Data Management
Review *Awards 2017* FROM **A-TEAM** GROUP

DMR Awards 2017
Winners' Report

Data
Management
Review *Awards 2017* FROM **A-TEAM**GROUP

Congratulations to the winners of A-Team Group's Data Management Review (DMR) Awards that were celebrated during a festive lunch at Merchant Taylors' Hall. The awards, now in their fifth year, are designed to recognise leading players providing data management solutions and services to capital markets participants.

The awards were presented by guest speaker Michael Edwards, better known as Eddie 'The Eagle' Edwards, who described with great wit and passion how he became the first competitor to represent Great Britain in Olympic ski jumping in 1998.

The award categories were created by the A-Team editorial team, with input from our advisory board members. They ranged from best sell-side and buy-side data management platforms to solutions for managed services, corporate actions processing, data aggregation, data lineage, entity data, Know Your Client (KYC), performance measurement, and fund accounting, portfolio and data management.

Categories for data providers included best sell-side data and best buy-side data, pricing and valuations data, index data and corporate actions data. As well as product categories, editor's recognition awards were presented for best data management practitioner, best data management vendor professional, and best innovation in data management.

Nominations for the awards highlighted key players in the categories, while votes were cast by members of our DMR community. Thank you to all our readers who took the time to vote, and to our advisory board members for their invaluable contribution to the awards.

I'd personally like to thank our advisory board for their time and valuable input.

- Colin Ware, BNY Mellon
- Julia Sutton, formerly HSBC
- Neville Homer, RBS
- Richard Thomas, Invesco
- Sean Taylor, Cannacord Genuity
- Naomi Clarke, GAM
- Hany Choueiri, State Street
- Andrew Barnett, Legal & General IM
- Garry Manser, Visa
- Sue Geuens, DAMA
- Jean Panagamuwa, Capital One

Andrew Delaney
President
A-Team Group

Data Management Review Awards 2017

WINNER

SmartStream RDU
Best Sell-Side Managed Services Solution

Established as the first industry utility, based on the principals of market commonality, collaboration and contribution, The SmartStream Reference Data Utility (RDU) delivers a data service to realize the truth of the data contained within the industry with results guaranteed. Managing data holistically, across legal entity, security, instrument, corporate action and pricing data, this shared service model promotes common fixes to data processing across the instrument lifecycle and the events that originate and change data.

By centralising data processing onto the RDU, common market processes can be incorporated across all participants to minimise the effort required to get the data right. The RDU acts as a processing agent for its participants selected data sources, to manage their complete data lifecycle, incorporating the sourcing, validation, enrichment and cross-referencing of data. Cleansing best practices, which are applied, continually evolve through the network effect of data processing mutualization to achieve the highest possible data quality and timeliness. This approach allows participating clients realize guarantees that their data is fit-for-purpose and consistent.

Participant's data governance policies are enabled across all financial markets and asset types through streamlined mechanisms for data consumption from one multi-tenanted, auditable environment that supports customized integration standards and controls. The process is supported by an experienced global team who operate under the compliance frameworks of the client base. This approach assures the readiness of both pre-market open and intra-day processes that supports today's largest financial services trading firms.

About Smartstream RDU

The SmartStream Reference Data Utility (RDU) is a managed service that delivers complete, accurate and timely reference data for use in critical regulatory reporting, trade processing and risk management operations, dramatically simplifying and reducing unnecessary costs for financial institutions. The RDU acts as a processing agent for its participants selected data sources; sourcing, validating and cross-referencing data using market best practises so that these processes do not need to be duplicated in every financial institution. An experienced global team, who operate under the compliance frameworks of their customers, deliver data that is fit-for-purpose, consistent and in a format that is specific to the financial institutions' needs.

RDU
The SmartStream Reference Data Utility

www.smartstreamrdu.com

fenergo:·

DIGITALISE THE CLIENT LIFECYCLE

FENERGO CLIENT LIFECYCLE MANAGEMENT is developed by and for banks to digitally transform how they manage customers and deliver exceptional customer experience — from initial compliance and onboarding, all the way through to regular and event-driven reviews.
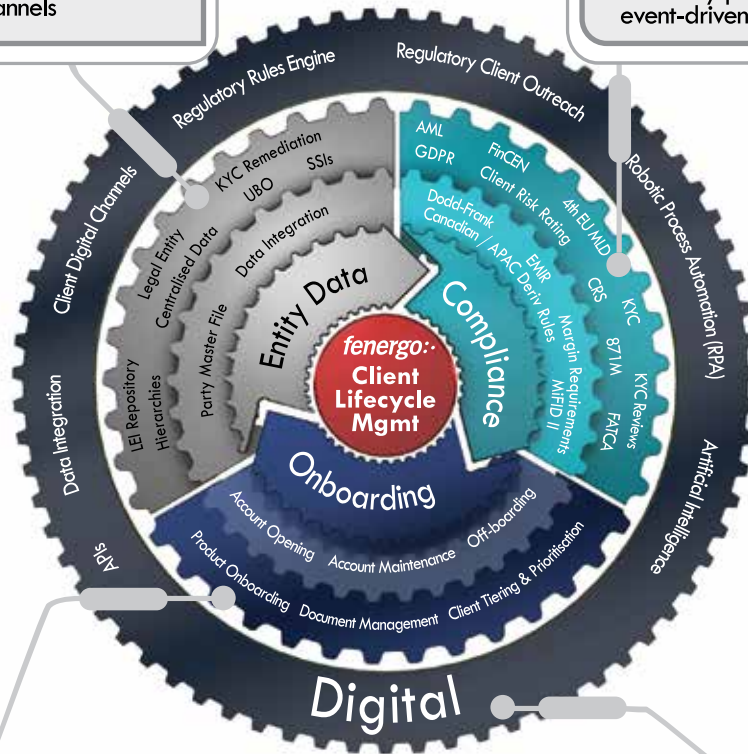
DATA MANAGEMENT

- Unlock 360-degree view of clients across business lines, functions & jurisdictions
- Re-use up to 75% of existing client data & documentation for new regulatory obligations
- Automate data & document collection through advanced digital channels

REGULATORY COMPLIANCE

- Comply with regulations across multiple regulatory frameworks
- Automate identification of in-scope regulations with Regulatory Rules Engine
- Efficiently perform regular KYC & event-driven reviews

THE INDUSTRY STANDARD

FOR CLIENT LIFECYCLE MANAGEMENT

CLIENT ONBOARDING / LIFECYCLE MANAGEMENT

- Improve efficiency and orchestration of client/ product onboarding across compliance, legal, credit, tech & ops
- Reduce client onboarding times by up to 80%
- Deliver an enhanced client experience

DIGITAL

- Perform regulatory client outreach through secure digital channels (Fenergo Interact)
- Automatically consume data from multiple data providers through API integration tools
- Expedite compliance and KYC remediation with AI / RPA

FOR MORE INFORMATION ON FENERGO CLIENT LIFECYCLE MANAGEMENT:

www.fenergo.com   info@fenergo.com

Data Management Review Awards 2017

WINNER

Every financial institution in the world is facing a "perfect storm" i.e. spiralling levels of regulation which is putting additional pressure on banks to collect more data and documentation from clients, increasing the cost of compliance and seriously impacting operational efficiencies and client experience.

Fenergo's software solutions enable financial institutions to solve the challenges of the "perfect storm" by streamlining the end-to-end Client Lifecycle Management processes (from regulatory onboarding, data integration, client and counterparty data management, client lifecycle reviews and remediation all the way to client offboarding etc.). Our solutions are proven to improve compliance, operational efficiencies, time to revenue and client experience.

With 60+ banks using our solutions across the world and 600 employees globally, Fenergo taps into a community of 20,000+ regulatory and compliance experts in its client organizations to co-create the Fenergo regulatory and product roadmaps, ensuring future-proofed compliance against an ever-evolving regulatory landscape.

Some of our clients include ABN AMRO, ICBC Standard Bank, Santander, BBVA, BNY Mellon, Scotiabank … to name but a few.

Headquartered in Dublin, Ireland, Fenergo has offices in North America (Boston and New York), UK (London), Asia Pacific (Sydney, Singapore and Tokyo) and UAE (Abu Dhabi).

About Fenergo

Fenergo is a leading provider of Client Lifecycle Management software solutions for investment, corporate and private banks, helping to efficiently manage the end-to-end regulatory onboarding and data management processes. Its rules-driven solution ensures compliance with multiple regulatory frameworks and supports the collection, centralization and sharing of client / counterparty data and documentation across the institution. By expediting compliance and improving operational efficiencies, Fenergo's solutions can onboard clients faster, improve time to revenue and overall client experience.

fenergo:·

www.fenergo.com

Winner

Best Sell-Side Enterprise Data Management Platform

GoldenSource

GoldenSource has been exclusively focused on servicing the financial industry's data management needs for over 30 years. Our software, services, and expertise empower firms to manage risk, comply with regulatory requirements, and control costs with a trusted source of complete and consistent information through a single hosted or on-premise platform. By partnering closely with our clients, we can scale in line with their business growth, new operating models, and regulatory changes to achieve the highest levels of data quality and operational efficiency.

www.thegoldensource.com

Winner

Best Buy-Side Enterprise Data Management Platform

Best Corporate Actions Processing Platform

IHS Markit

IHS Markit (Nasdaq: INFO) is a world leader in critical information, analytics and solutions for the major industries and markets that drive economies worldwide. Enterprise Data Management (EDM) from IHS Markit is a flexible data management platform that pulls disparate data types from multiple sources into a central hub. It creates a single version of the truth in a consistent, fully audited environment. Information Mosaic from IHS Markit is the leader in global post-trade securities and corporate actions processing.

https://ihsmarkit.com

Winner

Best Buy-Side Managed Services Solution

Bloomberg Polarlake

Bloomberg PolarLake powers the Bloomberg Data Management Utility.
Through private-cloud based services and an expert global data operations team, Bloomberg PolarLake delivers world-class data management.
The combination of vendor data management, data quality rule sets, exception handling and golden-copy data distribution enables our clients to meet their business goals and regulatory obligations. Improved data quality, accurate cost management, regulatory compliance and a road-map for the future have convinced firms across the global finance industry to entrust their data quality management and processing to Bloomberg PolarLake.

www.bloombergpolarlake.com

Winner

Best Risk Data Aggregation Platform

SAS

SAS is the leader in analytics. Through innovative analytics, business intelligence and data management software and services, SAS helps customers at more than 83,000 sites make better decisions faster. Since 1976, SAS has been giving customers around the world THE POWER TO KNOW.

www.sas.com

Winner

Best Fund Accounting, Portfolio Management & Data Platform

SimCorp

SimCorp provides integrated, best-in-class investment management solutions to the world's leading asset managers, fund managers, asset servicers, pension and insurance funds, wealth managers, and sovereign wealth funds. Whether deployed on premise or as an ASP solution, its core system, SimCorp Dimension, supports the entire investment value chain and range of instruments, all based on a market-leading IBOR. SimCorp invests more than 20% of its annual revenue in R&D, helping clients develop their business and stay ahead of ever-changing industry demands.

www.simcorp.com

Winner

Best Data Provider to the Buy Side

Bloomberg

Bloomberg, the global business and financial information and news leader, gives influential decision makers a critical edge by connecting them to a dynamic network of information, people and ideas. The company's strength – delivering data, news and analytics through innovative technology, quickly and accurately – is at the core of the Bloomberg Terminal. Bloomberg's enterprise solutions build on the company's core strength: leveraging technology to allow customers to access, integrate, distribute and manage data and information across organizations more efficiently and effectively.

www.bloomberg.com/company

Winner

Best Pricing & Valuations Data Provider

Best Corporate Actions Data Provider

ICE Data Services

ICE Data Services is a global leader in market data, analytics and connectivity solutions. We offer proprietary market data from a dozen exchanges worldwide, together with indices, fixed-income evaluations and reference data, and we serve the rising demand for more capacity and information with feeds, desktops and connectivity services. Our comprehensive, flexible data solutions provide coverage for global markets across all major asset classes.

www.theice.com

Winner

Best Index Data Provider

Best Performance Measurement System

FactSet

FactSet (NYSE:FDS | NASDAQ:FDS) delivers superior analytics, service, content, and technology to help more than 88,000 users see and seize opportunity sooner. We are committed to giving investment professionals the edge to outperform, with fresh perspectives, informed insights, and the industry-leading support of our dedicated specialists. We're proud to have been recognized with multiple awards for our analytical and data-driven solutions and repeatedly ranked as one of Fortune's 100 Best Companies to Work For and a Best Workplace in the United Kingdom and France. Subscribe to our thought leadership blog to get fresh insight delivered daily at insight.factset.com. Learn more at www.factset.com and follow on Twitter: www.twitter.com/factset.

www.factset.com

Winner

Best Data Lineage Solution

Solidatus

Solidatus helps organisations understand how data flows through their systems by providing an intuitive, flexible, data lineage discovery and visualisation solution. Whether used to demonstrate regulatory lineage, improve governance, assist with transformational change or enhance optimisation, Solidatus is uniquely engineered to build end-to-end data models more efficiently and effectively. It brings control to an organisations data landscape, helping reduce their exposure to risk and improve their data economy.

www.solidatus.com

Winner

Editor's Recognition Award for Innovation

Data Management Review Awards 2017 — WINNER — S&P Global Market Intelligence — Editor's Recognition Award for Innovation

S&P Global Market Intelligence

At S&P Global Market Intelligence, we know that not all information is important—some of it is vital. Accurate, deep and insightful. We integrate financial and industry data, research and news into tools that help track performance, generate alpha, identify investment ideas, understand competitive and industry dynamics, perform valuation and assess credit risk. Investment professionals, government agencies, corporations and universities globally can gain the intelligence essential to making business and financial decisions with conviction.
 S&P Global Market Intelligence is a division of S&P Global (NYSE: SPGI), which provides essential intelligence for individuals, companies and governments to make decisions with confidence.

S&P Global Market Intelligence

www.spglobal.com/marketintelligence

Winner

Best Data Provider to the Sell Side

Best Entity Data Solution

Data Management Review Awards 2017 — WINNER — Thomson Reuters — Best Data Provider to the Sell Side

Data Management Review Awards 2017 — WINNER — Thomson Reuters — Best Entity Data Solution

Thomson Reuters

No one knows risk, like Thomson Reuters.
Our award-winning solutions help you to better identify, assess, manage, comply & monitor all your risks. We help you know the risks that lie within your firm or investments, to those that rest within your customer base or supply chain. Thomson Reuters Risk Solutions are proven to help 1000s of firms every day, to better manage their risk exposures and comply. Some risks are worth taking, others are not! For all your risk needs, Thomson Reuters and our partners have the solution.

the answer company™
THOMSON REUTERS®

risk.tr.com

Winner

Editor's Recognition Award for Best Data Management Practitioner

Data Management Review Awards 2017
WINNER

Julia Bardmesser
Editor's Recognition Award for
Best Data Management Practitioner

Julia Bardmesser

Julia Bardmesser is the Global Head of Data Integration in Deutsche Bank's Chief Data Office. The focus of the group is to define, establish and execute the Data Quality Measurement and Issue Management Resolution policies, practices, metrics and reporting. Julia has over twenty years of experience in data management, data governance, data architecture and cross-platform solution delivery in the financial industry. Prior to joining Deutsche Bank Julia was a Global Head of Business Data Management at Citi. She was responsible for defining requirements for the implementation of consistent and controlled approach to the development and use of enterprise information assets and critical data elements across Citi. Julia also worked at FINRA, Freddie Mac, Thomson Financial Services, Bear Stearns and Bloomberg L.P.
Julia has a Master of Arts degree in Economics from the New York University.

Winner

Editor's Recognition Award for Best Data Management Vendor Professional

Data Management Review Awards 2017
WINNER

John Mason
Editor's Recognition Award for
Best Data Management Vendor Professional

John Mason

John Mason is the Global Head of Regulatory and Market Structure Propositions at Thomson Reuters.
In this role, John and his team are responsible for ensuring that Thomson Reuters is effectively addressing the industry's regulatory challenges – of which MiFID is a particular focus area – and developing solutions across the entire product range to meet the evolving requirements of the financial market participants.
Prior to joining Thomson Reuters, Mason ran the European Enterprise Solutions for Bloomberg and was also their Global Head of Regulatory Reporting. Before joining Bloomberg Mason was the Chief Operating Officer for Core One Technologies prior to its sale to Markit.