

Data Management Awards 2018 Winners' Report



 @DataManagementInsight

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About the DMR Awards

Congratulations to the winners of A-Team Group's 2018 Data Management Awards, which were presented during a festive lunch enjoyed by over 100 guests at Merchant Taylors Hall in the City of London. The annual awards, now in their sixth year, recognise leading providers of data management solutions, services and consultancy to capital markets participants.

This year, three editor's recognition awards were also presented. Andrew Barnett, chief data officer at Legal & General IM, was presented with the award for best data management practitioner; Peter Moss, CEO at the SmartStream RDU, with the award for best data management vendor professional; and ASG Technologies with the award for innovation in data management.

The awards were presented by guest speaker John Sergeant, a renowned political journalist and broadcaster, and hosted by Sarah Underwood, an editor at A-Team Group.

The categories were created by A-Team Group's editorial team, with input from our advisory board. They ranged from best sell-side and buy-side enterprise data management platforms to managed services, corporate actions, risk data aggregation, entity data, quality analysis, Know Your Client (KYC) and client onboarding, data governance, data lineage and regulatory data management. Award categories for data providers covered sell-side and buy-side reference data, corporate actions data and index data.

Thank you to all our readers who nominated and voted for their preferred technology providers and to our advisory board for its valuable contribution to the awards.

Sarah Underwood
A-Team Group

I'd personally like to thank our advisory board for their time and valuable input.

Suvrat Bansal

CDO and Head of Innovation, UBS
Asset Management

Julia Bardmesser

SVP, Head of Data, Architecture &
Analytics, Voya Financial

Kenneth Brady

Head of Enterprise Data Services,
OppenheimerFunds

Predrag Dizdarevic

Partner, Element22

Jennifer Ippoliti

Legal Data Practice Director, JP
Morgan Chase

Shailesh Mathankar

Senior Director, Data Management,
AIG

Thomas Mavroudis

US Head of Data Governance,
Quality & Training, ScotiaBank

Dale Richards

President, Island Ventures

Sanjay Saxena

Head of Enterprise Data
Governance, Northern Trust

Peggy Tsai

Vice President, Data & Analytics,
Morgan Stanley Wealth
Management

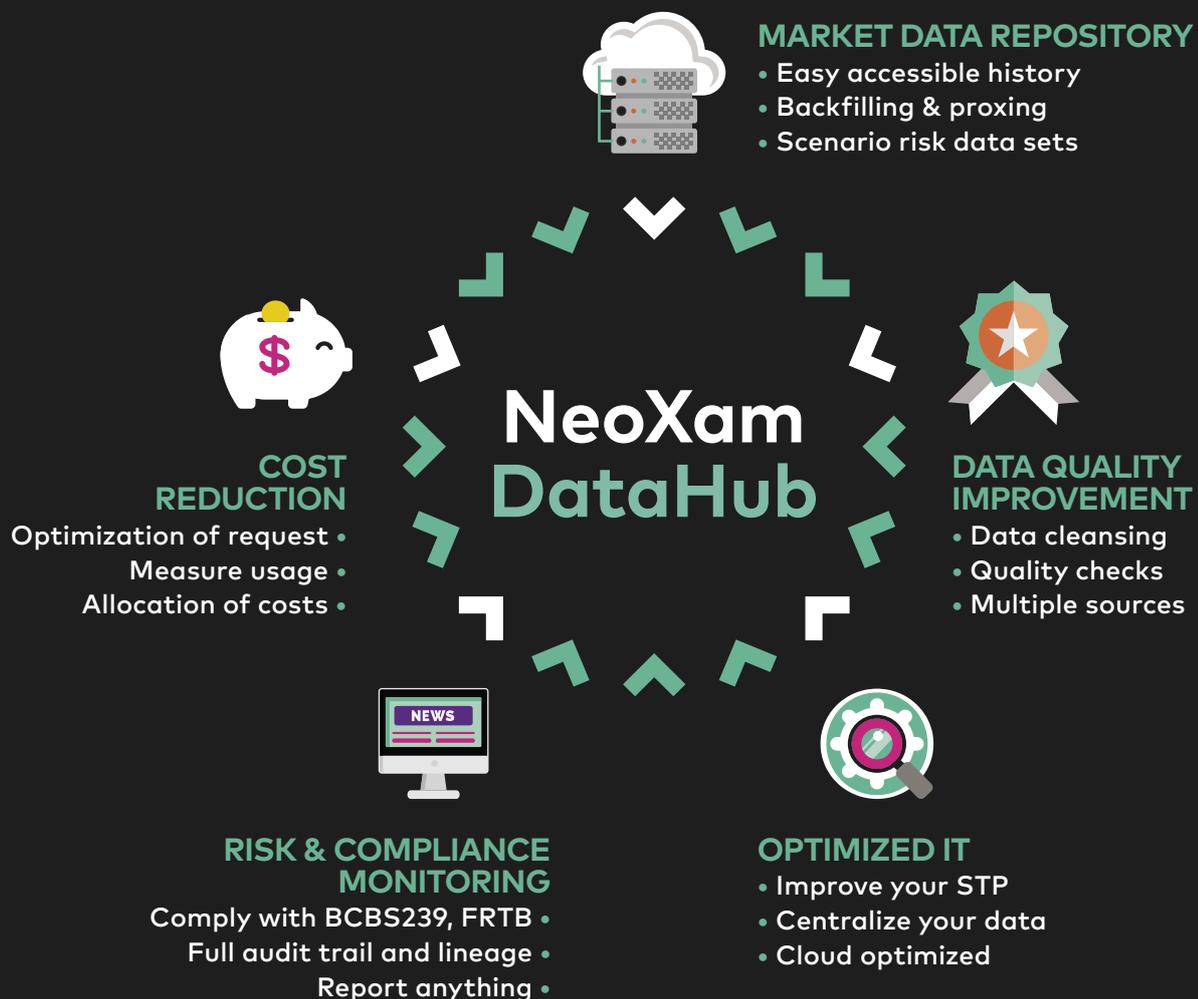
Rise Zaiser

CDO, Sumitomo Mitsui



Data Management & Transaction Software Solutions

Spotlight on NeoXam DataHub



For more information, please visit: www.neoxam.com

Follow us on:    

NeoXam - DataHub

Winner: Best Buy-Side Enterprise Data Management Platform

Our mission is to deliver scalable transaction processes based on a consistent, accurate, accessible and timely view of position, portfolio and market data. We automate complex processes at the heart of our clients' industries, enabling them to improve their competitiveness, better serve their clients, and further manage their growth. We deliver data management and transaction software solutions. Whether it's for portfolio management, accounting, limit tracking, client reporting or the many other processes that require it, accurate position data is the most critical and elemental component of success. Complex systems and organizations make a single source of truth very difficult for most institutions to achieve, let alone manage real-time. At NeoXam, with over 25 years of expertise in the workflows that support the front, middle and back office operations, our 150 clients count on us to deliver critical data and processing all day, every day, globally. We believe in a New Data-Centric Approach for Global Financial Industry.



About NeoXam - DataHub

About NeoXam – NeoXam is a leading financial software company, delivering solutions and services for 150+ customers in 25 countries worldwide. NeoXam is committed to its clients' success: we deliver reliable and scalable solutions, processing more than \$14 trillion worth of assets per day and serving over 10,000 users. Through its combined talents and transparent approach, NeoXam helps buy- and sell-side players address the continuous changes in the financial market industry, to grow and better serve their clients. NeoXam relies on 500+ staff and is headquartered in Paris and has 14 offices across the globe. For more information, please visit: www.neoxam.com

www.neoxam.com

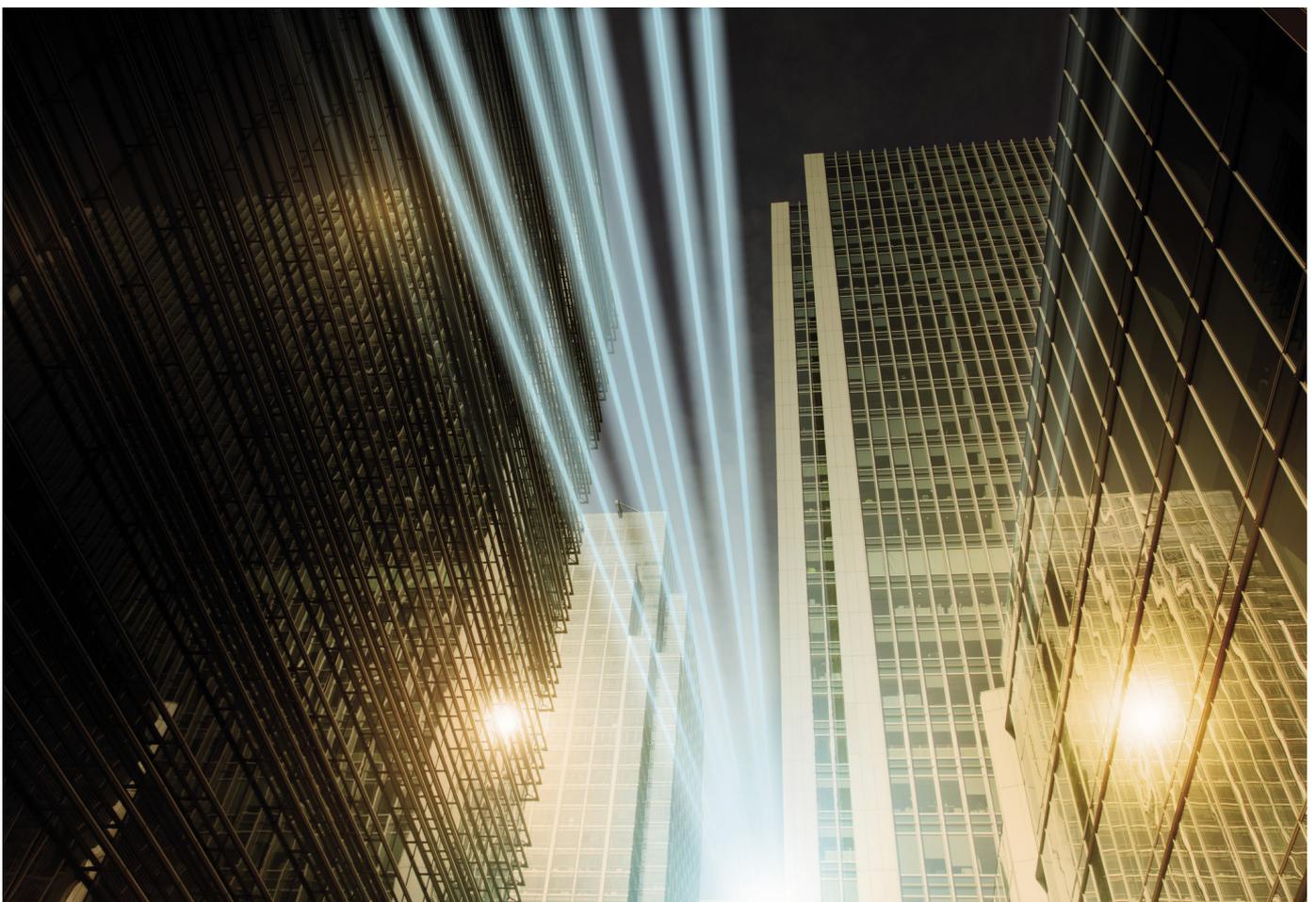


Systematic Internaliser Registry. Centralized.

The Systematic Internaliser Registry (SI) provides the necessary data to determine which counterparty should report, by allowing SIs to register the details of the financial instruments and asset classes for which they are providing services, in a single centralized listing.

The SI Registry is a collaboration between the SmartStream RDU and a group of Approved Publication Arrangements (APAs). The initiative is open to all APAs and SIs and offers a comprehensive and granular set of data from a growing population of SIs - providing much needed clarity to the market.

Contact us today to find out more: info@smartstreamrdu.com



SmartStream (RDU)

Winner: Best Sell-Side EDM Managed Services Solution

The SmartStream Reference Data Utility (RDU) provides a high quality Security Master built using industry best practises. A proven solution that delivers near perfect data and can eliminate 70 to 80% of your trade breaks and process exceptions – the data is easy to consume, customizable for your business and integrates easily into your existing business applications. Simplify your enterprise data management strategy by sourcing your security master from the RDU.

The RDU offers:

- The best Futures and Options reference data on the market sourced from 100+ exchanges with extensive attribute coverage at both product and contract level; fully cross-referenced with all key market identifiers; fully maintained underliers and demonstrably better data quality.
- A full MIFID II regulatory data set to support pre-trade price transparency, post-trade reporting and transaction reporting; normalised to an instrument level for easy integration into the trade workflow; full support for OTC Derivatives with intra-day APIs; full support for ToTV; ANNA integration and integrated counterparty role determination (e.g. Central Bank, SI, MIF)
- The Systematic Internaliser Registry, a unique data set sourced from 90+ Systematic Internalisers (SIs) in collaboration with the APA community that offers a highly granular listing of the services that SIs offer.
- Vendor sourced Equities, fully cross-referenced with all key market identifiers and with corporate actions reliably applied by the start of the trading day.
- Vendor sourced Fixed Income, with the full cross-reference and corporate actions reliably applied.



About SmartStream (RDU)

The SmartStream Reference Data Utility (RDU) is a managed service that delivers complete, accurate and timely reference data for use in critical regulatory reporting, trade processing and risk management operations, dramatically simplifying and reducing unnecessary costs for financial institutions.

The RDU acts as a processing agent for its participants selected data sources; sourcing, validating and cross-referencing data using market best practises so that these processes do not need to be duplicated in every financial institution. An experienced global team, who operate under the compliance frameworks of their customers, deliver data that is fit-for-purpose, consistent and in a format that is specific to the financial institutions' needs.

www.smartstreamrdu.com

RDU

The SmartStream Reference Data Utility



BUREAU VAN DIJK

A Moody's Analytics Company

Get a truly global view on entity data

Orbis is the world's most powerful comparable data resource on private companies. It has information on around 300 million companies in all countries, including:

- ✓ Standardized financial reports that make it easy to compare companies globally
- ✓ Cross referenced industry codes
- ✓ Financial strength metrics, even for companies without detailed information
- ✓ Directors, managers and shareholders
- ✓ PEPs, sanctions and adverse news
- ✓ M&A deals and rumours
- ✓ Original documents and document ordering services
- ✓ Unique identifiers including LEIs, GIINs, ISIN, and VAT/Tax numbers
- ✓ Extensive corporate ownership structures and beneficial ownership information

 Data Management
Awards 2018

WINNER

Bureau van Dijk - Orbis
Best Entity Data Solution



Start your free trial at
bvinfo.com or email
bvd@bvinfo.com

Bureau van Dijk - Orbis

Winner: Best Entity Data Solution

At Bureau van Dijk, a Moody's Analytics company, we're in the business of certainty. Leaders in informing business decisions, we are committed to capturing and treating the right data to deliver the richest, most reliable private company information on the market. Our solutions add value and make a tangible impact on your business. We provide you with access to precise, standardized information on private companies and corporate structures, so that you can confidently and quickly make the most informed decisions possible.

Our Orbis database is the world's most powerful comparable data resource on private companies. It has information on 300 million companies in all countries. It's the resource for company data.

Orbis includes:

- up to 10 years of standardized financials
- financial strength metrics and projected financials
- extensive corporate ownership structures and beneficial ownership information
- PEPs, sanctions and adverse news
- M&A deals and rumours
- ESG reputation risk ratings and metrics
- directors, managers and shareholders
- public tenders data and public interest entities
- unique identifiers: LEIs, GIINs, ISIN, and VAT/Tax numbers
- original filed documents and document ordering services



About Bureau van Dijk - Orbis

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www.bvdinfo.com



BUREAU VAN DIJK

A Moody's Analytics Company



We're proud to sponsor the

Data Management Awards

Success. It requires vision and the right balance of risk and reward. We see the big picture, connecting clients to opportunity and paving the way ahead.



statestreet.com

STATE STREET

State Street Corporation - DataGX

Winner: Best Buy-Side EDM Managed Services Solution

At State Street Global Exchange, we combine actionable insights, analytics and data solutions to create opportunities that will help generate the most value for our clients across the investment lifecycle. Through our unique access to data, we deliver new perspectives into risk management and investment strategy. Data has gone beyond traditional media and Bloomberg terminals. Clients across all sectors and asset classes are looking to every available source of data to give themselves a competitive advantage.

Managing all of this data is the challenge, and we have the solution – DataGX.

Our fully hosted Data-as-a-Service offering, DataGX(SM), is an end-to-end data warehousing solution, including data quality governance, data security, and aggregation of investment and financial data. We manage your multi-asset class data from any service provider, or data vendor, to facilitate a holistic and integrated view of your holdings. This offering reflects our years of experience servicing complex instruments for our global client base and our investments in building advanced financial data management technologies.

Clients already trust us as the custodian of their assets, they should also trust us as the custodian of their data.

Our team of experts can manage data so that clients can focus on what they do best.



About State Street Corporation - DataGX

State Street Corporation (NYSE: STT) is the world's leading provider of financial services to institutional investors including investment servicing, investment management and investment research and trading. With \$33,996 billion in assets under custody and administration and \$2,810 billion* in assets under management as of September 30, 2018, State Street operates globally in more than 100 geographic markets and employs over 39,000 worldwide. For more information, visit State Street's website at www.statestreet.com.

* Assets under management include the assets of the SPDR® Gold ETF and the SPDR® Long Dollar Gold Trust ETF (approximately \$28 billion as of September 30, 2018), for which State Street Global Advisors Funds Distributors, LLC (SSGA FD) serves as marketing agent; SSGA FD and State Street Global Advisors are affiliated..

www.statestreet.com



STATE STREET



Award-Winning Risk Data Aggregation Tools For Your Portfolio

- » CreditEdge™
- » Data Alliance Portal
- » RiskFoundation™



DataManagement
Awards 2018

WINNER

Best Risk Data Aggregation Platform

MOODY'S
ANALYTICS

Moody's Analytics CreditEdge™ / Moody's Analytics Data Alliance Portal / Moody's Analytics RiskFoundation™

Winner: Best Risk Data Aggregation Platform

Moody's Analytics won Best Risk Data Aggregation Platform for the breadth and strength of our risk data aggregation capabilities. A number of our solutions contributed to this win.

Our CreditEdge™ platform combines the industry-leading Moody's Analytics EDF™ (Expected Default Frequency) model, a forward-looking probability of default measure, with advanced analytics to provide early warning of credit deterioration of public entities and sovereigns globally.

The Moody's Analytics Data Alliance is one of the world's largest and most comprehensive data consortia, covering a range of asset classes. Participating firms contribute to the Data Alliance Portal to aggregate their data with data from other firms, and collect credit risk benchmarking insight in return.

The Moody's Analytics RiskFoundation™ platform supplies the infrastructure for an exceptional risk management system. It consolidates data from different source systems to create a financial and risk datamart from which to view and measure enterprise risk across your portfolio.

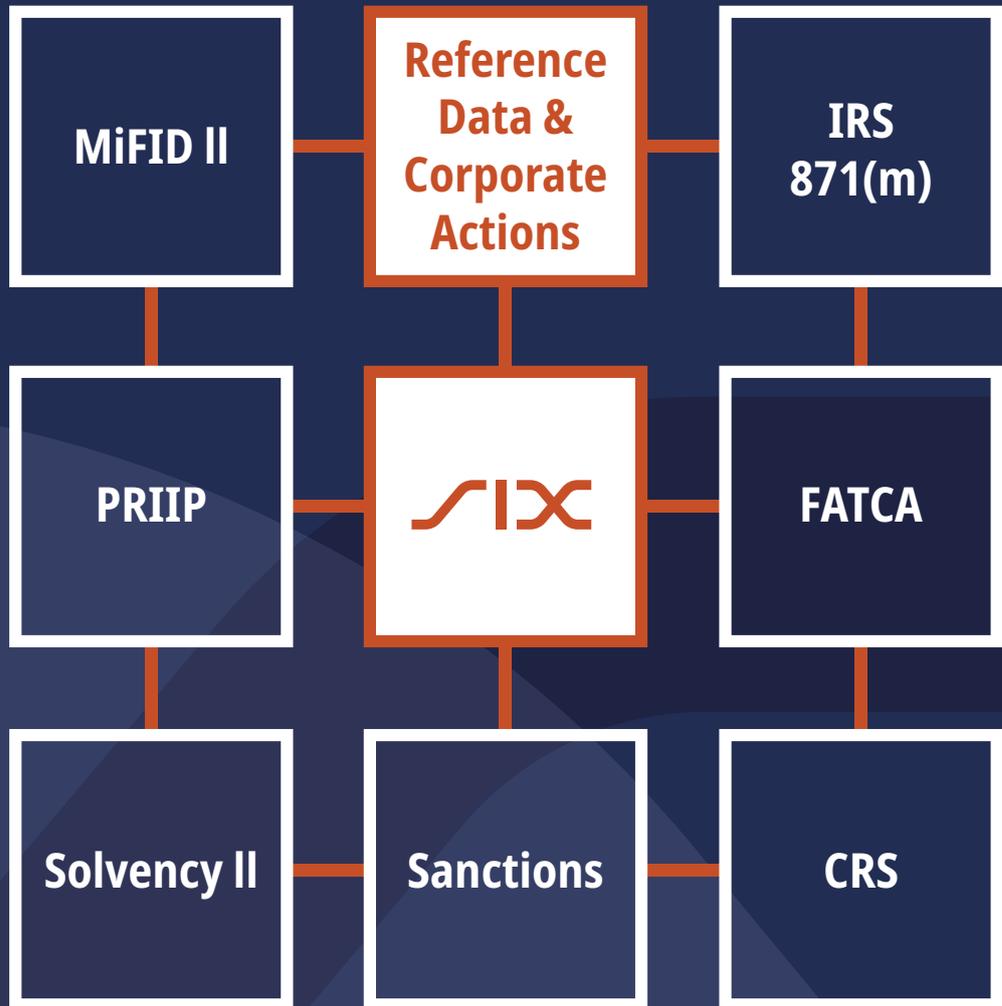


About Moody's Analytics

Moody's Analytics provides financial intelligence and analytical tools to help business leaders make better, faster decisions. Our deep risk expertise, expansive information resources, and innovative application of technology help our clients confidently navigate an evolving marketplace. We are known for our industry-leading and award-winning solutions, made up of research, data, software, and professional services, assembled to deliver a seamless customer experience.

www.moodyanalytics.com

MOODY'S ANALYTICS



**Consistent
Regulatory Data**



Winner: Best Reference Data Provider to the Sell-Side

For over 85 years, SIX has been innovating and developing new value-added services by growing the quality and coverage of its reference and corporate actions data. SIX's real-time database of financial information covers 27 million instruments and is sourced from 1,500 global securities exchanges, institutional contributors and proprietary sources.

SIX looks at industry problems and solves them by leveraging data to drive the right solution, or by creating new ways of working. One way SIX does this is by working collaboratively with some of its major generators and aggregators to ensure hassle-free flow of content and data. SIX also plays a leading role in international committees, associations and working groups.

The goal? Raising efficiency, quality and innovative capacity across the entire value chain of the financial markets. The company is owned by its users (127 Swiss and international banks) and operated by a workforce of around 2,700 4,000 employees, with a presence in 203 countries. In 2017, it generated operating income in excess of CHF 1.9 billion and group net profit of CHF 207.2 million. As the official numbering agency for Switzerland, Liechtenstein and Belgium, SIX Financial Information also assigns securities numbers. The company is also a founding member of ANNA and co-operator of the ANNA Service Bureau.



About SIX

A global financial data leader. SIX drives competitiveness of customers in Swiss and international financial markets through superior services and innovation. A long-standing leader in financial information, SIX continually invests in developing data and value-add services that help institutions transact and engage with confidence and navigate the intricacies of today's markets and regulations so business leaders can focus on their core business.

www.six-group.com

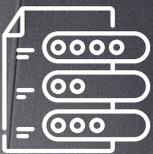




SteelEye

GET SUPER VISION FOR REGULATORY COMPLIANCE

SteelEye effortlessly consolidates all your data in one place for cost-effective compliance.



Record Keeping



Transaction Reporting



Best Execution



Trade Reconstruction



Trade and Order Analytics



Surveillance



See how we simplify compliance

Call + 44 20 3176 8300
Visit www.steel-eye.com



Winner: Best Regulatory Data Management Platform

SteelEye is a cloud-based data platform which helps financial firms comply faster, smart and more cost effectively. SteelEye customers can consolidate all their trade and order, communications and CRM data in one central place. Consolidating data saves firms on time and resource costs, helps them manage risk better, and gives them a new perspective on their performance.

Regulatory compliance is an increasing burden for financial firms. From MiFID II to EMIR, Dodd Frank and MAR, regulatory directives are costing firms time and money, exposing them to risk. As a result, many independent reports find increasing costs and resources devoted to compliance by financial firms.

Some firms are responding with a set of tools and tactics. But the only strategic solution is to consolidate all your company's data, and make data the heart of compliance strategy.

SteelEye, normalises & consolidates all of a firm's structured and unstructured data and makes it instantly retrievable through the SteelEye Locker™.

With all their data in one place, customers more cost-effectively meet compliance requirements like Record Keeping, Transaction Reporting, Best Execution, Trade Reconstruction and Trade & Communication Surveillance.

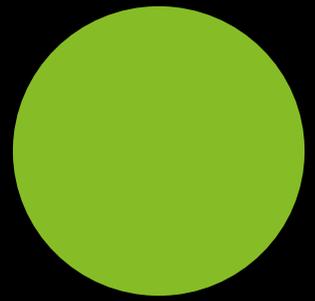
Consolidated data in addition helps SteelEye customers gain insight into their business performance, and gain foresight on issues before they become problematic.



About SteelEye

SteelEye is a cloud-based data platform which helps financial firms comply faster, smart and more cost effectively. SteelEye customers can consolidate all their trade and order, communications and CRM data in one central place. Consolidating data in this way saves firms on time and resource costs, helps them manage risk better, and gives them a new perspective on their performance.

www.steel-eye.com



Unique problems
need unique solutions.

Deloitte.

Winner: Best Consultancy in Data Management

Deloitte leverages the power of analytics, robotics, and cognitive technologies to uncover hidden relationships from vast troves of data.

- **Data & Analytics Modernization (D&AM):** We help our clients implement large scale data programs that deliver on the promise of technology. We build analytics capabilities on top of data platforms that tie into a client's business strategy and provide insight into key questions around an organization's performance.
- **Robotic & Intelligent Automation (R&IA):** We leverage robotic and intelligent automation technologies to transform business processes, augmenting human labor with a digital workforce to drive better outcomes with enhanced productivity.
- **Cognitive Insights & Engagement (CI&E):** We generate human-like insights and recommendations from structured and unstructured data using science-based capabilities, machine learning and artificial intelligence (AI) platforms to increase profitability, catalyze growth, improve stakeholder engagement and reduce service delivery costs.
- **Managed Analytics (MA):** We support the operations and enhancement of traditional data warehouses and next generation data lakes, along with their related applications and platforms, both on-premise and in the cloud. We provide flexible, long-term, staffing models that allow us to optimize the data life cycle, put data in the hands of decision makers faster, and drive enhanced analytics insights.



About Deloitte

Deloitte refers to one or more of Deloitte Touche Tohmatsu Limited (“DTTL”), its global network of member firms, and their related entities. DTTL (also referred to as “Deloitte Global”) and each of its member firms are legally separate and independent entities. DTTL does not provide services to clients. Please see www.deloitte.com/about to learn more. Deloitte is a leading global provider of audit and assurance, consulting, financial advisory, risk advisory, tax and related services. Our network of member firms in more than 150 countries and territories serves four out of five Fortune Global 500® companies. Learn how Deloitte's more than 286,000 people make an impact that matters at www.deloitte.com.

www.deloitte.com

Deloitte.

Winner: Best Sell-Side Enterprise Data Management Platform



Asset Control

Asset Control is the market leader in data quality software solutions for financial data. Focused on business user enablement, we help clients simplify complexity and ensure users make the most of their data assets by providing cleansing, distribution and data discovery solutions. We service a global client base and our award-winning solutions provide rigorous processes to secure high-quality data, easy integration into business user workflows and a trusted environment for advanced analytics.

www.asset-control.com



Winner: Best Corporate Actions Solution



AIM Software - GAIN Corporate Actions

AIM Software is the best-in-class and award-winning provider of Enterprise Data Management (EDM) business applications to the Buy-Side. More than 100 of the world's leading asset management, private & wealth management, asset servicing, fund administration and global custody firms depend on AIM Software for their core data management needs, including market and reference data management, portfolio pricing and analytics, corporate actions processing, and legal entity data management.

www.aimsoftware.com



Advanced Information Management

Winner: Best Reference Data Provider to the Buy-Side



Refinitiv

Refinitiv is one of the world's largest providers of financial markets data and infrastructure, serving over 40,000 institutions in over 190 countries. It provides leading data and insights, trading platforms, and open data and technology platforms that connect a thriving global financial markets community - driving performance in trading, investment, wealth management, regulatory compliance, market data management, enterprise risk and fighting financial crime.

www.refinitiv.com



Winner: Best Corporate Actions Data Provider



Exchange Data International

Exchange Data International (EDI) helps the global financial and investment community better manage and reduce risk through the provision of fast, accurate, timely and affordable data reference services. EDI's extensive reference data includes Worldwide Equity and Fixed Income Corporate Actions, Dividends, Static Reference Data, Closing Prices, Shares Outstanding, and Global Economic Data, delivered via data feeds and a web platform. Our data solutions provide investors with the necessary transparency to make informed decisions.

www.exchange-data.com



Winner: Best Index Data Provider



RIMES Technologies Corporation

This award is testament to the breadth and depth of our managed services. We feed data across all functions: portfolio management, data management, risk, performance and compliance. We tackle all data challenges: customization, blending, asset allocation support, preview and proforma data, classifications and price aligned analytics. We offer all types of data: benchmarks, alternatives & ESG, commodities, currencies, derivatives, earnings estimates, economic data, pricing, ETF, mutual funds, fixed income, hedge funds, data, private equity, property, REIT & ratings.

www.rimes.com



Winner: Best KYC & Client On-Boarding Solution



Fenergo - Client Lifecycle Management

Fenergo is the digital enabler of client and regulatory technology for financial services. It provides Client Lifecycle Management (CLM) software solutions for Financial Institutions including; Corporate & Institutional Banking, Commercial & Retail Banking, Asset Management, Private Banking & Wealth Management. With 70 global Financial Institutions as clients, its award-winning CLM suite transforms how Financial Institutions manage clients, empowering them to deliver a faster, more efficient and compliant customer onboarding experience.

www.Fenergo.com



Winner: Best Data Governance Solution



Collibra

As the leader in data governance and catalog software, Collibra enables organizations to accelerate time to GDPR compliance by helping them find the data they need to protect, understand that data, and trust their processes around it. Our platform provides a solution for managing and defining enterprise-wide policies, business rules, and data assets to provide the necessary level of data protection to become GDPR compliant and support broader data privacy initiatives.

www.collibra.com



Winner: Best Data Lineage Solution



MarkLogic - Operational and Transaction Enterprise NoSQL Solution

MarkLogic is an operational and transactional Enterprise NoSQL database platform trusted by global organizations to integrate their most critical data. Designed to integrate data from silos better, faster, and with less cost, MarkLogic can help integrate data and build a 360-degree view up to four times faster than if using a traditional database.

www.marklogic.com



Editor's Recognition Award for Best Data Management Vendor Professional



Peter Moss

Peter Moss, CEO of The SmartStream Reference Data Utility (RDU); in partnership with Goldman Sachs, JPMorgan Chase and Morgan Stanley. Peter joined the company in August 2016 following 25 years at Thomson Reuters. During his time at Thomson Reuters he established the Thomson Reuters Enterprise business, lead the integration of the Thomson Financial and Reuters businesses, ran data and technology operations as CTO and most recently lead the Financial Division, a global \$6bn revenue business. Peter graduated from Bristol University with honours in Mathematics and Computer Science.



Editor's Recognition Award for Best Data Management Practitioner



Andrew Barnett

Andrew Barnett is the Chief Data Officer at Legal & General Investment management. Over the past 20 years Barnett has moved from Investments to Fintech and now data & analytics. An unconventional path perhaps, but one that allows him to communicate in the language of his stakeholders while encouraging an entrepreneurial approach to data, technology and change. I believe that data breaks down boundaries and welcomes the inclusive nature of its practitioners.



Editor's Recognition Award for Innovation



ASG Technologies

ASG Technologies Group provides more than 3,000 global organizations with a modern approach to Digital Transformation. ASG is the only solutions provider for both Information Management and IT Systems. ASG's Information Management solutions enable companies to find, understand, govern and deliver information of any kind, from any source through its lifecycle. The IT Systems Management solutions empower companies to support digital initiatives, operate IT infrastructure more efficiently and reduce the cost of managing IT systems landscapes. For more information, visit ASG.com or connect with us on LinkedIn, Twitter and Facebook.

ASG.com



technologies®

More Data Management Award winners

Best Graph Database Provider

Neo4J

Best Smart Lake Provider

Cambridge Semantics

Best Data Discovery Provider

Global IDS

Best Data Preparation Provider

Trifacta

Best Data Quality Analysis Tool

Solidatus

Best Proposition for AI, Machine Learning, Data Science

IBM Watson

Best Big Data Analytics Solution Provider

SAS

