

AUTOPILOT

“No Platform Fee” Discount Program

PROGRAM DETAILS AND TERMS

Riskalyze is pleased to provide the following discount program for advisors placing assets in funds on its “No Platform Fee” list and managing those assets on the Autopilot automated account platform.

Eligibility:

- An advisor who places at least \$5 million in NPF funds on Autopilot will receive their Autopilot Trading license (product code APT) for free.
- An advisor who places at least \$10 million in NPF funds on Autopilot will receive both their Autopilot Trading license (product code APT) and their Riskalyze license (product code RSK-PRO or RSK-PREMIER) for free.
- If an advisor has purchased additional Riskalyze or Autopilot licenses, one additional license will be free for each incremental \$5 million placed in NPF funds on Autopilot.

*Broker-dealer and custodian ticket charges still apply

** The Risk Number for this security will be provided once it has a life span of 6 months.

MONEY MARKET ALTERNATIVES/PRESERVATION OF CAPITAL		
RISK 1	FTSM	First Trust Enhanced Short Maturity ETF
RISK 1	LMBS	First Trust Low Duration Opportunities ETF
TAXABLE FIXED INCOME FUNDS		
RISK 61	SOVB	Cambria Sovereign Bond ETF
RISK 76	FEMB	First Trust Emerging Markets Local Currency Bond ETF
RISK 46	FTSL	First Trust Senior Loan Fund
RISK 26	HYLS	First Trust Tactical High Yield ETF
**	LGOV	First Trust Long Duration Opportunities ETF
**	LDSF	First Trust Low Duration Strategic Focus ETF
RISK 22	FIXD	First Trust TCW Opportunistic Fixed Income ETF
RISK 23	UCON	First Trust TCW Unconstrained Plus Bond ETF
TAX-FREE FIXED INCOME FUNDS		
RISK 1	FSMB	First Trust Short Duration Managed Municipal ETF
RISK 1	FUMB	First Trust Ultra Short Duration Managed Municipal ETF
RISK 23	FCAL	First Trust California Municipal High Income ETF
RISK 22	FMB	First Trust Managed Municipal ETF
RISK 44	MCEF	First Trust Municipal CEF Income Opportunity ETF
RISK 15	FMHI	First Trust Municipal High Income ETF
RISK 24	VBND	Vident Core US Bond Strategy ETF
ALTERNATIVE FUNDS		
RISK 28	CCOR	Cambria Core Equity ETF
RISK 48	GAA	Cambria Global Asset Allocation ETF
RISK 67	GMOM	Cambria Global Momentum ETF
RISK 77	VAMO	Cambria Value & Momentum ETF
RISK 43	TRTY	Cambria Trinity ETF
RISK 90	TAIL	Cambria Tail Risk ETF
RISK 48	SDCAX	Swan Defined Risk US Small Cap Fund Clas
RISK 62	SDCIX	Swan Defined Risk US Small Cap Fund Clas
RISK 56	SDFAX	Swan Defined Risk Emerging Markets A
RISK 62	SDFIX	Swan Defined Risk Emerging Markets I
RISK 47	SDJAX	Swan Defined Risk Foreign Developed Fund
RISK 47	SDJIX	Swan Defined Risk Foreign Developed Fund
RISK 39	SDRAX	Swan Defined Risk A
RISK 39	SDRIX	Swan Defined Risk I
RISK 89	ROOF	IQ US Real Estate Small Cap ETF
RISK 91	PPTY	US Diversified Real Estate ETF
RISK 58	FAAR	First Trust Alternative Absolute Return Strategy ETF
RISK 87	FTGC	First Trust Global Tactical Commodity Strategy Fund
RISK 64	FTHI	First Trust BuyWrite Income ETF
RISK 53	FTLS	First Trust Long/Short Equity ETF
RISK 49	FTLB	First Trust Hedged BuyWrite Income ETF
RISK 61	FMF	First Trust Morningstar Managed Futures Strategy Fund

NASDAQ RISKALYZE INDEX FUNDS		
RISK 78	RNLC	Large Cap US Equity Select ETF
RISK 82	RNMC	Mid Cap US Equity Select ETF
RISK 87	RNSC	Small Cap US Equity Select ETF
RISK 77	RNDV	US Equity Dividend Select ETF
RISK 74	RNDM	Developed International Equity Select ETF
RISK 86	RNEM	Emerging Markets Equity Select ETF
SIZE/STYLE FUNDS		
RISK 79	FMK	First Trust Mega Cap AlphaDEX® Fund
RISK 83	FEX	First Trust Large Cap Core AlphaDEX®
RISK 76	FTC	First Trust Large Cap Growth AlphaDEX®
RISK 87	FTA	First Trust Large Cap Value AlphaDEX® Fund
RISK 86	FNX	First Trust Mid Cap Core AlphaDEX® Fund
RISK 88	FNY	First Trust Mid Cap Growth AlphaDEX® Fund
RISK 87	FNK	First Trust Mid Cap Value AlphaDEX® Fund
RISK 89	FYX	First Trust Small Cap Core AlphaDEX® Fund
RISK 90	FYC	First Trust Small Cap Growth AlphaDEX® Fund
RISK 90	FYT	First Trust Small Cap Value AlphaDEX® Fund
RISK 80	FAD	First Trust Multi Cap Growth AlphaDEX® Fund
RISK 88	FAB	First Trust Multi Cap Value AlphaDEX® Fund
RISK 80	TUSA	First Trust Total US Market AlphaDEX® ETF
RISK 68	EDOW	First Trust Dow 30 Equal Weight ETF
RISK 89	FDM	First Trust Dow Jones Select MicroCap Index Fund
RISK 64	HUSV	First Trust Horizon Managed Volatility Domestic ETF
RISK 89	GVAL	Cambria Global Value ETF
RISK 76	BIBL	Inspire 100 ETF
RISK 91	CSML	IQ Chaikin U.S. Small Cap
EQUITY INCOME FUNDS		
RISK 84	EYLD	Cambria Emerging Shareholder Yield ETF
RISK 85	FYLD	Cambria Foreign Shareholder Yield ETF
RISK 85	SYLD	Cambria Shareholder Yield ETF
RISK 89	FGD	First Trust Dow Jones Global Select Dividend Index Fund
RISK 79	FDL	First Trust Morningstar Dividend Leaders Index Fund
RISK 85	TDIV	First Trust NASDAQ Technology Dividend Index Fund
RISK 77	EMLP	First Trust North American Energy Infrastructure Fund
RISK 85	RDVY	First Trust Rising Dividend Achievers ETF
RISK 87	SDVY	First Trust SMID Cap Rising Dividend Achievers ETF
RISK 91	FDD	First Trust STOXX® European Select Dividend Index Fund
RISK 61	FVD	First Trust Value Line® Dividend Index Fund

GLOBAL/INTERNATIONAL			
RISK 84	VIDI	Vident International Equity	
RISK 42	KCNY	KraneShares E China Commercial Paper ETF	
RISK 97	KWEB	KraneShares CSI China Internet ETF	
RISK 95	KFYP	KraneShares CICC China Leaders 100 Index ETF	
RISK 97	KBA	Kraneshares Bosera MSCI China A Share ETF	
RISK 89	FDT	First Trust Developed Markets ex-US AlphaDEX® Fund	
RISK 88	FDTs	First Trust Developed Markets ex-US Small Cap AlphaDEX® Fund	
RISK 93	FEM	First Trust Emerging Markets AlphaDEX® Fund	
RISK 91	FEMS	First Trust Emerging Markets Small Cap AlphaDEX® Fund	
RISK 93	FPA	First Trust Asia Pacific ex-Japan AlphaDEX® Fund	
RISK 91	FEP	First Trust Europe AlphaDEX® Fund	
RISK 87	FEUZ	First Trust Eurozone AlphaDEX® ETF	
RISK 97	FLN	First Trust Latin America AlphaDEX® Fund	
RISK 90	FAUS	First Trust Australia AlphaDEX® Fund	
RISK 98	FBZ	First Trust Brazil AlphaDEX® Fund	
RISK 97	FCA	First Trust China AlphaDEX® Fund	
RISK 92	FCAN	First Trust Canada AlphaDEX® Fund	
RISK 88	FGM	First Trust Germany AlphaDEX® Fund	
RISK 91	FHK	First Trust Hong Kong AlphaDEX® Fund	
RISK 87	FJP	First Trust Japan AlphaDEX® Fund	
RISK 93	FKO	First Trust South Korea AlphaDEX® Fund	
RISK 83	FSZ	First Trust Switzerland AlphaDEX® Fund	
RISK 82	FDNI	First Trust Dow Jones International Internet ETF	
RISK 78	FID	First Trust S&P International Dividend Aristocrats ETF	
RISK 88	FKU	First Trust United Kingdom AlphaDEX® Fund	
RISK 93	BICK	First Trust BICK Index Fund	
RISK 88	IFV	First Trust Dorsey Wright International Focus 5 ETF	
RISK 87	FLM	First Trust Global Engineering and Construction ETF	
RISK 55	FPXE	First Trust IPOX® Europe Equity Opportunities ETF	
RISK 60	HDMV	First Trust Horizon Managed Volatility Developed Int'l ETF	
RISK 89	FPXI	First Trust International IPO ETF	
RISK 91	CARZ	First Trust NASDAQ Global Auto Index Fund	
RISK 93	FNI	First Trust ISE China ETF	
RISK 89	NFTY	First Trust India NIFTY 50 Equal Weight ETF	
HYBRID INCOME FUNDS			
RISK 27	DRSK	Aptus Defined Risk ETF	
RISK 69	FCEF	First Trust CEF Income Opportunity ETF	
RISK 32	FPEI	First Trust Institutional Preferred Securities and Income ETF	
RISK 58	FPE	First Trust Preferred Securities and Income ETF	
RISK 58	FCVT	First Trust SSI Strategic Convertible Securities ETF	
RISK 43	FDIV	First Trust Strategic Income ETF	
RISK 58	MDIV	Multi-Asset Diversified Income Index Fund	
DYNAMIC CURRENCY HYDRO FUNDS			
RISK 86	RFAP	RiverFront Dynamic Asia Pacific ETF	
RISK 81	RFDI	RiverFront Dynamic Developed International ETF	
RISK 88	RFEM	RiverFront Dynamic Emerging Markets ETF	
RISK 82	RFEU	RiverFront Dynamic Europe ETF	
GREEN/SOCIAL AWARE FUNDS			
RISK 80	BLES	Inspire Global Hope ETF	
RISK 21	IBD	Inspire Corporate Bond Impact ETF	
RISK 88	ISMD	Inspire Small/Mid Cap Impact ETF	
RISK 94	FAN	First Trust Global Wind Energy ETF	
RISK 95	QLCN	First Trust NASDAQ® Clean Edge® Green Energy Index Fund	
RISK 90	GRID	First Trust NASDAQ® Clean Edge® Smart Grid Infrastructure Index	
RISK 86	FIW	First Trust Water ETF	

SECTOR/INDUSTRY FUNDS			
RISK 86	FTXD	First Trust Nasdaq Retail ETF	
RISK 77	FTXG	First Trust Nasdaq Food & Beverage ETF	
RISK 98	FCG	First Trust Natural Gas ETF	
RISK 97	FTXN	First Trust Nasdaq Oil & Gas ETF	
RISK 91	QABA	First Trust NASDAQ® ABA Community Bank Index Fund	
RISK 93	FTXO	First Trust Nasdaq Bank ETF	
RISK 90	FTXH	First Trust Nasdaq Pharmaceuticals ETF	
RISK 88	FBT	First Trust NYSE Arca Biotechnology Index Fund	
RISK 89	FTXR	First Trust Nasdaq Transportation ETF	
RISK 98	FTAG	First Trust Indxx Global Agriculture ETF	
RISK 98	FTRI	First Trust Indxx Global Natural Resources Income ETF	
RISK 91	FFR	First Trust Developed Markets Real Estate Index Fund	
RISK 92	FRI	First Trust S&P REIT Index Fund	
RISK 80	FDN	First Trust Dow Jones Internet Index Fund	
RISK 82	FDNI	First Trust Dow Jones International Internet ETF	
RISK 88	SKYY	First Trust Cloud Computing ETF	
RISK 86	QTEC	First Trust NASDAQ-100-Technology Sector Index Fund	
RISK 89	CIBR	First Trust Nasdaq Cybersecurity ETF	
RISK 93	FTXL	First Trust Nasdaq Semiconductor ETF	
RISK 99	NXTG	First Trust IndXX NextG ETF	
RISK 88	FXD	First Trust Consumer Discretionary AlphaDEX® Fund	
RISK 68	FXG	First Trust Consumer Staples AlphaDEX® Fund	
RISK 97	FXN	First Trust Energy AlphaDEX® Fund	
RISK 89	FXO	First Trust Financials AlphaDEX® Fund	
RISK 73	FXH	First Trust Health Care AlphaDEX® Fund	
RISK 89	FXR	First Trust Industrials/Producer Durables AlphaDEX® Fund	
RISK 92	FXZ	First Trust Materials AlphaDEX® Fund	
RISK 87	FXL	First Trust Technology AlphaDEX® Fund	
RISK 69	FXU	First Trust Utilities AlphaDEX® Fund	
RISK 86	FLAG	Forensic Accounting Long-Short ETF	
RISK 80	VUSE	Vident Core US Equity	
SPECIALTY FUNDS			
RISK 87	BEMO	Aptus Behavioral Momentum ETF	
RISK 86	FTVA	Aptus Fortified Value ETF	
RISK 77	ERM	EquityCompass Risk Manager ETF	
RISK 89	TERM	EquityCompass Tactical Risk Manager ETF	
RISK 79	FTCS	First Trust Capital Strength ETF	
RISK 83	FVC	First Trust Dorsey Wright Dynamic Focus 5 ETF	
RISK 87	FV	First Trust Dorsey Wright Focus 5 ETF	
RISK 74	DWPP	First Trust Dorsey Wright People's Portfolio ETF	
RISK 75	DDIV	First Trust Dorsey Wright Momentum & Dividend ETF	
RISK 90	DALI	First Trust Dorsey Wright DALI 1 ETF	
RISK 46	DVOL	First Trust Dorsey Wright Momentum & Low Volatility ETF	
RISK 88	DVLU	First Trust Dorsey Wright Momentum & Value ETF	
RISK 83	LEGR	First Trust Indxx Innovative Transaction & Process ETF	
RISK 82	QQEW	First Trust NASDAQ-100 Equal Weighted Index Fund	
RISK 82	QQXT	First Trust NASDAQ-100 ex-Technology Sector Index Fund	
RISK 90	ROBT	First Trust Nasdaq Artificial Intelligence and Robotics ETF	
RISK 94	AIRR	First Trust RBA American Industrial Renaissance® ETF	
RISK 76	FPX	First Trust US Equity Opportunities ETF	
RISK 72	FCTR	First Trust LUNT US Factor Rotation	
RISK 86	FVL	First Trust Value Line® 100 Exchange-Traded Fund	
RISK 25	AGGP	IQ Enhanced Core Plus Bond US ETF	
RISK 71	HFXI	IQ 50 Pct Hedged FTSE International ETF	

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