

AUTOPILOT

“No Platform Fee” Discount Program

PROGRAM DETAILS AND TERMS

Riskalyze is pleased to provide the following discount program for advisors placing assets in funds on its “No Platform Fee” list and managing those assets on the Autopilot automated account platform.

Eligibility:

- An advisor who places at least \$5 million in NPF funds on Autopilot will receive their Autopilot Trading license (product code APT) for free.
- An advisor who places at least \$10 million in NPF funds on Autopilot will receive both their Autopilot Trading license (product code APT) and their Riskalyze license (product code RSK-SELECT or RSK-ELITE) for free.
- If an advisor has purchased additional Riskalyze or Autopilot licenses, one additional license will be free for each incremental \$5 million placed in NPF funds on Autopilot.
- If a firm has purchased a Riskalyze Office license (product code RSK-Office), which provides the deepest discounts, NPF thresholds will be lowered in coordination with the discount.
- Autopilot Connected Trading is activated at the RIA level. If you operate under your own RIA, we can license Autopilot directly to you. If you operate under the corporate RIA at your broker-dealer, they have to provide Autopilot to you under a platform agreement with Riskalyze. If you would like to get access through your corporate RIA, please let us know.

*Broker-dealer and custodian ticket charges still apply

MONEY MARKET ALTERNATIVES/PRESERVATION OF CAPITAL		
RISK 1	FTSM	First Trust Enhanced Short Maturity ETF
RISK 1	LMBS	First Trust Low Duration Opportunities ETF
TAXABLE FIXED INCOME FUNDS		
RISK 64	SOVB	Cambria Sovereign Bond ETF
RISK 76	FEMB	First Trust Emerging Markets Local Currency Bond ETF
RISK 45	FTSL	First Trust Senior Loan Fund
RISK 26	HYLS	First Trust Tactical High Yield ETF
RISK 32	LGOV	First Trust Long Duration Opportunities ETF
RISK 1	LDSF	First Trust Low Duration Strategic Focus ETF
RISK 22	FIXD	First Trust TCW Opportunistic Fixed Income ETF
RISK 23	UCON	First Trust TCW Unconstrained Plus Bond ETF
RISK 34	RWDIX	Redwood Managed Volatility Fund I
RISK 44	FGZMX	Fidelity Advisor® New Markets Income I
RISK 22	EFIPX	Fidelity Advisor® Limited Term Bond I
RISK 22	FEPIX	Fidelity Advisor® Total Bond I
RISK 61	FAHCX	Fidelity Advisor® High Income Advantage I
RISK 41	FGTMX	Fidelity Advisor® High Income I
TAX-FREE FIXED INCOME FUNDS		
RISK 1	FSMB	First Trust Short Duration Managed Municipal ETF
RISK 1	FUMB	First Trust Ultra Short Duration Managed Municipal ETF
RISK 20	FCAL	First Trust California Municipal High Income ETF
RISK 21	FMB	First Trust Managed Municipal ETF
RISK 40	MCEF	First Trust Municipal CEF Income Opportunity ETF
RISK 6	FMHI	First Trust Municipal High Income ETF
RISK 15	RWMIX	Redwood Managed Volatility Fund I
RISK 24	VBND	Vident Core US Bond Strategy ETF
ALTERNATIVE FUNDS		
RISK 30	CCOR	Cambria Core Equity ETF
RISK 49	GAA	Cambria Global Asset Allocation ETF
RISK 67	GMOM	Cambria Global Momentum ETF
RISK 78	VAMO	Cambria Value & Momentum ETF
RISK 42	TRTY	Cambria Trinity ETF
RISK 89	TAIL	Cambria Tail Risk ETF
RISK 49	SDCAX	Swan Defined Risk US Small Cap Fund A
RISK 62	SDCIX	Swan Defined Risk US Small Cap Fund I
RISK 56	SDFAX	Swan Defined Risk Emerging Markets A
RISK 62	SDFIX	Swan Defined Risk Emerging Markets I
RISK 48	SDJAX	Swan Defined Risk Foreign Developed Fund A
RISK 47	SDIIX	Swan Defined Risk Foreign Developed Fund I
RISK 39	SDRAX	Swan Defined Risk A
RISK 39	SDRIX	Swan Defined Risk I
RISK 91	PPTY	US Diversified Real Estate ETF
RISK 58	FAAR	First Trust Alternative Absolute Return Strategy ETF
RISK 87	FTGC	First Trust Global Tactical Commodity Strategy Fund
RISK 64	FTHI	First Trust BuyWrite Income ETF
RISK 53	FTLS	First Trust Long/Short Equity ETF
RISK 48	FTLB	First Trust Hedged BuyWrite Income ETF
RISK 61	FMF	First Trust Morningstar Managed Futures Strategy Fund

NASDAQ RISKALYZE INDEX FUNDS		
RISK 78	RNLC	Large Cap US Equity Select ETF
RISK 82	RNMC	Mid Cap US Equity Select ETF
RISK 87	RNSC	Small Cap US Equity Select ETF
RISK 77	RNDV	US Equity Dividend Select ETF
RISK 74	RNDM	Developed International Equity Select ETF
RISK 86	RNEM	Emerging Markets Equity Select ETF
SIZE/STYLE FUNDS		
RISK 79	FMK	First Trust Mega Cap AlphaDEX® Fund
RISK 83	FEX	First Trust Large Cap Core AlphaDEX®
RISK 77	FTC	First Trust Large Cap Growth AlphaDEX®
RISK 87	FTA	First Trust Large Cap Value AlphaDEX® Fund
RISK 86	FNX	First Trust Mid Cap Core AlphaDEX® Fund
RISK 88	FNY	First Trust Mid Cap Growth AlphaDEX® Fund
RISK 88	FNK	First Trust Mid Cap Value AlphaDEX® Fund
RISK 89	FYX	First Trust Small Cap Core AlphaDEX® Fund
RISK 90	FYC	First Trust Small Cap Growth AlphaDEX® Fund
RISK 90	FYT	First Trust Small Cap Value AlphaDEX® Fund
RISK 80	FAD	First Trust Multi Cap Growth AlphaDEX® Fund
RISK 88	FAB	First Trust Multi Cap Value AlphaDEX® Fund
RISK 81	TUSA	First Trust Total US Market AlphaDEX® ETF
RISK 69	EDOW	First Trust Dow 30 Equal Weight ETF
RISK 89	FDM	First Trust Dow Jones Select MicroCap Index Fund
RISK 63	HUSV	First Trust Horizon Managed Volatility Domestic ETF
RISK 90	GVAL	Cambria Global Value ETF
RISK 76	BIBL	Inspire 100 ETF
RISK 86	FALIX	Fidelity Advisor® Large Cap I
RISK 65	FINSX	Fidelity Advisor® New Insights I
RISK 74	FSCIX	Fidelity Advisor® Small Cap I
RISK 77	FTRIX	Fidelity Advisor® Mega Cap Stock I
RISK 86	FCVIX	Fidelity Advisor® Small Cap Value I
RISK 84	FAGCX	Fidelity Advisor® Growth Opps I
RISK 74	RTWIX	Redwood AlphaFactor Tactical Core Fund I
RISK 88	LSAF	LeaderShares AlphaFactor U.S. Core Equity ETF
EQUITY INCOME FUNDS		
RISK 86	EYLD	Cambria Emerging Shareholder Yield ETF
RISK 85	FYLD	Cambria Foreign Shareholder Yield ETF
RISK 85	SYLD	Cambria Shareholder Yield ETF
RISK 89	FGD	First Trust Dow Jones Global Select Dividend Index Fund
RISK 79	FDL	First Trust Morningstar Dividend Leaders Index Fund
RISK 85	TDIV	First Trust NASDAQ Technology Dividend Index Fund
RISK 76	EMLP	First Trust North American Energy Infrastructure Fund
RISK 85	RDVY	First Trust Rising Dividend Achievers ETF
RISK 88	SDVY	First Trust SMID Cap Rising Dividend Achievers ETF
RISK 91	FDD	First Trust STOXX® European Select Dividend Index Fund
RISK 61	FVD	First Trust Value Line® Dividend Index Fund
RISK 78	FGIOX	Fidelity Advisor® Growth & Income I

GLOBAL/INTERNATIONAL		
RISK 69	RWIIX	Redwood AlphaFactor Tactical International I
RISK 84	VIDI	Vident International Equity
RISK 86	FDVIX	Fidelity Advisor® Diversified International I
RISK 97	FIXIX	Fidelity Advisor® International Small Cap I
RISK 86	FAOIX	Fidelity Advisor® Overseas I
RISK 80	FTEIX	Fidelity Advisor® Total Emerging Markets I
RISK 89	FIMKX	Fidelity Advisor® Emerging Markets I
RISK 89	FCPIX	Fidelity Advisor® International Capital Appreciation I
RISK 90	FDT	First Trust Developed Markets ex-US AlphaDEX® Fund
RISK 88	FDTXS	First Trust Developed Markets ex-US Small Cap AlphaDEX® Fund
RISK 93	FEM	First Trust Emerging Markets AlphaDEX® Fund
RISK 91	FEMS	First Trust Emerging Markets Small Cap AlphaDEX® Fund
RISK 93	FPA	First Trust Asia Pacific ex-Japan AlphaDEX® Fund
RISK 91	FEP	First Trust Europe AlphaDEX® Fund
RISK 88	FEUZ	First Trust Eurozone AlphaDEX® ETF
RISK 97	FLN	First Trust Latin America AlphaDEX® Fund
RISK 90	FAUS	First Trust Australia AlphaDEX® Fund
RISK 98	FBZ	First Trust Brazil AlphaDEX® Fund
RISK 97	FCA	First Trust China AlphaDEX® Fund
RISK 92	FCAN	First Trust Canada AlphaDEX® Fund
RISK 89	FGM	First Trust Germany AlphaDEX® Fund
RISK 91	FHK	First Trust Hong Kong AlphaDEX® Fund
RISK 87	FJP	First Trust Japan AlphaDEX® Fund
RISK 94	FKO	First Trust South Korea AlphaDEX® Fund
RISK 80	FSZ	First Trust Switzerland AlphaDEX® Fund
RISK 82	FDNI	First Trust Dow Jones International Internet ETF
RISK 78	FID	First Trust S&P International Dividend Aristocrats ETF
RISK 88	FKU	First Trust United Kingdom AlphaDEX® Fund
RISK 94	BICK	First Trust BICK Index Fund
RISK 88	IFV	First Trust Dorsey Wright International Focus 5 ETF
RISK 88	FLM	First Trust Global Engineering and Construction ETF
RISK 61	FPXE	First Trust IPOX® Europe Equity Opportunities ETF
RISK 62	HDMV	First Trust Horizon Managed Volatility Developed Int'l ETF
RISK 89	FPXI	First Trust International IPO ETF
RISK 91	CARZ	First Trust NASDAQ Global Auto Index Fund
RISK 93	FNI	First Trust ISE China ETF
RISK 90	NFTY	First Trust India NIFTY 50 Equal Weight ETF
HYBRID INCOME FUNDS		
RISK 21	DRSK	Aptus Defined Risk ETF
RISK 68	FCEF	First Trust CEF Income Opportunity ETF
RISK 31	FPEI	First Trust Institutional Preferred Securities and Income ETF
RISK 58	FPE	First Trust Preferred Securities and Income ETF
RISK 58	FCVT	First Trust SSI Strategic Convertible Securities ETF
RISK 43	FDIV	First Trust Strategic Income ETF
RISK 58	MDIV	Multi-Asset Diversified Income Index Fund
RISK 47	RWSIX	Redwood Systematic Macro Trend I
DYNAMIC CURRENCY HYDRO FUNDS		
RISK 86	RFAP	RiverFront Dynamic Asia Pacific ETF
RISK 83	RFDI	RiverFront Dynamic Developed International ETF
RISK 88	RFEM	RiverFront Dynamic Emerging Markets ETF
RISK 84	RFEU	RiverFront Dynamic Europe ETF
GREEN/SOCIAL AWARE FUNDS		
RISK 81	BLES	Inspire Global Hope ETF
RISK 21	IBD	Inspire Corporate Bond Impact ETF
RISK 88	ISMD	Inspire Small/Mid Cap Impact ETF
RISK 94	FAN	First Trust Global Wind Energy ETF
RISK 95	OCLN	First Trust NASDAQ® Clean Edge® Green Energy Index Fund
RISK 90	GRID	First Trust NASDAQ® Clean Edge® Smart Grid Infrastructure Index
RISK 86	FIW	First Trust Water ETF
RISK 70	FWMNX	Fidelity Advisor Women's Leadership Fund I

THIS IS AN OPT-IN PROGRAM

SECTOR/INDUSTRY FUNDS		
RISK 86	FTXD	First Trust Nasdaq Retail ETF
RISK 76	FTXG	First Trust Nasdaq Food & Beverage ETF
RISK 98	FCG	First Trust Natural Gas ETF
RISK 97	FTXN	First Trust Nasdaq Oil & Gas ETF
RISK 91	QABA	First Trust NASDAQ® ABA Community Bank Index Fund
RISK 94	FTXO	First Trust Nasdaq Bank ETF
RISK 90	FTXH	First Trust Nasdaq Pharmaceuticals ETF
RISK 89	FBT	First Trust NYSE Arca Biotechnology Index Fund
RISK 90	FTXR	First Trust Nasdaq Transportation ETF
RISK 98	FTAG	First Trust Indxx Global Agriculture ETF
RISK 98	FTRI	First Trust Indxx Global Natural Resources Income ETF
RISK 91	FFR	First Trust Developed Markets Real Estate Index Fund
RISK 92	FRI	First Trust S&P REIT Index Fund
RISK 81	FDN	First Trust Dow Jones Internet Index Fund
RISK 82	FDNI	First Trust Dow Jones International Internet ETF
RISK 89	SKYY	First Trust Cloud Computing ETF
RISK 86	QTEC	First Trust NASDAQ-100-Technology Sector Index Fund
RISK 89	CIBR	First Trust Nasdaq Cybersecurity ETF
RISK 92	FTXL	First Trust Nasdaq Semiconductor ETF
RISK 87	NXTG	First Trust IndXX NextG ETF
RISK 88	FXD	First Trust Consumer Discretionary AlphaDEX® Fund
RISK 67	FXG	First Trust Consumer Staples AlphaDEX® Fund
RISK 97	FXN	First Trust Energy AlphaDEX® Fund
RISK 89	FXO	First Trust Financials AlphaDEX® Fund
RISK 73	FXH	First Trust Health Care AlphaDEX® Fund
RISK 89	FXR	First Trust Industrials/Producer Durables AlphaDEX® Fund
RISK 92	FXZ	First Trust Materials AlphaDEX® Fund
RISK 88	FXL	First Trust Technology AlphaDEX® Fund
RISK 68	FXU	First Trust Utilities AlphaDEX® Fund
RISK 88	FBTIX	Fidelity Advisor® Biotechnology I
RISK 91	FFSIX	Fidelity Advisor® Financial Services I
RISK 73	FHCIX	Fidelity Advisor® Health Care I
RISK 87	FCLIX	Fidelity Advisor® Industrials I
RISK 90	FELIX	Fidelity Advisor® Semiconductors I
RISK 87	FATIX	Fidelity Advisor® Technology I
RISK 81	FTUIX	Fidelity Advisor® Telecommunications I
RISK 84	FGJMX	Fidelity Advisor® Communication Services I
RISK 82	FCNIX	Fidelity Advisor® Consumer Discretionary I
RISK 87	FLAG	Forensic Accounting Long-Short ETF
RISK 81	VUSE	Vident Core US Equity
SPECIALTY FUNDS		
RISK 87	BEMO	Aptus Behavioral Momentum ETF
RISK 87	FTVA	Aptus Fortified Value ETF
RISK 79	ERM	EquityCompass Risk Manager ETF
RISK 89	TERM	EquityCompass Tactical Risk Manager ETF
RISK 79	FTCS	First Trust Capital Strength ETF
RISK 83	FVC	First Trust Dorsey Wright Dynamic Focus 5 ETF
RISK 87	FV	First Trust Dorsey Wright Focus 5 ETF
RISK 74	DWPP	First Trust Dorsey Wright People's Portfolio ETF
RISK 73	DDIV	First Trust Dorsey Wright Momentum & Dividend ETF
RISK 91	DALI	First Trust Dorsey Wright DALI 1 ETF
RISK 39	DVOL	First Trust Dorsey Wright Momentum & Low Volatility ETF
RISK 88	DVLU	First Trust Dorsey Wright Momentum & Value ETF
RISK 86	LEGR	First Trust Indxx Innovative Transaction & Process ETF
RISK 82	QQEW	First Trust NASDAQ-100 Equal Weighted Index Fund
RISK 83	QQXT	First Trust NASDAQ-100 ex-Technology Sector Index Fund
RISK 91	ROBT	First Trust Nasdaq Artificial Intelligence and Robotics ETF
RISK 94	AIRR	First Trust RBA American Industrial Renaissance® ETF
RISK 76	FPX	First Trust US Equity Opportunities ETF
RISK 75	FCTR	First Trust LUNT US Factor Rotation
RISK 86	FVL	First Trust Value Line® 100 Exchange-Traded Fund
RISK 90	FMRMX	Fidelity Advisor® Event Driven Opportunities I
RISK 69	FIFVX	Fidelity Advisor® Founders I
RISK 92	RWLIX	Redwood Activist Leaders Fund I