



Diversity Status and Business Size Certification

Seller's Name: Exhibit Concepts, Inc.
Division of: Enter division if applicable
Address: 700 Crossroad Court, Vandalia, Ohio 45377

Seller's Contact Information

(Please communicate any changes to the Seller's information immediately to 3M's Sourcing Representative)

Name Jenny Ward
Telephone No. (937) 535-0206
Email Address jward@exhibitconcepts.com

Type of Business Organization (to check the appropriate information, double click on the checkbox.)

The Seller represents that it operates as an individual, a partnership,
 a limited liability company, a joint venture, a nonprofit organization, or a corporation
incorporated under the laws of the State or Province of

In addition to the above information, please review Sections 1 through 5 and provide the appropriate information for your company. The definitions of the types of businesses in Sections 1 & 2 below are in Attachment A to this form.

1. The Seller represents that it is a:

Indicate "Yes" below that describes your business.

Large Business Concern (LBE) N/A
Small Business Concern (SBE) N/A

2. The Seller represents that it is classified as:

Indicate "Yes" below that describes your business. If none apply, move section 4.

Minority-Owned Business Enterprise (MBE) – provide a copy of MBE certification along with form submission. N/A

Small Disadvantaged-Owned Business (SDB) N/A

HUBZone (certified by the SBA as HUBZone). N/A If "Yes", enter county: enter county Women-Owned Business Enterprise (WBE) – provide a copy of WBE certification along with form submission. Yes

Veteran-Owned Business (VET) N/A

Service Disabled Veteran-Owned Business (SDV) N/A

Alaska Native Corporation (ANC) N/A

Indian Tribe N/A

Lesbian, Gay, Bisexual and Transgender-Owned Business (LGB&T) - provide a copy of

LGB&T certification with form submission. N/A

Foreign Business Entity. **N/A** Country where incorporated or otherwise existing under the laws of a country other than the United States: Enter country

3. The MBE, WBE, or LGB&T Certification's date of expiration 12/31/2020

4. Certification Regarding Suspension and Debarment (to check the appropriate information, double click on the checkbox.)

The Seller and/or any of its Principals **are** **are not** presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;

The term "Principal," for the purposes of this certification, means an officer; director; owner; partner; or a person having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a division or business segment; and similar positions).

5. Additional Seller Information (to check the appropriate information, double click on the checkbox.)

Yes **No** Are you aware of any kickback given to 3M Company employees?

Is there any financial relationship or ownership interest involving Seller's company and a 3M employee or spouse (other than a stockholder interest in a publicly traded company)? **Yes** **No**

How many people are employed by Seller? **140**

Seller's Gross Annual Sales: **35,000,000**

Seller's Primary North American Industry Classification System (NAICS) Code: **321999**

Seller's DUNS Number: 084753771

Seller's Commercial and Government Entity (CAGE) Code (when applicable; 5 characters): Enter Cage

I CERTIFY THAT THE INFORMATION PROVIDED IN THIS FORM IS CURRENT, COMPLETE AND ACCURATE AS OF THE DATE OF SIGNATURE.

(Only an officer or other employee of the Seller with signatory authority can certify the above information.)

Printed Name Jenny Ward	Title Account Executive
Signature <i>X /s/ Jenny Ward</i>	Date <i>3/24/2020</i>
Jenny Ward	
Phone Number (937) 535-0206	

NOTE: The penalties for making false statements in bids or proposals are prescribed in 18 U.S.C. 1001.

Please return the completed form (pages 1 & 2 only) to: 3M Originator

NOTICE: Under 15 U.S.C. 645(d), any person who misrepresents a firm's status as a small business concern, small disadvantaged business concern, veteran-owned small business concern, service disabled veteran owned small

business concern, HUBZone small business concern, or women-owned small business concern for the purpose of obtaining a subcontract that is to be included as part or all of a goal contained in the contractor's small business subcontracting plan shall be (1) punished by imposition of a fine, imprisonment, or both; (2) subject to administrative remedies, including suspension and debarment; and (3) determined to be ineligible for participation in programs conducted under the authority of the Small Business Act. Similar penalties apply under applicable state law.

This certification shall be in effect for a one-year period from the date of signature above. It is Seller's responsibility to promptly notify in writing the 3M Sourcing Representative if Seller's business size or ownership status changes or if any of the other representations or certifications made above become inaccurate during this one-year period.

*******THE FOLLOWING IS FOR 3M SOURCING INTERNAL USE ONLY*******

If the Seller has represented it is a HUBZone Small Business Concern in Section 2 above, the 3M Sourcing Representative (fill in name), _____, has verified the HUBZone status of the Seller as of ___/___/___ by using the SBA database of certified HUBZone small business concerns at: http://dsbs.sba.gov/dsbs/search/dsp_searchhubzone.cfm or <http://www.sba.gov/hubzone>

If the Seller certifies that it is Alaska Native Corporation or Indian Tribe regardless of size, Sourcing shall classify seller as an **SDB**.

Attachment A – Definitions

“Affiliates” Business concerns are Affiliates of each other if, directly or indirectly, either one controls or has the power to control the other, or another concern controls or has the power to control both. In determining whether affiliation exists, consideration is given to all appropriate factors including common ownership, common management, and contractual relationships; *provided*, that restraints imposed by a franchise agreement are not considered in determining whether the franchisor controls or has the power to control the franchisee, if the franchisee has the right to profit from its effort, commensurate with ownership, and bears the risk of loss or failure. Any business entity may be found to be an Affiliate, whether or not it is organized for profit or located in the United States or its outlying areas. The term “Affiliate” is further defined in FAR 19.101.

“Alaska Native Corporation” (ANC) means any Regional Corporation, Village Corporation, Urban Corporation, or Group Corporation organized under the laws of the State of Alaska in accordance with the Alaska Native Claims Settlement Act, as amended (43 U.S.C. 1601, *et seq.*) and which is considered a minority and economically disadvantaged concern under the criteria at 43 U.S.C. 1626(e)(1). This definition also includes ANC direct and indirect subsidiary corporations, joint ventures, and partnerships that meet the requirements of 43 U.S.C. 1626 (e)(2).

“Concern” means any business entity organized for profit (even if its ownership is in the hands of a nonprofit entity) with a place of business located in the United States or its Outlying Areas and that makes a significant contribution to the U.S. economy through payment of taxes and/or use of American products, material and/or labor, etc. “Concern” includes but is not limited to an individual, partnership, corporation, joint venture, association, or cooperative. For the purpose of making affiliation findings (see FAR 19.101) any business entity, whether organized for profit or not, and any Foreign Business Entity, *i.e.*, any entity located outside the United States and its outlying areas.

“Foreign Business Entity” means a business entity that is incorporated or otherwise existing under the laws of a country other than the United States.

“HUBZone” means a historically underutilized business zone that is an area located within one or more qualified census tracts, qualified nonmetropolitan counties, or lands within the external boundaries of an Indian reservation, qualified base closure areas, or re-designated areas, as defined in 13 CFR 126.103
HUBZone Small Business Concern (HUBZone SB) means a Small Business Concern, certified by the Small Business Administration (SBA), that appears on the list of qualified HUBZone Small Business Concerns maintained by the SBA (13 CFR 126.103).

“Indian Tribe” means any Indian tribe, band, group, pueblo, or community, including native villages and native groups (including corporations organized by Kenai, Juneau, Sitka, and Kodiak) as defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601 *et seq.*), that is recognized by the Federal Government as eligible for services from the Bureau of Indian Affairs in accordance with 25 U.S.C. 1452(c). This definition also includes Indian-owned economic enterprises that meet the requirements of 25 U.S.C. 1452(e).

“Large Business Concern” (LBE) means a business concern that exceeds the small business size code standards established by the SBA as set forth in the Code of Federal Regulations, Title 13, Part 121.

“Minority-Owned Business Enterprise” (MBE) generally means a small business concern that is (1) at least 51% owned and controlled by one or more members of an identified minority group, (2) a for-profit business concern that physically resides in the United States or one of its territories. “Identified minority groups” are generally defined as having an ethnic background consisting of Asian, Black, Hispanic, East Asian Indian and/or Native American. Some certifying entities require the minority group members to be U.S citizens and other certifying entities accept legal residents. Some certifying entities require a 75% ethnic heritage, but others will accept as little as 25%. Ownership by minority group members generally means the business is at least 51% owned by such minority group members or, in the case of a publicly-owned

business, at least 51% of the stock is owned by one or more of such minority group members. In addition, the management and daily operations must be controlled by such minority group members.

“North American Industry Classification System Code” (NAICS) define establishments based on the activities in which they are primarily engaged. Refer to the following websites for more information: <http://www.census.gov/eos/www/naics/>, or <https://www.sba.gov/contracting/>

“Outlying Areas” means the: (1) *Commonwealths of* (i) Puerto Rico, and (ii) The Northern Mariana Islands; (2) *Territories*. (i) American Samoa, (ii) Guam, (iii) U.S. Virgin Islands; and (3) *Minor outlying islands, which include*. (i) Baker Island, (ii) Howland Island, (iii) Jarvis Island, (iv) Johnston Atoll, (v) Kingman Reef, (vi) Midway Islands, (vii) Navassa Island, (viii) Palmyra Atoll, and (ix) Wake Atoll.

“Service-Disabled Veteran-Owned Small Business Concern” (SDVOSB) (1) Means a Small Business Concern— (i) Not less than 51 percent of which is owned by one or more Service-Disabled Veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more Service-Disabled Veterans; and (ii) The management and daily business operations of which are controlled by one or more Service-Disabled Veterans or, in the case of a Service-Disabled Veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran. (2) **“Service-Disabled Veteran”** means a veteran, as defined in 38 U.S.C. 101(2), with a disability that is service-connected, as defined in 38 U.S.C. 101(16).

“Small Business Concern” (SBE) means a Concern, including its Affiliates, which is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the criteria in 13 CFR Part 121 and size standards in the solicitation.

“Small Disadvantaged Business Concern” (SDB), consistent with 13 CFR 124.1002, means a Small Business Concern under the size standard applicable to the acquisition, that (1) Is at least 51 percent unconditionally and directly owned (as defined at 13 CFR 124.105) by (i) One or more socially disadvantaged (as defined at 13 CFR 124.103) and economically disadvantaged (as defined at 13 CFR 124.104) individuals who are citizens of the United States; and (ii) Each individual claiming economic disadvantage has a net worth not exceeding \$750,000 after taking into account the applicable exclusions set forth at 13 CFR 124.104(c)(2); and (2) The management and daily business operations of which are controlled (as defined at 13 CFR 124.106) by individuals, who meet the criteria in paragraphs (1)(i) and (ii) of this definition.

“Veteran-Owned Small Business Concern” (VOSB) means a Small Business Concern - (1) Not less than 51 percent of which is owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans; and (2) The management and daily business operations of which are controlled by one or more veterans.

“Women-Owned Small Business Concern” (WOSB) means a Small Business Concern -- (1) That is at least 51 percent owned by one or more women or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and (2) Whose management and daily business operations are controlled by one or more women.

“Women-Owned Business Enterprise” (WBE) means a business concern that is owned, operated and controlled by a woman or two or more women. The business concern must be a "for-profit" business which physically resides in the United States or one of its territories. The business concern must also have its principal place of business in the United States or one of its territories. Some certifying entities require U.S. citizenship and other certifying entities accept legal residency. Ownership by women means the business concern is at least 51% owned by a woman or group of women. In the case of a publicly-owned business, at least 51% of the stock must be owned by one or more women. Further, the management and daily operations of the business concern must be controlled by those women.

Lesbian, Gay, Bisexual, and Transgender (LGB&T)-Owned Business means a business concern that is owned, operated and controlled by a LGB&T person or group of LGB&T persons. The business concern must be a "for-profit" business which physically resides in the United States or one of its territories. The business concern must also have its principal place of business in the United States or one of its territories. Some certifying entities require U.S. citizenship and other certifying entities accept legal residency. Ownership by a LGB&T person or group of LGB&T persons means the business concern is at least 51% owned by a LGB&T person or group of LGB&T persons. In the case of a publicly-owned business, at least 51% of the stock must be owned by one or more LGB&T persons. Further, the management and daily operations of the business concern must be controlled by the LGB&T person or group of LGB&T persons.