



2019 Disclosures Regarding Awards and Recognitions

Awards and recognitions by unaffiliated rating services, companies, and/or publications should not be construed by a client or prospective client as a guarantee that the client will experience a certain level of results if GW & Wade, LLC (“GW & Wade”) is engaged, or continues to be engaged, to provide investment advisory services; nor should they be construed as a current or past endorsement of GW & Wade or its Counselors by any of its clients. Such rating may not be representative of any one client’s experience. Rankings published by magazines and others are generally based exclusively on information prepared and/or submitted by the recognized firm on the employee’s behalf. Please see below for a more detailed description of the criteria used with respect to the awards and recognitions granted to GW & Wade and/or its individual Counselors.

Boston Magazine FIVE STAR Wealth Manager Award

Award candidates are identified by one of three sources: firm nomination, peer nomination or pre-qualification based on industry standing. Self-nominations are not accepted. Working with a Five Star Wealth Manager is no guarantee of future investment success, nor is there any guarantee that the identified professionals will be awarded this accomplishment by Five Star Professional in the future. GW & Wade did not pay a fee to participate in the Five Star recognitions, although a fee was paid for magazine placement and the creation of a more detailed profile. For more information concerning the Five Star Wealth Manager Award, please see the [Five Star Research Link](#) and [Five Star Disclosure Link](#).

Required eligibility criteria for the Five Star Wealth Manager Award are:

- (1) Credentialed as an investment advisory representative or a registered investment advisor;
- (2) Actively employed as a credentialed professional in the financial services industry for a minimum of five years;
- (3) Favorable regulatory and complaint history review;
- (4) Fulfilled their firm review based on internal firm standards; and
- (5) Accepting new clients.

Eligibility criteria for the Five Star Wealth Manager Award that are considered include

- (1) One-year client retention rate;
- (2) Five-year client retention rate;
- (3) Non-institutional discretionary and/or non-discretionary client assets administered;
- (4) Number of client households served; and
- (5) Education and professional designations.

3,619 Boston area wealth managers were considered for the award. 566 (16 percent of candidates) were named 2019 Five Star Wealth Managers. Investment Advisory Services offered through GW & Wade, LLC.

Boston Business Journal (BBJ) - List of Largest Private Companies in Massachusetts

Firms are considered for BBJ's annual list of 100 "Largest Private Companies in Massachusetts" by submitting a survey to BBJ that includes revenue totals by year. Working with a firm on this list does not guarantee future investment success, nor is there any guarantee that GW & Wade will receive this recognition in the future. GW & Wade did not pay a fee to participate in this survey. GW & Wade's appearance on this list is not indicative of the Firm's future performance nor is it representative of any one client's experience at GW & Wade.

BBJ ranks private Massachusetts companies on their list by total 2018 revenue. BBJ does not publicize the number of companies that were considered for the list of 100 Largest Private Companies in Massachusetts.

GW & Wade stated on the survey provided to BBJ that it is a subsidiary of Focus Financial Partners, LLC, which is headquartered in the state of New York. GW & Wade, LLC's corporate headquarters are located in Massachusetts and firm operations are managed by GW & Wade principals.