

- An OCIO for brokerage and insurance products.
- Enable **Broker-Dealers, RIAs, and Wealth Firms** to meet their Product Research and Due Diligence obligations. Regulations and client-centric strategies have made our services not only a best practice, but a must in the industry.
- We have built a team, process, and technology to keep up with the increasing complexity for strategies, products, share classes, and riders including income, indexes, crediting methods, cap rates, sub accounts, etc.

Product Research Portal

Analyzes, categorizes and ranks individual products and entire product shelves according to their risk profile and client need.

Portal Users

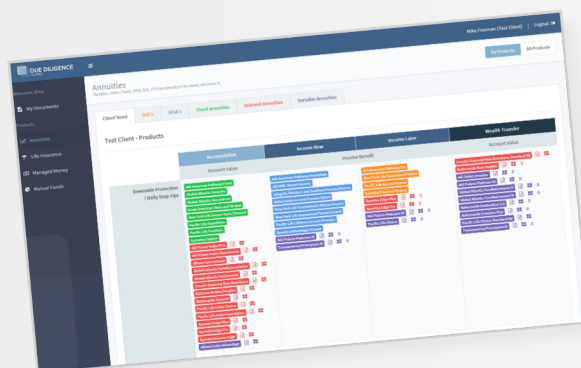
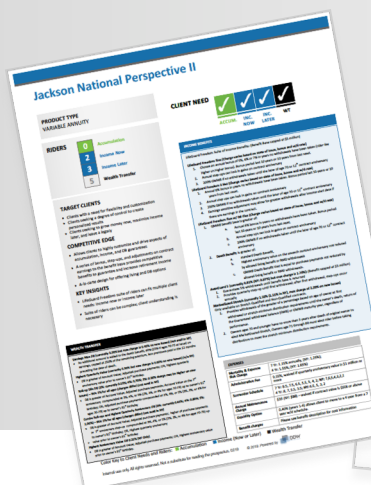
- Due Diligence Analysts
- Product Managers
- Financial Advisors
- RIAs
- Supervision
- CCO, CIO, CSO

What's Included

- Due Diligence Reports
- 4 Box Reports (Supervision and FA product one pagers)
- Product Scoring
- Document Repository
- Client Needs Grid

DDW Client Info

- 35 firms use DDW in the U.S. and Canada (~20k Advisors)
- Broker Dealers Firms
- RIA Firms
- Wealth Firms
- Product Manufacturers
- Individual Producers (BD / RIA)



Who is Behind Due Diligence Works

Michael C. Freeman is the President and Co-Founder of DDW. He started the firm to take his years of experience in the Bank Channel, Wire House, RIA and Platform Providers and create a better way. Prior to DDW, he was the Managing Director, Head of Investments for Citi / Smith Barney and TD Ameritrade Institutional. Early in his career, he had leadership roles in internet start ups and was a management consultant for E&Y.



Jack M. Cramer is the Co-Founder of DDW. He has been consulting with banks, broker-dealers, insurance companies and mutual fund companies since 1997. His primary focus has been developing training, marketing and coaching programs that increase sales by maximizing the efforts and talents of front-line Brokers, their Sales Managers and the Executive Management Team.