



OLD REPUBLIC INSURANCE COMPANY

*This completed document should be submitted to:
Old Republic Professional Liability, Inc.
191 North Wacker Drive, Suite 1000
Chicago, IL 60606-1905
T: 312.750.8800 www.oldrepublicpro.com*

RENEWAL APPLICATION LAWYERS PROFESSIONAL LIABILITY INSURANCE

THIS IS AN APPLICATION FOR INSURANCE WRITTEN ON A CLAIMS MADE AND REPORTED BASIS WITH DEFENSE COSTS INCLUDED WITHIN THE LIMIT OF LIABILITY

Please answer all questions on this application. If there is insufficient space to complete an answer, continue on a separate sheet. This application must be dated and signed by a partner or officer of the firm.

1. Name of firm: _____

Street address: _____

City/State/Zip Code: _____

Contact Person: _____

Telephone No: _____ Email: _____

2. Since completion of your last application, has the firm:

(a) Opened or closed any offices? () Yes () No

(b) Merged or consolidated with any other law firm? () Yes () No

(c) Changed its name or business structure? () Yes () No

If "Yes," please provide full details on a separate sheet.

3. Please indicate the current number of lawyers and staff (include all offices):

<u>Lawyer Profile</u>	<u>Number</u>	<u>Staff Profile</u>	<u>Number</u>
Partners or Shareholders	_____	Investigators	_____
Employed Lawyers	_____	Paralegals	_____
Of Counsel Lawyers	_____	Clerical	_____
Contract Lawyers	_____	Other	_____
Total	_____	Total	_____

Please provide a current list of lawyers which includes at least the following information for each person: (a) full name, (b) his or her status with the firm, (c) number of years in private practice and (d) date he or she joined the firm.

4. Please provide the following information concerning the firm's practice areas and the percent of total income which each area represents:

<u>Area of Practice</u>	<u> %</u>	<u>Area of Practice</u>	<u> %</u>
Administrative Law	_____ %	Local Governmental Law	_____ %
Admiralty/Maritime	_____ %	Oil and Gas	_____ %
Antitrust/Trade Regulation	_____ %	Pension and Employee Benefits	_____ %
Bankruptcy	_____ %	Personal Injury and Negligence:	
Financial Institution	_____ %	Defendant Representation	_____ %
Business Transactions and Commercial Law	_____ %	*Plaintiff Representation	_____ %
Civil Rights and Discrimination	_____ %	*Class Action/Mass Tort Representation	_____ %
Collection/Repossession	_____ %	Public Contract Law	_____ %
Communications (FCC)	_____ %	Public Utilities	_____ %
Construction Law	_____ %	*Real Property:	
Corporate and Business Organization	_____ %	Conveyance	_____ %
Criminal Law	_____ %	Development	_____ %
Entertainment/Sports	_____ %	Syndication	_____ %
Environmental Law	_____ %	Title Examination	_____ %
*Estate, Trust and Probate	_____ %	*Securities Law	_____ %
Family Law	_____ %	*Tax:	
Healthcare	_____ %	Opinions/Advice	_____ %
Immigration and Naturalization	_____ %	Preparation of Tax Returns	_____ %
*Intellectual Property		Workers' Compensation:	
Copyright	_____ %	Defendant Representation	_____ %
Patent	_____ %	Plaintiff Representation	_____ %
Trademark	_____ %	Other (list)	
International Law	_____ %	_____	_____ %
Labor Relations		_____	_____ %
Labor Representation	_____ %	_____	_____ %
Management Representation	_____ %	Total	100%
Litigation (Civil)	_____ %		

For any Area of Practice signified with an asterisk (*), a corresponding Practice Addendum will be required.

5. Since completion of your last application, has any lawyer provided legal services or advice in connection with the offering, sale or distribution of any debt or equity securities? () Yes () No

If "Yes," please provide the following information on a separate sheet: (a) date of offering, (b) name of issuer, (c) business activity of issuer, (d) form of security, (e) dollar size of offering, (f) name of underwriter, (g) name of accountant and (h) client represented.

6. Does the firm provide investment advisory services or have discretionary investment authority over the funds of any client? () Yes () No. *If yes, provide full details:*

7. Please provide the following information for the firm's last two fiscal years:

Fiscal Year Ending:	_____ / _____ / _____	_____ / _____ / _____
Total Billable Hours:	_____	_____
Gross Income:	\$ _____	\$ _____
Net Income:	\$ _____	\$ _____

8. Do you anticipate a material increase or decrease in the firm's total billable hours, gross income or net income for the current fiscal year? () Yes () No

If "Yes," please provide the reason(s) for any material increase or decrease on a separate sheet.

9. Since completion of your last application, has the firm filed any suits against clients for the collection of fees? () Yes () No

If "Yes," please provide the following information on a separate sheet: (a) name of client, (b) date(s) of service, (c) a description of the legal services rendered, (d) total amount overdue and (e) current status of the suit.

10. Since completion of your last application, has the firm changed any of the following systems or procedures:

- (a) Client Intake Procedures? () Yes () No
- (b) Conflict of Interest System? () Yes () No
- (c) Docket Control/Calendar System?.. () Yes () No
- (d) Use of Engagement Letters, Declination Letters and Disengagement Letters? () Yes () No

If "Yes," please provide full details on a separate sheet.

11. Since completion of your last application, has any lawyer become licensed or begun operating in any professional capacity other than as a lawyer? () Yes () No

If "Yes," please provide the name of the lawyer and describe the capacity in which he or she acts (e.g. accountant, real estate agent, title agent, investment adviser, claims adjuster, etc.) on a separate sheet.

12. Since the completion of your last application, has any lawyer in the firm:

- (a) Become a director, officer, partner, trustee or employee of any enterprise which is also a client of the firm? () Yes () No
- (b) Acquired a financial interest in any client of the firm? () Yes () No
- (c) Become an executor, administrator, conservator, guardian or trustee, or begun acting in any similar fiduciary capacity on behalf of any client? () Yes () No

If "Yes," please provide the following information on a separate sheet: (a) name of lawyer, (b) name of client, (c) nature of client's activities, (d) capacity in which the lawyer acts and (e) percent of equity owned by the lawyer.

13. Since completion of your last application, has any lawyer in the firm:

- (a) been refused admission to practice, disbarred or suspended from practice, reprimanded, sanctioned or disciplined either publicly or privately by any court or administrative agency? () Yes () No
- (b) been charged or convicted of a federal or state offense? () Yes () No

If "Yes," please provide full details on a separate sheet.

14. Since completion of your last application:

- (a) Has there been a change in the status of any claim or previously reported incident that could give rise to a claim (whether reported to Old Republic Insurance Company or any other insurer)? () Yes () No
- (b) Has any claim been made against the firm or any predecessor in business or any of the past or present lawyers in the firm or any predecessor in business which has not been reported to the Old Republic Insurance Company? () Yes () No

If "Yes," please provide full details on a separate sheet.

15. After inquiry, is any lawyer in the firm or other person for whom insurance is requested aware of any act, error, omission or circumstance which could reasonably give rise to a claim being made against the firm or any predecessor in business or any of the past or present lawyers in the firm or any predecessor in business? () Yes () No

If "Yes," please provide full details on a separate sheet.

16. Please specify the limits of liability and deductible that you desire for renewal:

Limits of Liability (each claim/aggregate): \$ _____
 Deductible (each claim): \$ _____

Representations

Completion of this application does not obligate the firm to purchase any insurance nor does review of this application obligate Old Republic Professional Liability, Inc. to offer any insurance on behalf of the Old Republic Insurance Company. If insurance is effected, however, the application will be basis of the policy and a copy of the application will be attached to and made a part of the policy.

The undersigned partner or shareholder, acting on behalf of the firm and all persons for whom insurance is requested, represents that the statements in this application and accompanying documents are true, accurate and complete, and agrees that if any of the information changes between the date of the application and the inception date of the proposed policy, he/she shall immediately report such changes to Old Republic Professional Liability, Inc. who shall then have the right to withdraw or change any outstanding quotation or agreement to bind coverage on behalf of the Old Republic Insurance Company.

The undersigned partner or shareholder of the firm understands and accepts that any policy issued on behalf of the Old Republic Insurance Company will provide coverage on a claims made and reported basis and that all legal fees and expenses incurred in the investigation and defense of any claim will be applied against the deductible and will reduce, and may completely exhaust, the limit of liability of the policy.

Fraud Warning

(All States except: AL; AR; CO; DC; FL; HI; KS; KY; LA; ME; MD; NJ; OH; OK; OR; PA; TN; WA)

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties.

Alabama – Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or who knowingly presents false information in an application for insurance is guilty of a crime and may be subject to restitution fines or confinement in prison, or any combination thereof.

Arkansas – Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

Colorado – It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the department of regulatory agencies.

District of Columbia – WARNING: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

Florida - Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree.

Hawaii – For your protection, Hawaii Law requires you to be informed that presenting a fraudulent claim for payment of a loss or benefit is a crime punishable by fines or imprisonment, or both.

Kansas – Any person who, knowingly and with intent to defraud, presents, causes to be presented or prepares with knowledge or belief that it will be presented to or by an insurer, purported insurer, broker or any agent thereof, any written, electronic, electronic impulse, facsimile, magnetic, oral, or telephonic communication or statement as part of, or in support of, an application for the issuance of, or the rating of an insurance policy for personal or commercial insurance, or a claim for payment or other benefit pursuant to an insurance policy for commercial or personal insurance which such person knows to contain materially false information concerning any fact material thereto; or conceals, for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act.

Kentucky – Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

Louisiana – Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

Maryland – Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

New Jersey – Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

Ohio – Any person who, with intent to defraud or knowing that he/she is facilitating a fraud against any insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud, which is a crime.

Oklahoma – Any person who knowingly and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

Oregon – Any person who knowingly and with intent to defraud or solicit another to defraud an insurer: (1) by submitting an application containing a false statement as to any material fact, or (2) by filing a claim containing a false statement as to any material fact, may be violating state law.

Pennsylvania – Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

Maine; Tennessee; Washington – It is a crime to knowingly provide false, incomplete, or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines, and denial of insurance benefits.

Date: _____

Signed: _____

Print Name: _____

Print Title: _____

(Partner or Officer)

IOWA Applicants Only

The insurance for which this is an application was solicited by:

Print name: _____

Iowa license number: _____

Agency: _____

NEW HAMPSHIRE ONLY; FOR PRODUCER USE ONLY

Agency Name and Address: _____

Agent's New Hampshire

License I.D.#: _____

Agent's Signature: _____

(stamped signature is not acceptable)

**FLORIDA ONLY
FOR PRODUCER USE ONLY**

Agency Name and Address: _____

Agent's Florida License I.D.#: _____

Name of Agent: _____

Agent's Signature: _____

Agent's Name (printed): _____