



MOBILE APP

Increase employee participation. Increase data accuracy. Increase responsiveness.

All the essential employee compliance functionality you count on from StarCompliance is now available whenever and wherever your employees need it—on an easy-to-use, native mobile app. With STAR Mobile, employees pre-clear activity and report transactions on-the-go. Supervisors review escalated requests anywhere there's a cell connection.

APP FEATURES

The Freedom To Pre-Clear – Anywhere

Pre-clearance is at the heart of any modern compliance program, and STAR Mobile doesn't disappoint. Employees can use STAR Mobile to pre-clear personal investments and gifts and entertainment spending whenever they need to.

Capture More Activity

Once employees have executed a trade, they can report it through STAR Mobile the same as they would through the STAR desktop app. Employees can also view a complete listing of their existing transactions through STAR Mobile.

Improve Response Times

Supervisors can use STAR Mobile for the administrative and oversight functions vital to their jobs. When activity is flagged and escalated by the system, they can review it the same as they would sitting at their desks. STAR Mobile also offers full question-and-answer functionality and a history of previous reviews and reviewers.

Stay In The Know

The ability to view and adjust holdings is essential STAR Platform functionality, and remains so with STAR Mobile. Existing holdings can be viewed, as can associated transactions.

Stay Secure

Optimized, on-the-go compliance functionality means optimized, on-the-go data security. The network offers secure data transport, utilizes best practice protocols for authentication and authorization, and supports Single Sign On (SSO). Equivalent best-practice protocols employed at device level mean secure local-device storage.

Keep It Simple

The STAR Mobile home screen is intuitive and makes getting started simple. And because notification capabilities are managed at the device level, staying on top of high priority tasks is easy. Existing roles and access in your firm's STAR Platform instance are also observed in the app, creating a truly seamless user experience.

Keep It Convenient

Because STAR Mobile makes compliance so convenient, employees are more likely to participate. This means more data in the system, and more data in the system means a more up-to-date and accurate picture of employee activity at every level. STAR Mobile also enables managers and supervisors to do their jobs on-the-move, making it easier for them to support their direct reports.


STAR Mobile will be available for download from the Apple and Android app stores.

In 2018, 52.2% of all online traffic worldwide was conducted on mobile phones¹. Whether for work or pleasure, it's a mobile world. And a mobile workforce calls for mobile solutions.

¹<https://www.statista.com/statistics/241462/global-mobile-phone-website-traffic-share/>

ABOUT STARCOMPLIANCE

StarCompliance is the leading provider of compliance technology solutions. Trusted globally by enterprise financial institutions, the user-friendly STAR platform empowers organizations to achieve regulatory compliance while safeguarding their integrity and business reputations.

-  Founded in 1999
-  Dual US & UK headquarters
-  A Luminate Capital Partners portfolio company
-  500,000+ System Users
-  Software complies with SEC, FINRA, FCPA, FCA, UK Bribery Act and MiFID
-  System supports 100+ brokerage feeds
-  Software deployed in over 80 countries
-  Certifications



 www.starcompliance.com

 info@starcompliance.com

 +1 301-340-3900

Take your compliance program to the next level. STAR Mobile puts the power of the STAR Platform in the hands of the people who need it most—when they need it most.