back-end director.

Smart RAID helps in cost reduction by allowing a smaller number of RAID groups while improving performance by allowing two directors to run I/O concurrently to the same set of drives.

Figure 9 illustrates Smart RAID connectivity between directors, spindles, and TDATs.



### 4.2 RAID 5

RAID 5 is an industry-standard <u>data protection</u> mechanism with rotating parity across all members of the RAID 5 set. In the event of a physical drive failure, the missing data is rebuilt by reading the remaining drives in the RAID group and performing XOR calculations.

PowerMax systems support two RAID 5 configurations:

- RAID 5 (3+1) Data striped across 4 drives (3 data, 1 parity)
- RAID 5 (7+1) Data striped across 8 drives (7 data, 1 parity)

### 4.3 RAID 6

RAID 6 enables the rebuilding of data in the event that two drives fail within a RAID group. Dell EMC's implementation of RAID 6 calculates two types of parity. This is important during events when two drives within the same RAID group fail, as it still allows the data in this scenario to be reconstructed. Horizontal parity is identical to RAID 5 parity, which is calculated from the data across all of the disks in the RAID group. Diagonal parity is calculated on a diagonal subset of data members. For applications without demanding performance needs, RAID 6 provides the highest data availability.

PowerMax systems implement RAID 6 (6+2) – Data striped across 8 drives (6 data, 2 parity)

### 4.4 Drive sparing

PowerMaxOS supports Universal Sparing to automatically protect a failing drive with a spare drive. Universal Sparing increases data availability of all volumes in use without loss of any data capacity, transparently to the host, and without user intervention.

When PowerMaxOS detects a drive is failing, the data on the faulty drive is copied directly to a spare drive attached to the same engine. If the faulty drive has failed, the data is rebuilt onto the spare drive through the remaining RAID members. When the faulty drive is replaced, data is copied from the spare to the new drive.

PowerMax systems have one spare drive in each engine. The spare drives reside in dedicated DAE slots. In order to allow all drives in the engine to share the spare drive, the spare drive type is the same as the highest capacity and performance class as the other drives in the engine.

Solutions Enabler 9.0 provides tools to view information related to spare drives in PowerMax arrays.

The symcfg list -v output reports total values for Configured Actual Disks, Configured Spare Disks and Available Spare Disks in the system.

The Number of Configured Actual Disks field reports only non-spare configured disks, and Number of Configured Spare Disks field reports only configured spare disks.

C:\>symcfg -sid XYZ list -v	
Symmetrix ID: 000197600XYZ (Lo Time Zone :Eastern Standard Ti	,
	PowerMax_8000 00197600XYZ
Microcode Version (Number)	: 5978 (175A0000)
< TRUNCATED >	
Number of Configured Actual D Number of Configured Spare Di Number of Available Spare Disk	sks : 2

Figure 10 symcfg list -v

The symdisk list -dskgrp\_summary -by\_engine reports spare coverage information per Disk Group per Engine.

The Total and Available spare disk counts for each Disk Group include both spare disks that are in the same Disk Group in the same Engine, as well as shared spare disks in another Disk Group in the same Engine that provide acceptable spare coverage. These shared spares are also included in the total disk count for each Disk Group in each Engine. Therefore, the cumulative values of all Disk Groups in all Engines in this output should not be expected to match the values reported by the symcfg list -v command that were described in the previous example.

Total Disk Spare Coverage percentage for a particular Disk Group is the spare capacity in comparison to usable capacity shown in the output.

C:\>symdisk list -dskgrp_summary -by_e	engine
Symmetrix ID: 000197600XYZ	
Disk Hyper Usable Capad	city Spare Coverage
Flgs Speed Size Total Grp Eng Cnt LT (RPM) (MB) Disk (%	
1 1 9 IE 0 29063 8 89 1488 2 1 25 IE 0 29063 24 96 446 2 2 33 IE 0 29063 32 97 595	640765 1 4 1 100
Total 64 97 1190420	40
Legend: Disk (L)ocation: I = Internal, X = External, - = N/A (T)echnology: S = SATA, F = Fibre Channel, E = Ent	terprise Flash Drive, - = N/A

Figure 11 symdisk list –dskgrp\_summary –by\_engine

However, Spare Coverage as reported by the symdisk list -v and symdisk show commands indicates whether the disk currently has at least one available spare; that is, a spare disk that is not in a failed state or already invoked to another disk.

C:\>symdisk -sid XY	′Z list -v
Symmetrix ID Disks Selected	: 000197600XYZ : 66
Director Interface Target ID Spindle ID	: DF-1C : C : 0 : 0
< TR	UNCATED >
Spare Disk Spare Coverage	: N/A : True

Figure 12 symdisk list –v

## 4.5 Data at Rest Encryption (D@RE)

Data at Rest Encryption (D@RE) protects data confidentiality by adding back-end encryption to the entire array. D@RE provides hardware-based, on-array, back-end encryption. Back-end encryption protects information from unauthorized access when drives are removed from the system.

D@RE provides encryption on the back-end that incorporate XTS-AES 256-bit data-at-rest encryption. These I/O modules encrypt and decrypt data as it is being written to or read from a drive. All configured drives are encrypted, including data drives, spares, and drives with no provisioned volumes.

D@RE incorporates RSA<sup>™</sup> Embedded Key Manager for key management. With D@RE, keys are selfmanaged, and there is no need to replicate keys across volume snapshots or remote sites. RSA Embedded Key Manager provides a separate, unique Data Encryption Key (DEK) for each drive in the array, including spare drives.

By securing data on enterprise storage, D@RE ensures that the potential exposure of sensitive data on discarded, misplaced, or stolen media is reduced or eliminated. As long as the key used to encrypt the data is secured, encrypted data cannot be read. In addition to protecting against threats related to physical removal of media, media can readily be repurposed by destroying the encryption key used for securing the data previously stored on that media.

D@RE:

- Is compatible with all PowerMaxOS features.
- Allows for encryption of any supported local drive types or volume emulations.
- Delivers powerful encryption without performance degradation or disruption to existing applications or infrastructure.

D@RE can also be deployed with external key managers using Key Management Interoperability Protocol (KMIP) that allow for a separation of key management from PowerMax arrays. KMIP is an industry standard that defines message formats for the manipulation of cryptographic keys on a key management server. External key manager provides support for consolidated key management and allows integration between a PowerMax array with an already existing key management infrastructure.

For more information on D@RE, refer to the Dell EMC PowerMax Data at Rest Encryption White Paper.

### 4.6 Drive monitoring and correction

PowerMaxOS monitors media defects by both examining the result of each data transfer and proactively scanning the entire drive during idle time. If a block is determined to be bad, the director:

- Rebuilds the data in physical memory if necessary.
- Remaps the defective block to another area on the drive set aside for this purpose.
- Rewrites the data from physical memory back to the remapped block on the drive.

The director maps around any bad block(s) detected, thereby avoiding defects in the media. The director also keeps track of each bad block detected. If the number of bad blocks exceeds a predefined threshold, the primary MMCS invokes a sparing operation to replace the defective drive and then automatically alerts Customer Support to arrange for corrective action.

## 5 InfiniBand fabric switch

Multi-engine PowerMax 8000 systems employ two 18-port Infiniband fabric switches to carry control, metadata, and user data through the system. This technology connects all of the engines in the system to provide a powerful form of redundancy and performance. This allows the engines to share resources and act as a single entity while communicating.

For redundancy, each director has a connection to each switch. Each switch has redundant, hot pluggable power supplies. Figure 13 and Figure 14 show the front and rear views of the InfiniBand switches.



Figure 13 Front view of InfiniBand switch



Figure 14 Rear view of InfiniBand switch

Note: Since the purpose of the dynamic virtual matrix is to create a communication interconnection between all of the engines, single-engine systems and dual-engine PowerMax 2000 systems do not require a fabric switch.

## 6 Redundant power subsystem

A modular power subsystem features a redundant architecture that facilitates field replacement of any of its components without any interruption in processing.

The power subsystem has two power zones for redundancy. Each power zone connects to a separate dedicated or isolated AC power line. If AC power fails on one zone, the power subsystem continues to operate through the other power zone. If any single power supply module fails, the remaining power supplies continue to share the load. PowerMaxOS senses the fault and reports it as an environmental error.

Each director is configured with a management module that provides low-level, system-wide communications and environmental control for running application software, monitoring, and diagnosing the system. The management modules are responsible for monitoring and reporting any environmental issues, such as power, cooling, or connectivity problems.

Environmental information is carried through two redundant Ethernet switches. Each management module connects to one switch, except for the MMCS modules in Engine 1 which connect to both Ethernet switches. Management module A connects to Ethernet switch A, and management module B connects to Ethernet switch B. Each management module also monitors one of the system standby power supplies (SPS) through an RS232 connection. Standard PowerMax 8000 racks have LED bars that are connected to the management modules and are used for system/bay identification during service activities.

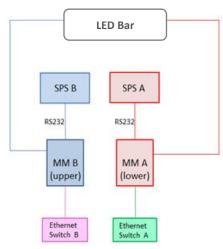


Figure 15 illustrates management module connectivity.

Figure 15 Management module connectivity

The internal Ethernet connectivity network monitors and logs environmental events across all critical components and reports any operational problems. Critical components include director boards, global memory, power supplies, power line input modules, fans, and various on/off switches. The network's environmental control capability is able to monitor each component's local voltages, ensuring optimum power delivery. Temperature of director boards and memory are also continuously monitored. Failing components can be detected and replaced before a failure occurs.

The AC power main is checked for the following:

- AC failures
- Power loss to a single power zone
- DC failures

- Current sharing between DC supplies
- DC output voltage
- Specific notification of overvoltage condition
- Current from each DC supply
- Voltage drops across major connectors

Figure 16 illustrates the internal Ethernet connectivity.

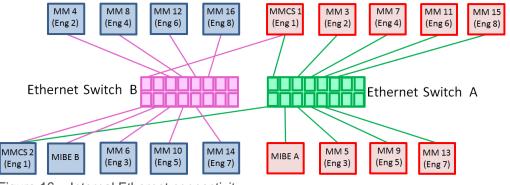


Figure 16 Internal Ethernet connectivity

### 6.1 Vaulting

As cache size has grown, the time required to move all cached data to a persistent state has also increased. Vaulting is designed to limit the time needed to power off the system if it needs to switch to a battery supply. Upon complete system power loss or transitioning a system to an offline state, PowerMaxOS performs a vault of cache memory to dedicated I/O modules known as flash I/O modules. The flash I/O modules use NVMe technology to safely store data in cache during the vaulting sequence.

Lithium-ion standby power supply (Li-Ion SPS) modules provide battery backup functionality during the vault operation. Two SPS modules are configured per engine. The SPS modules also provide back-up power to the InfiniBand switches in applicable configurations.

#### 6.1.1 Vault triggers

State changes that require the system to vault are referred to as vault triggers. There are two types of vault triggers: internal availability triggers and external availability triggers.

#### 6.1.1.1 Internal availability triggers

Internal availability triggers are initiated when global memory data becomes compromised due to component unavailability. Once these components become unavailable, the system triggers the Need to Vault (NTV) state, and vaulting occurs. There are three internal triggers:

**Vault flash availability** – The NVMe flash I/O modules are used for storage of metadata under normal conditions, as well as storing any data that is being saved during the vaulting process. PowerMax systems can withstand failure and replacement of flash I/O modules without impact to processing. However, if the overall available flash space in the system is reduced to the minimum to be able to store the required copies of global memory, the NTV process triggers. This is to ensure that all of the data is saved before a potential further loss of vault flash space occurs.

**Global memory (GM) availability** – When any of the mirrored director pairs are both unhealthy either logically or environmentally, NTV triggers because of GM unavailability.

**Fabric availability** – When both the fabric switches are environmentally unhealthy, NTV triggers because of fabric unavailability.

#### 6.1.1.2 External availability triggers

External availability triggers are initiated under circumstances when global memory data is not compromised, but it is determined that the system preservation is improved by vaulting. Vaulting in this context is used as a mechanism to stop host activity, facilitate easy recovery, or act as an attempt to proactively take action to prevent potential data loss. There are three external triggers:

Input power – If power is lost to both power zones, the system vaults.

Engine trigger – If an entire engine fails, the system vaults.

**DAE trigger** – If the system has lost access to the whole DAE or DAEs, including dual-initiator failure, and loss of access causes configured RAID members to become non-accessible, the system vaults.

### 6.2 Power-down operation

When a system is powered down or transitioned to offline, or when environmental conditions trigger a vault situation, a vaulting procedure occurs. First, the part of global memory that is saved reaches a consistent image (no more writes). The directors then write the appropriate sections of global memory to the flash I/O modules, saving multiple copies of the logical data. The SPS modules maintain power to the system during the vaulting process for up to 5 minutes.

### 6.3 Power-up operation

During power-up, the data is written back to global memory to restore the system. When the system is powered-on, the startup program does the following:

- Initializes the hardware and the environmental system
- Restores the global memory from the saved data while checking the integrity of the data. This is accomplished by taking sections from each copy of global memory that was saved during the powerdown operation and combining them into a single complete copy of global memory. If there are any data integrity issues in a section of the first copy that was saved, then that section is extracted from the second copy during this process.
- Performs a cleanup, data structure integrity, and initialization of needed global memory data structures

At the end of the startup program, the system resumes normal operation when the SPS modules are recharged enough to support another vault operation. If any condition is not safe, the system does not resume operation and calls Customer Support for diagnosis and repair. In this state, Dell EMC Customer Support can communicate with the system and find out the reason for not resuming normal operation.

## 7 Remote Support

Remote support is an important and integral part of Dell EMC Customer Support. Every PowerMax system has two integrated Management Module Control Stations (MMCS) that continuously monitor the PowerMax environment. The MMCS modules can communicate with the Customer Support Center through a network connection to the EMC Secure Remote Support (ESRS) Gateway.

Through the MMCS, the system actively monitors all I/O operations for errors and faults. By tracking these errors during normal operation, PowerMaxOS can recognize patterns of error activity and predict a potential hard failure before it occurs. This proactive error tracking capability can often prevent component failures by fencing off, or removing from service, a suspect component before a failure occurs.

To provide remote support capabilities, the system is configured to call home and alert Dell EMC Customer Support of a potential failure. An authorized Dell EMC Technical Support Engineer can run system diagnostics remotely for further troubleshooting and resolution. Configuring Dell EMC products to allow inbound connectivity also enables Dell EMC Customer Support to proactively connect to the systems to gather needed diagnostic data or to attend to identified issues. The current connect-in support program for the system uses the latest digital key exchange technology for strong authentication, layered application security, and a centralized support infrastructure that places calls through an encrypted tunnel between Customer Support and the MMCS located inside the system.

Before anyone from Customer Support can initiate a connection to a system at the customer site, that person must be individually authenticated and determined to be an appropriate member of the Customer Support team. Field-based personnel who might be known to the customer must still be properly associated with the specific customer's account.

An essential part of the design of the connectivity support program is that the connection must originate from one of several specifically designed Remote Support Networks at Dell EMC. Within each of those Support Centers, the necessary networking and security infrastructure has been built to enable both the call-home and call-device functions.

### 7.1 Supportability through the Management Module Control Station

Each PowerMax system has two management module control stations (MMCS) in the first engine of each system (one per director). The MMCS combines the management module and control station (service processor) hardware into a single module. It provides environmental monitoring capabilities for power, cooling, and connectivity. Each MMCS monitors one of the system standby power supplies (SPS) through an RS232 connection. Each MMCS is also connected to both internal Ethernet switches within the system as part of the internal communications and environmental control system.

The MMCS also provides remote support functionality. Each MMCS connects to the customer's local area network (LAN) to allow monitoring of the system, as well as remote connectivity for the Dell EMC Customer Support team. Each MMCS can also be connected to an external laptop or KVM source.

The MMCS located in director 1 is known as the primary MMCS, and the MMCS located in director 2 is known as the secondary MMCS. The primary MMCS provides all control station functionality when it is operating normally, while the secondary MMCS provides a subset of this functionality. If the primary MMCS fails, the secondary MMCS is put in an elevated secondary state, which allows more functionality for the duration of this state. Both MMCS are connected to the customer network, giving the system the redundant ability to report any errors to Dell EMC Customer Support, as well as allowing Dell EMC Customer Support to connect to the system remotely.

The MMCS is used in the following support and maintenance tasks:

- PowerMaxOS upgrade procedures
- Hardware upgrade procedures
- Internal scheduler tasks that monitor the health of the system
- Error collection, logging, and reporting through the call-home feature
- Remote connectivity and troubleshooting by Dell EMC Customer Support
- Component replacement procedures

The MMCS also controls the LED bars on the front and back of each standard PowerMax 8000 rack. These can be used for system identification purposes by remote and on-site Dell EMC service personnel. Figure 17 illustrates MMCS connectivity.

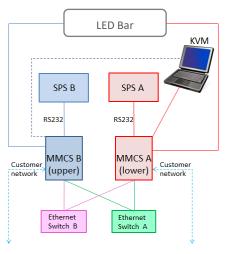


Figure 17 MMCS connectivity

## 7.2 Secure Service Credential (SSC), secured by RSA

The Secure Service Credential technology applies exclusively to service processor activities and not hostinitiated actions on array devices. These service credentials describe who is logging in, the capabilities they have, a time frame that the credential is good for, and the auditing of actions the service personnel performed which can be found in the symaudit logs. If these credentials are not validated, the user cannot log in to the MMCS or other internal functions. SSC covers both on-site and remote login.

Some of the security features are transparent to the customer, such as service access authentication and authorization by Dell EMC Customer Support and SC (user ID information) restricted access (MMCS and Dell EMC Customer Support internal functions). Access is definable at a user level, not just at a host level. All user ID information is encrypted for secure storage within the array.

MMCS-based functions honor Solutions Enabler Access Control settings per authenticated user in order to limit view/control of non-owned devices in shared environments such as SRDF-connected systems.

## 8 Component-level serviceability

PowerMax systems provide full component-level redundancy to protect against a component failure and ensure continuous and uninterrupted access to information. This non-disruptive replacement capability allows the Customer Support Engineer to install a new component, initialize it if necessary, and bring it online without stopping system operation, taking unaffected channel paths offline, or powering the unit down.

A modular design improves serviceability by allowing non-disruptive component replacements, should a failure occur. This low parts count minimizes the number of failure points.

PowerMax systems feature non-disruptive replacement of all major components, including:

- Engine components:
  - Director boards
  - I/O Modules
    - > Fibre Channel (front-end)
    - > Embedded NAS (eNAS)
    - > PCle (back-end)
    - > Flash (Vault)
    - > SRDF Compression
    - > Inline Compression/Deduplication
    - > Fabric
  - Management modules/management module control stations
  - Power supplies
  - Fans
- Drive Array Enclosure (DAE) components:
  - NVMe drives
  - Link Control Cards (LCC)
  - Power supplies
  - PCIe cables
- Cabinet Components
  - InfiniBand switches
  - Ethernet switches
  - Standby Power Supplies (SPS)
  - Power Distribution Units (PDU)

### 8.1 Dell EMC internal QE testing

Dell EMC's Quality Engineering (QE) Teams perform thorough testing of all FRUs. Each FRU is tested multiple times for each code level with very specific pass/fail criteria.

Standard tests perform verification of the GUI-based scripted replacement procedures that are used by Dell EMC field personnel. The tests are designed to verify the replaceability of each FRU without any adverse effects on the rest of the system, and to verify the functionality and ease-of-use of the scripted procedures. These tests are straightforward replacement procedures performed on operational components.

Non-standard tests are also performed on components that have failed either by error injection or hot removal of the component or its power source. These tests also incorporate negative testing by intentionally causing different failure scenarios during the replacement procedure. Please note that removing a drive hot will not cause sparing to invoke. This behavior is optimal as the system knows the device has not gone bad. The correct course of action is to recover the drive rather than go through needless sparing and full rebuild processes.

Negative tests are designed to make sure that the replacement procedure properly detects the error and that the rest of the system is not affected.

Some examples of negative tests are:

- Replacing the wrong component
- Replacing component with an incompatible component
- Replacing component with a faulty component
- Replacing component with a new component that has lower code that needs to be upgraded
- Replacing component with a new component that has higher code that needs to be downgraded
- Replacing component with the same component and make sure script detects and alerts the user that the same component is being used
- Improperly replacing a component (miscabled, unseated, etc)
- Initiating a system vault save (system power loss) operation during a replacement procedure

Both the standard and non-standard tests are performed on all system models and various configurations with customer-like workloads running on the array. Tests are also performed repeatedly to verify there are no residual issues left unresolved that could affect subsequent replacements of the same or different component(s). Components that are known to fail more frequently in the field, drives for example, as well as complex component replacements, are typically tested more frequently.

## 9 Non-Disruptive PowerMaxOS Upgrades

Interim updates of PowerMaxOS can be performed remotely by the Remote Change Management (RCM) group. These updates provide enhancements to performance algorithms, error recovery and reporting techniques, diagnostics, and PowerMaxOS fixes. They also provide new features and functionality for PowerMaxOS.

During an online PowerMaxOS code load, a member of the RCM team downloads the new PowerMaxOS code to the MMCS. The new PowerMaxOS code loads into the EEPROM areas within the directors, and remains idle until requested for a hot load in the control store. The system loads executable PowerMaxOS code within each director hardware resource until all directors are loaded. Once the executable PowerMaxOS code is loaded, internal processing is synchronized and the new code becomes operational.

The system does not require customer action during the performance of this function. All directors remain online to the host processor, thus maintaining application access.

## 10 TimeFinder and SRDF replication software

## 10.1 Local replication using TimeFinder

TimeFinder<sup>™</sup> software delivers point-in-time copies of volumes that can be used for backups, decision support, data warehouse refreshes, or any other process that requires parallel access to production data.

TimeFinder SnapVX is highly-scalable, highly-efficient, and easy to use.

SnapVX provides very low impact snapshots and clones for data volumes. SnapVX supports up to 256 snapshots per source volume, which are tracked as versions with less overhead and simple relationship tracking. Users can assign names to their snapshots and have the option of setting automatic expiration dates on each snapshot.

SnapVX provides the ability to manage consistent point-in-time copies for storage groups with a single operation. Up to 1024 target volumes can be linked per source volume, providing read/write access as pointer-based or full copies.

Users can also create secure snapshots that prevent a snapshot from being terminated until a specified retention time has been reached.

For more information on TimeFinder SnapVX, refer to *Dell EMC PowerMaxOS TimeFinder Local Replication* Technical Notes.

### 10.2 Remote replication using SRDF

Symmetrix Remote Data Facility (SRDF) solutions provide industry-leading disaster recovery and data mobility solutions. SRDF replicates data between 2, 3 or 4 arrays located in the same room, on the same campus, or thousands of kilometers apart.

- SRDF synchronous (SRDF/S)
  - Maintains a real-time copy at arrays located within 200 kilometers.
  - Writes from the production host are acknowledged from the local array when they are written to cache at the remote array.
- SRDF asynchronous (SRDF/A)
  - Maintains a dependent-write, consistent copy at arrays located at unlimited distances.
  - Writes from the production host are acknowledged immediately by the local array. Thus
    replication has no impact on host performance.
  - Data at the remote array is typically only seconds behind the primary site.

SRDF disaster recovery solutions use "active remote" mirroring and dependent-write logic to create consistent copies of data. Dependent-write consistency ensures transactional consistency when the applications are restarted at the remote location. SRDF can be tailored to meet various Recovery Point Objectives/Recovery Time Objectives.

SRDF can be used to create complete solutions to:

- Create real-time (SRDF/S) or dependent-write-consistent (SRDF/A) copies at 1, 2, or 3 remote arrays.
- Move data quickly over extended distances.
- Provide 3-site disaster recovery with;
  - Business continuity
  - Zero data loss
  - Disaster restart

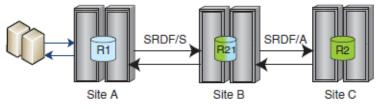
SRDF integrates with other Dell EMC products to create complete solutions to:

- Restart operations after a disaster with:
  - Business continuity
  - Zero data loss
- Restart operations in clustered environments.
  - For example, Microsoft Cluster Server with Microsoft Failover Clusters.
- Monitor and automate restart operations on an alternate local or remote server.
- Automate restart operations in VMware environments.

#### 10.2.1 Cascaded SRDF and SRDF/Star support

Cascaded SRDF configurations use 3-site remote replication with SRDF/A mirroring between sites B and C, delivering additional disaster restart flexibility.

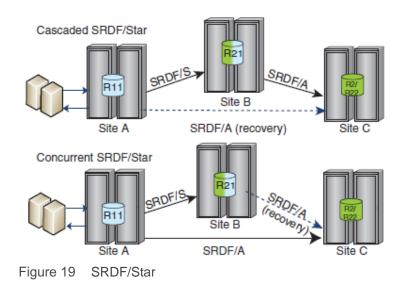
Figure 18 shows an example of a Cascaded SRDF solution.





SRDF/Star is commonly used to deliver the highest resiliency in disaster recovery. SRDF/Star is configured with three sites enabling resumption of SRDF/A with no data loss between the two remaining sites, providing continuous remote data mirroring and preserving disaster-restart capabilities.

Figure 19 shows examples of Cascaded and Concurrent SRDF/Star solutions.



### 10.2.2 SRDF/Metro support

SRDF/Metro significantly changes the traditional behavior of SRDF Synchronous mode with respect to the remote (R2) device availability to better support host applications in high-availability environments. With SRDF/Metro, the SRDF R2 device is read/write accessible to the host and takes on the federated (such as geometry and device WWN) personality of the primary R1 device. By providing this federated personality on the R2 device, both R1 and R2 devices then appear as a single virtual device to the host. With both the R1 and R2 devices being accessible, the host or hosts (in the case of a cluster) can read and write to both R1 and R2 devices with SRDF/Metro ensuring that each copy remains current, consistent, and addressing any write conflicts that may occur between the paired SRDF devices.

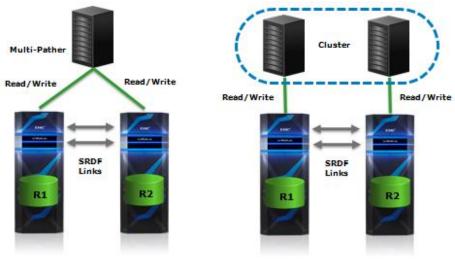


Figure 20 shows examples of SRDF/Metro solutions.

Figure 20 SRDF/Metro

On the left is an SRDF/Metro configuration with a standalone host that has read/write access to both arrays (R1 and R2 devices) using multi-pathing software such as PowerPath. This is enabled by federating the personality of the R1 device to ensure that the paired R2 device appears, through additional paths to the host, as a single virtualized device.

On the right is a clustered host environment where each cluster node has dedicated access to an individual array. In either case, writes to the R1 or R2 devices are synchronously copied to its SRDF paired device. Should a conflict occur between writes to paired SRDF/Metro devices, the conflicts are internally resolved to ensure a consistent image between paired SRDF devices is maintained to the individual host or host cluster.

SRDF/Metro may be selected and managed through Solutions Enabler, Unisphere for PowerMax, and REST API. SRDF/Metro requires a separate license on both arrays to be managed.

For more information on SRDF, refer to the *Dell EMC PowerMax Family Product Guide*, and *Introduction to SRDF/Metro* White Paper.

## 11 Unisphere for PowerMax System Health Check

Unisphere for PowerMax has a system health check procedure that interrogates the health of the array hardware. The procedure checks various aspects of the system and reports the results as either pass or fail. The results are reported at a high level with the intent of either telling the user that there are no hardware issues present or that issues were found and the user should contact Dell EMC Customer Support for further investigation.

The health check procedure is accessed from the System Health Dashboard as Figure 21 shows.

HOME	000197600	SYSTEM HEALTH SG COMPLIANCE	CAPACITY	REPLICATION
DASHBOARD	ID 000197600 PowerMax OS 5978.86.8	60 43	3	10
STORAGE	Model PowerMax_8000 Connection Local	500 455 SGS	C	SGS
HOSTS				
DATA PROTECTION	Health Score		Updated	Actions
PERFORMANCE	60 Total Issues 14	Total Issues	14 Issues	VIEW SYMMETRIX PROPERTIES
SYSTEM	60 Configuration 14	S Director state has changed to Offline Object is: 000197600 1:FA-3D	-20	MANAGE EMULATION
EVENTS	Capacity	S Director state has changed to Offline Object is: 00019760( I:RF-3G	-20	VIEW RESERVATIONS
SUPPORT	100 System Utilization 100 Service Level Compliance	Director state has changed to Offline Object is: 000197600     1.RF-3G	-20	VIEW OTHER HARDWARE
	100 SG Response Time			RUN HEALTH CHECK

Figure 21 Unisphere System Health Dashboard

The test takes several minutes to complete. When complete, clicking the Run Health Check link displays test results in the format shown in Figure 22:

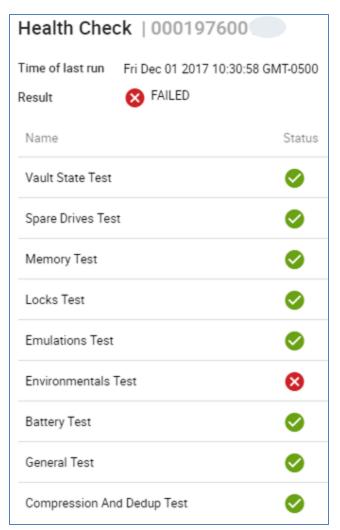


Figure 22 Health Check Results

## 12 Conclusion

PowerMax family platforms integrate a highly-redundant architecture, creating a remarkably reliable environment in a configuration that minimizes carbon footprint in the data center and reduces total cost of ownership. The introduction of PowerMaxOS enhances the customer's experience through new technologies such as service level-based provisioning, making storage management easier while also increasing availability of data through improvements to concepts such as vaulting, disk sparing, and RAID. The local and remote replication suites bring the system to an elevated level of availability, through TimeFinder SnapVX and SRDF, respectively. The serviceability aspects make the component replacement process quick and easy.

The key enhancements that improve the reliability, availability, and serviceability of the systems make PowerMax the ideal choice for critical applications and 24x7 environments that require uninterrupted access to information.

## A References

Reference information and product documentation can be found at dellemc.com and support.emc.com, including:

- Dell EMC PowerMax Family Product Guide
- Dell EMC PowerMaxOS Local Replication Technical Note
- Dell EMC PowerMax SRDF/Metro Overview and Best Practices Technical Note