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Compliance
People & Tech

STAR CONFERENCE

STAR CONFERENCE
2019 - AGENDA

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WELCOME RECEPTION

SUNDAY 29th 2019 | 5-7PM

The Welcome Reception is the official opening event of the Star US Conference. Join us from 5–7 pm on Sunday, September 29 in the Pienza Patio of the Gaylord Hotel for your first chance to network, connect, and catch up with industry peers and colleagues old and new. Beverages and snacks will be served.

AGENDA

DAY 1 - MONDAY 30th 2019

7:30-8:30AM	**Breakfast**
8:30-9:00AM	**Welcome Keynote - CEO, Jennifer Sun**
9:00-9:45AM	**Star Update & Product Roadmap**
Break	
10:00-10:50AM	**Panel Discussion - Going Global? Your Current Team May Be All You Need** Your business is growing. Crossing oceans as well as time zones. That's the good news. The bad news is, your compliance team isn't. Nor is it slated to. How will your lean, mean compliance team keep up? Join us and our panel of veteran compliance officers as we discuss strategies and solutions for scaling up your compliance operations to function as successfully globally as they do locally.
Break	

Sessions (1)

11:00-11:50AM			
	Compliance Control Room: The View From The Top The control-room function is more and more on the radar of regulators around the globe. And as automation has done so much for employee conflicts monitoring, in terms of increasing efficiency and effectiveness, it's now set to do the same for deal-conflicts monitoring. Join Star staff for an overview of Compliance Control Room—Star's new control-room software solution—and a guided tour of its considerable capabilities. This session offers a high-level view of everything from MNPI reporting to creating and managing deals, from both an end-user and control-room user-experience perspective.	**An Inside Look At Client Services & Jira Service Desk** *More information coming soon*	**Getting Started: How To Ensure A Seamless Implementation and Rollout** Aimed squarely at new and soon-to-be STAR Platform users, this panel of STAR newbies will share their very fresh experiences implementing and rolling out the STAR system. They'll offer insights and suggestions on what worked and what didn't at every stage of the process, including planning, team assembly, data gathering, and training. Star's implementation team will also be on hand to provide advice and best practices on the go-live process, post go-live activities, as well as implementation tips.

Break	
12:00-12:30AM	**Panel Discussion - Learn From The Best: A Talk With STAR Platform Power Users** So, your STAR system has long been implemented and everyone's figured out the basics. Now what? Optimization and efficiencies, of course. Come and chat it up with a panel of certified STAR power users and learn how they're leveraging the STAR system in compelling and creative ways, and how they're streamlining tasks and achieving results in ways they couldn't have imagined in the beginning.
Lunch	
1:45-2:15PM	**Panel Discussion - Making The Modern Control Room** Rome wasn't built in a day. Neither are today's control rooms. These complex, deal-conflict monitoring nerve centers are faster-paced than ever, staffed with compliance elites who must be able to make the right calls as quickly as possible with as little risk to the firm as possible. Join us for this in-depth panel discussion on how to construct a control room for the modern age and beyond. Our panel of experts have all built control rooms from scratch, or consulted on such endeavors, and will discuss current trends, challenges, solutions, tech, and where this critical compliance function might be headed.

Sessions (2)

2:15-3:00PM			
	Going Mobile With Star Join us for this hands-on, deep dive into STAR Mobile—StarCompliance's new mobile application. You'll download the actual app onto your mobile phone during the session, and Star Product Manager Will Haggerty will take you on a guided tour of this game-changing compliance application. You'll experience for yourself the ease of use and intuitive operation of STAR Mobile—the same your mobile-obsessed employees will experience submitting their own trade requests and gifts and entertainment spending.	**Compliance Control Room: A Dive Into The Depths** Not every control room needs advanced deal-conflict monitoring capabilities, but good software ought to offer its users the option to go as deep as needed, whenever needed. Join Star staff for this close-up look at Compliance Control Room—Star's new control-room software solution—and learn how it can perform complex conflict searches, manage information barriers, monitor and create watch and restricted lists, and more. If control room software—and the full possible gamut of capabilities it might offer your department and firm—is at the top of your 2020 regtech wish list, this session is for you.	**Leveraging Data & Predictive Analytics for Business** *More information coming soon*

Break	

Sessions (3)

3:15-4:50PM			
	The Art of Communication & Conversation Communication is the key to success for any team, and compliance is no exception. This "soft skills" workshop, designed specifically for the modern compliance professional, will offer actionable advice and practical techniques for enhancing face-to-face communication. You'll explore strategies for mastering communication styles, discover best practices for navigating conversations, and learn about authentic connections, listening, nonverbal communication, and more.		**Reporting Workshop** Powerful reporting capabilities are at the heart of the STAR system, and give managers at all levels the ability to track short and long term trends with the click of a mouse. This session is a deep dive into the STAR Platform's new dashboards, set to release in Q1 of next year, and will offer a through explanation of the data warehouse powering these next level reports as well as an overview of existing functionality.

5:00-6:15PM	**Compliance Challenge**
6:15PM	**Evening Reception**

AGENDA

DAY 2 - TUESDAY 1ST 2019

8:00-9:00AM	**Breakfast**

9:00-9:45AM	**Panel Discussion - Back To The Future (1): Big Picture Challenges And Trends** The only constant is change, the old saying goes, and it's as true for compliance as anything else. The GDPR is very young and still very much a work in progress. MiFID 2 is equally in a state of evolutionary flux. Ditto for the SMCR. And data regulation initiatives are gaining steam in the US. Join us for this wide-ranging discussion and get a seer's eye view on what the future holds for the industry as a whole.

Break

10:00-10:50AM — Sessions (5)

Trouble Shooting/Problem Solving Workshop This lively and interactive session will focus on common challenges your colleagues in the STAR user community face every day, and offer tips, techniques, and strategies for overcoming them. Star's product and client services experts will be on hand to answer your questions, demonstrate key product areas, and discuss any ongoing difficulties or obstacles to getting the most from your STAR system.	**An Introduction to Secure Data Entry** *More information coming soon*	**Security, Data Privacy & Hosting: Why Star Has Your Back** A discussion and workshop covering StarCompliance's strategy to protect client data. We'll discuss Star's hosting facilities, security controls supporting the hosted solution, what to expect when Star hosts your platform, and the advantages of Star doing so. We'll also cover the platform's security and data-privacy features to protect Personally Identifiable Information (PII) and best practices and procedures for those of you responsible for STAR Platform administration.

Break

11:00-11:50AM	**Panel Discussion - Back To The Future (2): A Client's Eye View Of What's In Store** While understanding industry-level trends is critical, so is understanding firm-level trends. What's happening on the ground. What other firms are seeing. What next steps are being prioritized. Machine learning. Mobile adoption. Analytics. Getting the most out of big data. There's a lot going on out there. Join us for this client panel and see how your plans and observations match up with those of your peers.

Break

12:00-12:30AM — Sessions (6)

Secrets Of The STAR Platform Ever been happily surprised that some piece of software you've been using forever has essential functionality you've just now managed to stumble upon? It happens to the best of us. This session will cover many commonly underutilized features of STAR you should be leveraging, including: how to customize the login and employee home page; how to get the most from widgets, help banners, and email alerts; how to use the markets on/off switch; and how to fully configure forms.	**Personal Trading And Broker Feeds** Trade surveillance is the lead element of any employee conflicts of interest monitoring program. And the broker feeds that power such efforts are never far behind. In this session, you'll be guided through new PAD features and functionality, discover how clients are making the most of PAD, be walked through the list of new broker feeds, and learn best practices for account and statement tracking.	**STAR: The Next Generation** Whether enterprise level or consumer focused, a software's front-end look and feel matters. A lot. Fabulous back-end capability means little if a clunky user interface hampers or outright deters system use. To that end, Star is in the process of re-skinning its platform. Please join us for this interactive session—to see where our new designs currently are, and to offer feedback and input on the shaping and improving of them. We look forward to hearing from the people who use our software every day.

Lunch

1:45-2:30PM	**Panel Discussion - The Next Generation of RegTech: What's In Store?** The compliance space is seeing a tremendous level of investment in technology to create efficiencies—efficiencies that will result in not just cost savings but also in more effective compliance programs overall. Join us as our panel of best-in-class, next-generation solution providers—cultivated from a wide and eclectic range of software disciplines—offer creative ideas and innovative use cases for the compliance space and give fresh insight into the future of the ever-evolving world of compliance tech.

WANT TO LEARN MORE?

For more information and to register, visit
info.starcompliance.com/2019-usa-conference