

SUITABILITY: ERISA/IRA ROLLOVER WORKSHEET

1. Owner Name: _____

2. Annuitant Name: _____

3. ERISA Institution: _____

4. Existing Contract Number: _____

5. Proposed Annuity Application Number: _____

6. Are you permitted to leave your funds in your current qualified vehicle?

Yes No

7. Were any other alternative investments or insurance products considered prior to moving your funds from your current qualified vehicle?

Yes No

8. Provide in the space below all expenses or fees associated with your current qualified vehicle as well as the expenses or fees associated with the proposed annuity.

TYPE OF CHARGE	EXISTING QUALIFIED VEHICLE	PROPOSED ANNUITY
Please provide Dollar Amount or Percentage		
Other Expenses		

9. Does your employer pay for some or all of your existing qualified vehicle's expenses or fees?

Yes No Not Applicable

10. Does your existing qualified vehicle offer a lifetime income solution?

Yes No

11. Provide in the space below the specific reason or reasons why the new IRA contract will better meet your objectives compared to your existing qualified vehicle (e.g., safety, guaranteed income, lower fees).

**Please be specific*



This Suitability ERISA/IRA Rollover Worksheet is intended only as a tool to collect information to assist the agent and/or client during the sales process. Additional information may be needed prior to the purchase of an insurance product.