

PARTIALLY BLOCKED EXIT, ROUTES, STAIRWELLS, ETC.	ensure all evacuation doors are open outward	CORRECTED	CORRECTED	CORRECTED	N/A	N/A	N/A	N/A
NO FIRE DRILL IN PAST ONE YEAR	conduct the fire drill at least twice per year	evacuation drill should be conducted in dormitory area in frequency of every six months	CORRECTED	the factory could not provide any record of fire drill in the workshop, dormitory or canteen for the last year during the audit	The factory will conduct fire drills quarterly.	July 31, 2013	LOW	NOT CORRECTED
PARTIALLY BLOCKED EXIT, ROUTES, STAIRWELLS, ETC.	N/A	warehouse more than 300 square meters should have at least two exits	CORRECTED	CORRECTED	N/A	N/A	N/A	CORRECTED
FIRE FIGHTING EQUIPMENT POORLY MAINTAINED	N/A	N/A	N/A	no fire-safety inspection record for fire extinguishers in the factory, including the workshop, warehouses for semi-finished/finished products, dormitory and canteen	Corrected at time of first consulting visit.	N/A	N/A	CORRECTED
FIRE FIGHTING EQUIPMENT POORLY MAINTAINED	N/A	N/A	N/A	fire alarms were not powered in the dormitory exits and gates of the warehouse and the canteen	Corrected at time of first consulting visit.	N/A	N/A	CORRECTED
NO FIRE FIGHTING EQUIPMENT	N/A	N/A	N/A	there were no smoke alarms in the semi-finished products warehouse and finished products warehouse in the factory	The factory will install automatic fire alarms in the semi-finished goods and goods warehouse.	July 31, 2013	HIGH	NOT CORRECTED
EVACUATION ASSEMBLY POINT IS NOT DESIGNATED AND MARKED	N/A	N/A	N/A	the evacuation assembly point was not marked on the factory floor	Corrected at time of first consulting visit.	N/A	N/A	CORRECTED
PARTIALLY BLOCKED EXIT, ROUTES, STAIRWELLS, ETC.	N/A	N/A	N/A	exits routes were blocked in the semi-finished warehouses, finished products warehouses and canteen	Corrected at time of first consulting visit.	N/A	N/A	CORRECTED
PARTIALLY BLOCKED EXIT, ROUTES, STAIRWELLS, ETC.	N/A	N/A	N/A	the emergency exits in the semi-finished warehouses, finished products warehouses and canteen were locked	Corrected at time of first consulting visit.	N/A	N/A	CORRECTED
NO FIRE SAFETY TRAINING CONDUCTED FOR ALL PERSONNEL EVERY SIX MONTHS	N/A	N/A	N/A	the factory could not provide any fire safety training records for all personnel this year	The factory will provide adequate fire safety training to workers.	July 31, 2013	LOW	NOT CORRECTED
TRAINED FIREFIGHTERS NOT ESTABLISHED FOR EACH FLOOR OF THE FACILITY	N/A	N/A	N/A	the factory could not provide any firefighters' training records in the office production buildings, dormitory or canteen	The factory will obtain trained firefighters asap.	July 31, 2013	LOW	NOT CORRECTED
NO SECONDARY EXIT ON ALL FLOORS OF BUILDING	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NO DOCUMENTATION OF FIRE DRILLS, AS OVERSEEN BY AN EXTERNAL PARTY SUCH AS THE FIRE AUTHORITY, RETAINED AT THE FACILITY	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
Other	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ELECTRICAL SAFETY								
HAZARDOUS WIRING / POOR CIRCUIT BOX CONDITION (INAPPROPRIATELY ENCLOSED ELECTRICAL BOXES.)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OTHER	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
PPE / CHEMICAL SAFETY								
NO OR INAPPROPRIATE SPILL RESPONSE MATERIAL / SECONDARY CONTAINMENT	N/A	N/A	N/A	there was no secondary container for chemicals stored in the chemical material warehouse	The factory will provide secondary containers for chemicals in chemical warehouse.	July 31, 2013	LOW	NOT CORRECTED
NO MSDS / NO OR INSUFFICIENT HAZARDOUS SIGNING / NO OR IMPROPER LABELING / INVENTORY	N/A	N/A	N/A	there was no MSDS for chemicals used in the laboratory (e.g. alcohol, silver nitrate, isopropanol)	Corrected at time of first consulting visit.	N/A	N/A	CORRECTED
NO PROPER EYE-WASH / SHOWER STATION	N/A	N/A	N/A	no eye-wash stations was available in secondary processing workshop and chemical warehouse	The factory will install eye-wash stations asap.	July 31, 2013	LOW	NOT CORRECTED

NO PPE PROVIDED TO WORKERS IN THE WHOLE FACTORY	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ACCIDENT / FIRST AID PROGRAM								
FIRST AID BOX SUPPLIES NOT SUFFICIENT	N/A	N/A	N/A	there were insufficient supplies in the first aid kit in the workshop and canteen, such as hydrogen peroxide, disposable gloves, scissors etc.)	The factory will provide first aid kits in production workshop and dormitory and provide adequate supplies.	July 31, 2013	LOW	NOT CORRECTED
RIGHT OF INSPECTION								
PART OF RELEVANT INFORMATION NOT AVAILABLE / MISSING	electrician should obtain proper license	laborers engaged in special operations shall receive specialized training and acquire qualifications for these special operations; and the certificate should be provided for review	laborers engaged in special operations shall receive specialized training and acquire qualifications for these special operations; and the certificate should be provided for review	the factory management was unable to provide the operation certificate for forklift operators	The factory will obtain operation certificate of forklift operator.	July 31, 2013	LOW	NOT CORRECTED
PART OF RELEVANT INFORMATION NOT AVAILABLE / MISSING	maintain relevant fire service certificate or record for review	CORRECTED	CORRECTED	CORRECTED	N/A	N/A	N/A	CORRECTED
WALMART PRODUCTION IN FACTORIES WITH NO VALID ES AUDIT	obtain Walmart written approval prior to suppliers	obtain Walmart written approval prior to suppliers	obtain Walmart written approval prior to suppliers	N/A	The factory will obtain valid Walmart ES audit reports of suppliers.	PENDING	LOW	NOT CORRECTED
PART OF RELEVANT INFORMATION NOT AVAILABLE / MISSING	N/A	N/A	N/A	No wage or time records were provided for review. The factory explained that this was because the attendance system had broken down.	The factory should maintain complete and accurate payroll and attendance records for review	July 31, 2013	HIGH	NOT CORRECTED
PART OF RELEVANT INFORMATION NOT AVAILABLE / MISSING	N/A	N/A	N/A	the factory management failed to provide the safety inspection reports for boiler and forklift being used in the factory for review	Corrected at time of first consulting visit.	N/A	N/A	CORRECTED
ENVIRONMENT - WASTE MANAGEMENT								
IMPROPER WASTE INVENTORY AND TRACKING SYSTEM	N/A	N/A	N/A	the factory management was unable to provide hazardous waste (including activated sludge and items used to clean up chemical materials) transfer manifest for review	The factory will ensure hazardous waste is disposed by qualified contractors.	July 31, 2013	LOW	NOT CORRECTED
ENVIRONMENT - WASTEWATER & EFFLUENTS MANAGEMENT								
DRAINAGE SYSTEM	provide drain pipe network plan for review and collect the rainwater and the waste water generated from the factory separately	drainage plan should be maintained	CORRECTED	CORRECTED	N/A	N/A	N/A	CORRECTED
OTHER	N/A	N/A	N/A	the factory could not provide a waste water testing report for review	Corrected at time of first consulting visit.	N/A	N/A	CORRECTED
ENVIRONMENT - AIR EMISSIONS MANAGEMENT								
ODS (OZONE DEPLETING SUBSTANCES) INVENTORY	N/A	ODS inventory should be maintained	CORRECTED	CORRECTED	N/A	N/A	N/A	CORRECTED
Air emission monitoring not conducted as per applicable legal / permit requirements	N/A	N/A	N/A	the factory could not provide an exhaust gas testing report for review	Corrected at time of first consulting visit.	N/A	N/A	CORRECTED
ENVIRONMENT - WATER MANAGEMENT								
INVENTORY OF WATER USE AND	provide its inventory of water use and	inventory of water use and monitoring	CORRECTED	CORRECTED	N/A	N/A	N/A	CORRECTED
ENVIRONMENT - ENERGY USE AND GHG MANAGEMENT								
MONITORING OF ENERGY CONSUMPTION, INCLUDING FUEL USE FOR TRANSPORTATION INSIDE FACTORY	established the power use statistical system and not provide its monitoring records of energy consumption	energy usage calculation should be conducted and the actual practice of reducing GHG emission should be applied	CORRECTED	CORRECTED	N/A	N/A	N/A	CORRECTED
GHG EMISSIONS INVENTORY (DIRECT AND INDIRECT/RELATED TO ENERGY CONSUMPTION) NOT MONITORED AND DOCUMENTED	N/A	GHG inventory should be maintained	CORRECTED	CORRECTED	N/A	N/A	N/A	CORRECTED
ENVIRONMENT - LAND USE & BIODIVERSITY								

ENVIRONMENTAL IMPACT ASSESSMENT NOT CONDUCTED AND/OR NOT APPROVED BY APPROPRIATE PUBLIC AUTHORITY AS PER APPLICABLE LEGAL REQUIREMENTS	apply the acceptance check for their construction project and keep the records	CORRECTED	CORRECTED	CORRECTED	N/A	N/A	N/A	CORRECTED
ENVIRONMENT - MANAGEMENT SYSTEM								
NO FORMAL/WITTEN ENVIRONMENTAL POLICY	establish the environment policy or declare and obtain approve for above policy or declare from the management	CORRECTED	CORRECTED	CORRECTED	N/A	N/A	N/A	CORRECTED
NO TRAINED MEMBER OF MANAGEMENT RESPONSIBLE FOR COORDINATION OF ENVIRONMENTAL MANAGEMENT ACTIVITIES	arrange qualified or trained environment specialist	management staff should be trained for environmental management activities	CORRECTED	CORRECTED	N/A	N/A	N/A	CORRECTED
NO ENVIRONMENTAL LEGAL REGISTER IN PLACE AND REGULARLY UPDATED	N/A	N/A	N/A	the factory could not provide the requested list of related local environmental laws	The factory will obtain all related environmental laws asap.	July 31, 2013	LOW	NOT CORRECTED
ENVIRONMENT – NOISE POLLUTION (EXTERNAL)								
NO NOISE POLLUTION MONITORING CONDUCTED AS PER APPLICABLE LEGAL PERMIT / REQUIREMENTS	N/A	N/A	N/A	the factory could not provide a noise testing report for review	Corrected at time of first consulting visit.	N/A	N/A	CORRECTED
DORMITORIES AND CANTEEN								
NO FIRST AID KIT PROVIDED IN A VISIBLE, ACCESSIBLE LOCATION IN DORMITORY AND CANTEEN / FIRST AID SUPPLIES NOT SUFFICIENT	N/A	N/A	N/A	no first aid kit was provided in the canteen of the factory	The factory will provide first aid kit in canteen.	July 31, 2013	LOW	NOT CORRECTED
INADEQUATE EVACUATION PLAN IN DORMITORY (NOT POSTED, NOT IN LOCAL LANGUAGE, NOT UP TO DATE, NO "YOU ARE HERE MARK" INCLUDED IN EVACUATION PLAN, ETC.)	N/A	N/A	N/A	the factory did not an evacuation plan posted in the dormitory	Corrected at time of first consulting visit.	N/A	N/A	CORRECTED
APPROPRIATE NUMBER OF FUNCTIONING SINKS WITH BOTH, HOT AND COLD WATER, NOT PROVIDED ACCORDING TO LEGAL REGULATIONS (WHERE LEGAL REGULATIONS ARE NOT SPECIFIED, AT LEAST ONE SINK FOR EVERY SIX OCCUPANTS MUST BE PROVIDED)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
WORK AREA DESIGN & MAINTENANCE								
OTHER	N/A	N/A	N/A	the factory could not provide any occupational hazard assessment reports for review	The factory will obtain occupational hazard assessment reports asap.	July 31, 2013	LOW	NOT CORRECTED
EQUIPMENT SAFETY / MACHINE SAFETY								
EQUIPMENT SAFETY / MACHINE SAFETY NO MACHINE SAFETY COMMITTEE	N/A	N/A	N/A	there was no machine safety committee established in the factory	The factory will set up a machine safety committee and maintain daily maintenance records.	July 31, 2013	LOW	NOT CORRECTED