

## Instructions for Completing the Form

Who Has to Complete the Form? The purpose of the form is to enable MEDNAX Services, Inc. and its affiliated entities (collectively, the "Institution") to meet certain interest disclosure requirements. Individuals who must complete the form include Principal Investigators and any other person, regardless of title or position, who is responsible for the design, conduct or reporting of the research, including persons who are subgrantees, contractors, collaborators, or consultants (all are referred to as "Researchers"). The form must be completed by each Researcher on his or her own behalf. Each Researcher must disclose to the MEDNAX Clinical Research Department any Significant Interests. The questions included on the form are geared towards capturing the nature and value of interests that constitute Significant Interests. Refer to the MEDNAX Clinical Research Policies.

**Disclosures Included:** A Significant Interest includes: (1) interests that relate to any research study; and (2) interests that are reasonably related or that appear to be related to the study or your institutional responsibilities on behalf of the Institution including, but not limited to, activities such as research consultation, teaching, professional practice, institutional committee memberships, and service on panels such as Institutional Review Boards or Data Safety Monitoring Boards.

The following are examples of Significant Interests which require disclosure and further review:

- The Researcher holds stock in an industry entity sponsor of the research study conducted at the Institution that is currently valued, or expected to be valued, at \$5,000 or more.
- The Researcher, or his her spouse or dependent child, has received within the previous 12 months license fees, royalties, or contractual rights to receive future royalties of more than \$5,000 per year from an industry entity/research study sponsor (regardless of whether the technology for which the royalties are to be paid is related to the research study for which the disclosure has been made).
- The Researcher holds 5% of all outstanding stock interest in a public company sponsoring a research study conducted at the Institution.

- The Researcher serves as a consultant for a public company sponsoring a research study conducted at the Institution under an agreement in which he or she is compensated for such services.
- In a privately funded study (e.g., industry entity funds), the Researcher serves on the scientific advisory board of the sponsoring company.

**Disclosures Excluded:** The following types of interests do not have to be disclosed:

- Salary, royalties, or other remuneration paid to the Researcher by the Institution if he or she is currently employed or otherwise appointed by the Institution;
- Any ownership interest held by the Researcher in the Institution; and
- If the research study is federally funded: (1) Income from seminars, lectures, or teaching engagements sponsored by a federal, state, or local government agency, or an institution of higher education as defined at 20 U.S.C. 1001(a); and (2) income from service on advisory committees or review panels for a federal, state, or local government agency, or an institution of higher education as defined at 20 U.S.C. 1001(a).

What is the Researcher's obligation with respect to newly acquired interests or modifications to previously disclosed interests? A Researcher must submit an updated disclosure of Significant Interests at the commencement of a study, and any time during the study if there is a change in the information contained in his or her previous disclosure form. Subsequent disclosure forms shall be submitted to the Institution within thirty (30) days of the date on which the Researcher obtains or acquires a new Significant Interest (e.g., through contract, purchase, marriage, or inheritance) and shall include any information that was not disclosed initially to the Institution, as well as updated information regarding any previously-disclosed Significant Interest (e.g., the updated value of a previously-disclosed equity interest). If the study is federally funded, a disclosure form is required annually irrespective of whether there are any changes to the information contained in the Researcher's prior disclosure form.

The Clinical Research Department will review Individual disclosures and make a judgment as to whether a problematic conflict exists. If it does, the Clinical Research Department must manage, reduce, or eliminate the conflict before a Research

Application is approved; the Institution is further required to report the existence of such a conflict to the funding agency. The specific financial information provided on your form will be handled as discretely as possible.

The Principal Investigator must ensure that all Individuals, that is, the Principal Investigator and any other research personnel who is responsible for the design, conduct or reporting of the research -- complete the form and submit to the Clinical Research Department.