

univeris®

SUMMIT 2017: AGENDA

8:00am	Registration & Breakfast			
9:00am	Welcome Address			
9:15am	Chuck Grace, Keynote Speaker Industry expert keynote shares an analysis of the latest trends in Wealth Management and WealthTech, including the new wave of digital advice opportunities and threats, and their impact on dealer operations, capabilities, and strategies.			
10:15am	Break			
10:45am	Carmine Tullio, President & CEO, Univeris A presentation on the Univeris vision to continuously enable our clients to compete with a decisive technology advantage. Through the lens of trading, asset management & servicing, advisory services & digitalization capabilities, as well as Voice of Customer practices, Carmine shares how Univeris tackles important changes in the retail wealth management business model.			
	David Lai, Product Manager, Univeris Sharing key aspects of the Advisory & Client Experience plans, David demos the latest achievements in digitalization of the advisor and client experience, as well as presents upcoming impactful regulations and dealer opportunities.			
	Richard Binnendyk, EVP, Univeris A presentation on how Univeris aligns with the latest regulatory and market forces. From product mix and fee transparency, to the rise of nominee and upcoming CRM3 implications, Richard showcases how the Univeris Enterprise Solution adapts to new demands and required capabilities.			
12:00pm	Lunch			
1:00pm	Breakout Sessions			
	Advisor In this session, we co-design the Univeris roadmap for the future of advice on a hybrid model combining low touch & high touch; where low-friction, high-engagement, mass personalization and delivery of genuine value are key to success.	Asset Servicing As a continuation from Operations Day (April 2017), we will refine Error Corrections and other key operational activity playbooks. A key objective of this session is to continue to optimize the dealer Value Stream and Lean operations.	Compliance As a continuation from Compliance Day (June 2017), we focus on Leveraging and getting a head start on CRM3. In particular, Univeris will facilitate a joint design session on how the CRM3 program and requirement will be addressed.	Technology As a continuation from Technology Day (Feb 2017), we look at what's next, including critical short-term improvements related to Authentication, mid-term new Security Framework implementation, and longer-term Cloud and Containerization.
4:00pm	Closing Address			
5:00pm	Cocktail & Dinner Reception			