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## Fraud & Governance Failings by High-Profile Employees

High-profile employees were under internal suspicion of potential fraud and governance failings. A very short timeframe was given to gather all relevant material in a forensically sound manner, process the data and review the findings with the company's lead audit investigations team. The investigation produced evidence of years of governance violations and helped avoid outside action.



## £250,000 Company Saving

Our client was informed of an invoice requiring payment, but there was no record of a transaction. Two days of investigation and thorough forensic examination of emails identified this was in fact not in line with the agreement. As a result, the money was not paid out. This is an excellent example of how minimal investment and effort to conduct a quick investigation can save a company from unnecessary expenditure.

# 4

## EXAMPLES OF OUR EXPERTS' WORK: CLOSED CASES

### 1

#### FRAUD CONVICTIONS (Dialling for Dollars) (2014)



This example of our experts' experience involved a money laundering, financial and fraud investigation based in the UK. Several suspects with International connections posed as genuine customers altering account names, PIN numbers, addresses to obtain mobile devices, SIMs and commit a premium rate fraud.

This involved ringing numbers organized by the criminals to generate money. The money was shipped abroad and placed into accounts in the UK. The perpetrators were convicted of fraud. The evidence used to secure convictions involved an extremely complex collection from mobile devices, laptops, desktops, servers and telecommunications equipment in 11 different locations nationally with additional evidence collected from international locations such as Pakistan and Eire. The information was tied together using analytical techniques to identify common links between the suspects.

### 2

#### INSURANCE FRAUD (Crash for Cash) (2014)



In this example, our experts led a very complex investigation involving a registered (Ministry of Justice) insurance broker who was organizing auto crashes in order to raise/obtain funds through the insurance agencies.

This culminated in a crash which was planned with 26 passengers on board (all of which were involved in the scam). The investigation involved working with a large number of mainstream insurance companies, obtaining evidence from suspects, witnesses and forensically gathering mobile device and telecommunications data.

This, cross-linked with the money laundering trail, led to the ultimate conviction of the perpetrators. Securing this conviction required appearing on 35 separate occasions to give evidence in one trial (most ever by an Officer in the Case). Convictions and sentences passed following completion of the case.

### 3

#### FINANCIAL ADVISORS JAILED (2010)



This was a complex money laundering (conspiracy to launder money), fraud and financial crime investigation that centered around a company called PACE Financial Management Services. This investigative work contributed to the conviction of four suspects in London who were the financial advisors to many high-profile clients. This had international ramifications due to two suspects living in Spain. This was a lengthy investigation with many thousands of documents being collected and used, along with mobile phone, computer and financial crime analysis platforms. This information was put together using advanced analytical techniques in order to identify information common throughout the case and this information would not have been identified any other way.