

# TAKE CONTROL OF YOUR ENTERPRISE GOVERNANCE, RISK & COMPLIANCE

With Protecht.ERM, you can self-manage and optimise strategic and operational risks. Align and embed your GRC management processes.

**Better manage strategic and operational risks. Align and embed your GRC management processes with:**

- **Self-configured Software** – drive enterprise change through managing and monitoring GRC with a fully integrated and intuitive system.
- **Full function Analytics Reporting** – quickly and autonomously turn your GRC data into sophisticated GRC analytics.
- **Enhanced GRC Culture** - understand and better manage attitudinal change for organisational GRC transformation and performance.
- **Reduce risk and compliance issues and gain competitive edge with** industry leading GRC training and consulting.



@Protecht\_Risk



The Protecht Group



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**GET IN TOUCH**

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## ABOUT PROTECH.ERM

Protecht.ERM is a full featured Enterprise Governance Risk and Compliance (EGRC) Management system. It is suitable for the needs of organisations at all stages of GRC management maturity.

The system is ISO 31000 compliant, provides practical frameworks and is offered on a Software-as-a-Service (SaaS) basis. It is hosted in a highly-secure facility with all data stored in Australia. Whether your business is small or large, the software creates consistency and confidence across the enterprise.

Protecht.ERM allows users to focus more time and resources on achieving organisational objectives as the handling of the routine work involved in management risk and regulatory compliance, detailed stakeholder reporting and incidents/events can be automated and streamlined. This allows users to concentrate on planning, communication and exception handling.

## KEY FEATURES

- Risk management, assessment and monitoring
- Controls assessment and monitoring
- Compliance management
- Key risk indicators
- Business continuity management
- Incident and event management
- Audit management
- Action management
- State of the art analytics and reporting
- Workflow management


## PRODUCT BENEFITS

- Reputational risk management
- Reduced financial operational losses
- Heightened regulatory compliance
- An organisation-wide view of information and trends
- Greater process consistency and terminology
- Reduction in redundant activities
- Greater and swifter information availability
- Timely escalation of exceptions and non-conformances to the right person
- Co-ordination across disciplines – audit, risk and compliance, etc.
- Improved ability to measure business performance
- Improved customer service
- Create a 'corporate memory' of enterprise GRC management issues for current and future managers.

## MODULE SHOWCASE: ANALYTICS

Management of GRC is more than just the collection of GRC data. Protecht.ERM's analytics and reporting module is a key component of the system and can enable users to drive better organisational outcomes. This module enables users to:

- Produce highly sophisticated tabular reports and graphical dashboards, which can be tailored by users without needing to revert to a specialist IT team, allowing users to be self-sufficient. Once trained, users are able to configure the software to their specific needs and protocols;
- Quickly and easily download reports and dashboards into PowerPoint, Excel or PDF formats; and
- Email reports and dashboards at specific scheduled times or on demand.



### Risk Assessment and Controls

Risk and Controls Register

Risk Dashboard and Reports

### Registers

Issues & Complaints


Operational Health & Safety

### Audit

Scheduled Audits

Monthly Audit Report

### OH&S Incident Trend



### ACTIONS DUE IN THE NEXT 90 DAYS

Action	Priority	Due Date	Overdue	Assignee
Please attach financial records for the year 2013 together with Board meeting minutes	Critical	23/03/2014	Yes	John Smith
Review and follow up slack issued in Infrastructure Register	High	17/04/2014	No	Jane Doe
Obtain and review BCP testing results from third party suppliers. Results to be filed in BCP file	Medium	06/02/2014	Yes	John Smith
Review contracts agreements and renew licenses	Medium	06/05/2014	No	May Hall
Create project plan to review credit risk management policy	Low	06/03/2014	No	Jane Doe

### OUTSTANDING COMPLIANCE


Business Unit	Compliance	Responsibility
IVA	Can you confirm that budget variances above 0% have been investigated and commented on?	John Smith
NSR	How is safety incidents within the past 3 months been reported to the OH&S officer?	Jane Doe
VIC	Please confirm that all breaches or client complaints regarding privacy have been logged in the incident register	May Hall
GLD	Has BCP testing been conducted this quarter?	May Hall
UO	Please confirm that all conditions are checked from database?	Jane Doe

### OUTSTANDING KEY RISK INDICATORS

Business Unit	KRI	End of Period
NSR	Number of business failures during the month	31/01/2014
IVA	Number of Expense Claims per month	28/02/2014
NSR	GLD Cash	31/03/2014
GLD	SLP Year Ending	17/04/2014
VIC	Number of Reported Inquiries in a month	30/05/2014

### PROTECHT.ERM.

### Residual Risk Consequence and Likelihood




### Business Unit Risk Register


Business Unit	Risk ID	Risk Event	Inherent Likelihood	Inherent Likelihood Rating	Inherent Consequence	Inherent Consequence Rating	Target Residual Risk	Control	Control Effectiveness	Residual Likelihood	Residual Likelihood Rating	Residual Consequence	Residual Consequence Rating	
Information Technology	101103	Data security breach	3	Possible - Once a year	5	Major	10	Using threat intel established in Register to monitor emerging vulnerabilities	Effective	2	Unlikely - Once in 10 years	4	Catastrophic	10
Information Technology	100058	Complex access or data theft	3	Possible - Once a year	4	Major	12	Only installed systems monitoring by the IT department	Effective	3	Possible - Once a year	3	Extensive	5
Human Resources	100051	Unfair dismissal	4	Unlikely - Once in 6 months	3	Moderate	12	Formal annual review process conducted for all staff	Not Effective	3	Possible - Once a year	3	Extensive	5
VIC Pty Ltd	100444	SH&W - exposures not assessed	4	Unlikely - Once in 6 months	3	Moderate	12	SH&W assessments conducted annually	Not Effective	3	Possible - Once a year	3	Extensive	5
Human Resources	100116	Discrimination claims	3	Possible - Once a year	3	Moderate	9	Discrimination awareness training available to all staff	Effective	2	Unlikely - Once in 5 years	3	Extensive	5
Information Technology	100112	Email exchange vulnerability	3	Possible - Once a year	2	Minor	6	Back up not changed daily	Effective	2	Unlikely - Once in 5 years	2	Minor	6
Information Technology	100021	Server failure	3	Possible - Once a year	3	Catastrophic	9	Back up not being changed daily	Highly Effective	2	Unlikely - Once in 5 years	2	Minor	6

### Residual Risk Dashboard

### Inherent Risk Total vs Residual Risk Total



### Residual Risk Consequence, Likelihood, and Total



### Business Units

- Finance & Administration
- Human Resources
- Information Technology
- Legal
- Marketing

Click to view printable Risk and Control table ->  
Click to view the Risk Register dashboard ->

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