

A Simple Guide to Accreditation Verification

Why do I need to be accredited?

Alumni Ventures is required by the SEC* to collect documentation from all investors demonstrating their status as accredited investors.

How do I verify accreditation?

Alumni Ventures has partnered with **Parallel Markets** to provide a portable identity solution for accredited investors. Their platform simplifies investor onboarding by verifying accreditation information in a single click.

What documentation will I need to supply?

The available accreditation tests for verifying your information are detailed below. As the requirements vary based on your method of investment, you will be guided during the verification process to ensure the right documents are being requested.

INDIVIDUAL INVESTORS

Choose **one** of the following accreditation tests.

INDIVIDUAL INVESTORS		BUSINESS OR TRUST INVESTORS		
Choose one of the following accreditation tests.				
Income \$200k annual income individually (or \$300k jointly with a spouse) in each of the two most recently completed calendar years DOCUMENTATION W2s, 1040s, K-1s, or any other IRS tax document demonstrating income in excess of the relevant threshold Valid for full calendar year	Net Worth \$1 million in individual net worth or joint net worth with a spouse DOCUMENTATION Evidence of assets (bank or brokerage statements, tax assessments, property appraisals) and liabilities (a credit report from a national reporting agency) Valid for 90 days	Professional License Maintaining a Series 7, Series 65, or Series 82 license in good standing with a FINRA member firm DOCUMENTATION Provide your CRD number Valid for 90 days	Assets The business or trust maintains assets or investments in excess of \$5 million DOCUMENTATION Bank or brokerage statements demonstrating assets/investments held by the business or trust Valid for 90 days	Ownership Each owner / grantor of the business or trust is accredited DOCUMENTATION Each owner / grantor must complete their individual accreditation Valid for 90 days

All accreditation letters are valid for investments made in the next 90 days per SEC rules. For income-based verifications, new documentation may not need to be submitted for up to 12 months.

NOTE: In lieu of providing documentation, you may have a lawyer, CPA, or SEC-registered investment advisor attest to your accreditation status. Simply enter in their contact information [in the accreditation process](#), and Parallel Markets will reach out to them to collect a letter of attestation. See the FAQs on the following page for more details.

Ready to get started?

Head over to Parallel Markets. All applications will be reviewed within a single business day.

[Verify Accreditation](#) 

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Frequently Asked Questions

If I need help, whom should I contact?

Our dedicated team of Investor Relations Managers is standing by to assist you through the Parallel Markets process. Simply email investor.relations@av.vc and your dedicated Investor Relations Manager will be in touch within one business day. You may also contact Parallel Markets directly if you desire at help@parallelm Markets.com.

Can I attest to my accreditation using a CPA, Lawyer, or Investment Advisor?

A CPA, Lawyer, or Financial Advisor can attest to your status as an Accredited Investor. During the verification process you will have the option to have the verification request emailed directly to your CPA, Lawyer, or Investment Advisor so that they can confirm your status.

Parallel Markets is able to accept Valuation Letters. They recommend having your evaluator complete the letter on firm letterhead and upload it directly to their website.

The team at Parallel Markets suggests that you notify your evaluator directly that a request will be coming through from them, as the emails will sometimes be swept up as spam or junk.

More questions?

Please see the [FAQ page on Parallel Markets' website](#) for more answers to common questions. At any time, you may also reach out to the Parallel Markets help desk at help@parallelm Markets.com or to the Alumni Ventures Investor Relations team at investor.relations@av.vc. To start the accreditation process, visit bridge.parallelm Markets.com/avg.

Why am I being asked to provide a credit report?

If using the Net Worth test, the provision of your credit report from a national reporting agency is an SEC requirement. For determinations of net worth, the investor must show evidence of assets held less liabilities. Liabilities are usually determined using a consumer credit report.

How does Parallel Markets keep my personal information secure?

Alumni Ventures will receive a letter signed by Parallel Markets confirming that they reviewed the documentation provided and made a determination that you are an accredited investor. All sensitive user information is stored in an encrypted state on their servers. They are required to maintain the underlying documentation in case of a regulatory audit for three years following the date we issue the letter, after which all documentation is deleted from their systems.

If I invest as a Qualified Purchaser, do I need to demonstrate that I'm a Qualified Purchaser?

You do not need to provide any additional documentation. Confirming that you have over \$5 million in assets is sufficient.

Can I include real estate assets?

Yes, for real estate other than your primary residence. To count real estate assets toward your net worth, Parallel Markets requires demonstration of ownership and value for each asset claimed. To demonstrate ownership, you can provide documentation like mortgage statements, tax assessments, deeds or any other third-party documentation demonstrating ownership. To demonstrate value, Parallel Markets accepts any third-party documented evidence of value, including tax assessments, property appraisals or even Zillow / RedFin estimates (though these may be subject to a discount).

My accreditation letter has expired. Do I need to re-up?

We only require for your accreditation status to be valid / current at the time of a new investment. If you are simply monitoring your previously made investments, there is no obligation to renew your accreditation if expired until you decide to make another investment with Alumni Ventures.

Important Disclosure Information

The manager of the AV Funds is Alumni Ventures (AV), a venture capital firm. AV and the funds are not affiliated with or endorsed by any college or university. These materials are provided for informational purposes only. Offers of securities are made only to accredited investors pursuant to each fund's offering documents, which describe among other things the risks and fees associated with the Fund that should be considered before investing. The funds are long-term investments that involve a substantial risk of loss,

including the loss of all capital invested. Past performance is not indicative of future results. Opportunities to invest in any security (of a Fund, of AV or in a syndication offering) is not a guarantee that you will be able to invest and are subject to all terms of the specific offering.

All private placements of securities and other broker dealer activities are currently offered through a partnership with Independent Brokerage Solutions LLC MEMBER: FINRA / SIPC ("IndieBrokers"), which is located at 485 Madison Avenue 15th Floor New York, NY

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