

RegTech Awards 2018

A-TEAMGROUP



RegTech Awards 2018 Winners' Report



RegTech
summit
for capital markets

REGULATORY REPORTING • MANAGING REGULATORY CHANGE
FINTECH • AUTOMATION • DATA MANAGEMENT • FRTB • KYC
TRADING TECHNOLOGY • FRTB • GDPR • MIFID II • CRD5 • MAR

LONDON

RegTech Summit for Capital Markets

4TH OCTOBER 2018

>>> **Secure your place now**



NEW YORK CITY

RegTech Summit for Capital Markets

15TH NOVEMBER 2018

>>> **Secure your place now**



**It's free to
attend for
financial
institutions!**

The RegTech for Capital Markets Summits, organised by A-Team Group, bring together the community of practitioners managing regulatory change and implementing RegTech solutions. Join us to connect with like-minded practitioners, learn about innovative approaches to regulatory change, and discover technologies that can help you manage your regulatory challenges.

A-TEAMGROUP

About the RegTech Awards

Congratulations to the winners of A-Team Group's 2018 RegTech Awards, which were celebrated during a champagne reception at Brewers' Hall in the City of London on 3 May.

The awards are designed to identify some of the established solution providers and innovative newcomers offering RegTech solutions designed to significantly improve the regulatory response and provide efficient and effective compliance.

The award categories were created by the A-Team editorial team, with input from our advisory board members. They ranged from best data management solution for regulatory compliance to best reference data for regulatory compliance, best trade repository for regulatory disclosure, best vendor solution for data governance, and best compliance as a service solution.

Awards were also given for the best solutions meeting the requirements of specific regulations including Markets in Financial Instruments Directive II (MiFID II), Market Abuse Regulation (MAR) and General Data Protection Regulation (GDPR).

Nominations for the awards highlighted key players in particular categories, while votes were cast by members of our Data Management Review and Intelligent Trading Technology communities. Thank you to all our readers who took the time to vote, and to our advisory board members for their invaluable contribution to the RegTech Awards:



Laurie McAughtry
Editor
A-Team Group

I'd personally like to thank our advisory board for their time and valuable input.

Thank you to RegTech Advisory Board Members:

- Beate Born, Global MiFID II Project Lead, UBS
- Bradley Giemza, Chief Risk Officer, RJ O'Brien
- Chad Giussani, Head of Transaction Reporting Compliance, Standard Chartered
- Colin Ware, Regulatory Product Manager - BNY Mellon
- Kevin Barry, Head of Trading & Compliance Technology, Janus Henderson Investors
- Kevin Taylor, Managing Director, Head of Compliance Europe & Asia Pacific - TD Bank
- Nicole Sandler, VP Resolution & Recovery Planning & Fintech Product Manager, EMEA Legal Lead, Barclays
- Peter Stephens, Head of Blockchain and UK Innovation, UBS
- Sophia Bantanidis, Transaction Banking, EMEA Head of Regulatory & Market Strategy, Citi
- Subas Roy, Global Chairman, International RegTech Association (IRTA)
- Tammy Eisenberg, Director - Investment Management Risk & Compliance, BNY Mellon



If you would like to be considered for next year's RegTech Awards please contact Leigh Hill at awards@a-teamgroup.com

THREE TIMES THE CONFIDENCE

Thomson Reuters is proud to be recognised for its best-in-class Risk Management solutions resulting in **three wins** at the A-Team Group RegTech Awards 2018:

- **Best Solution for Managing Operational Risk:
Thomson Reuters Connected Risk**
- **Best Compliance as a Service Solution:
Thomson Reuters Regulatory Intelligence**
- **Best Best-Execution Solution:
Thomson Reuters Velocity Analytics**

Thomson Reuters Risk Management solutions bring together information, people, technology and platform – an unrivalled combination that empowers our customers to confidently anticipate, manage and act on risk.

To learn more visit risk.tr.com

WINNER

Thomson Reuters

Winner Best Best-Execution Solution

Winner Best Compliance as a Service Solution

Winner Best Solution for Managing Operational Risk

Thomson Reuters is the leading source of intelligent information for the worlds businesses and professionals with over 440,000 professionals in 150 countries that depend on our data, products and services. We aid the financial community by combining industry expertise with innovative technology, we also deliver critical news, information and analytics as the leading source of intelligent information which encourages transactions and the connecting of communities such as trading, investing, financial and corporate professionals.

Thomson Reuters connects the global financial marketplace through reliable solutions that enables investment, innovation and opportunity. Our open platform delivers what customers need, how and when they need it so they can seamlessly collaborate with clients. Our platforms revolutionize businesses as they rely on us to connect to markets, partners, content providers and transaction providers. Companies invest more in performance and security and manage risk and regulation more effectively due to our intelligent solutions. Learn more at tr.com



About Thomson Reuters

Thomson Reuters Risk Management solutions bring together trusted information, people, technology and platform – an unrivalled combination that empowers our customers to confidently anticipate, manage and act on risk.



THOMSON REUTERS®

risk.tr.com

Our mission.

Asset Control helps financial organisations deliver high-quality reference, pricing and risk data to the people and applications that need it.

On time. All the time.

ASSET
CONTROL



WINNER

Asset Control

Winner Best Data Management Solution for Regulatory Compliance

Asset Control is the market leader in providing supremely reliable, high performance systems for the management of financial data. Serving many of the top-tier banks as well as service providers, investment managers and insurance companies, our software and operational expertise makes processing and reporting possible sooner, with absolute accuracy and consistency.

The Asset Control data management platform is a complete data lifecycle system that tracks every data element of every security across all asset classes from acquisition through to distribution and discovery, and provides banks and asset managers the ability to derive data in a cost effective manner while achieving the highest standards of data governance. Whether it is to support post-trade operations, regulatory compliance and reporting, portfolio valuation, or risk management, Asset Control delivers data at any frequency with unequalled efficiency, transparency and integrity.

Focal points for Asset Control include helping clients comply with regulation including MIFID II and FRTB through data sourcing, mastering and quality management, and connectivity solutions as well as making mastered data easily accessible to business users. Asset Control continues to innovate and has recently announced integration with additional data sources as well as a new NoSQL, cloud or hybrid based financial data management platform.



About Asset Control

Asset Control has been providing innovative, supremely reliable, high performance solutions for the management of financial data for over twenty years. Serving the world's most successful financial institutions including top-tier banks and investment managers, our software and operational expertise makes processing and reporting possible sooner, with absolute accuracy and consistency. Whether for regulatory compliance, portfolio valuation, or risk management, our solutions track every data element from the point of capture to final delivery.

**ASSET
CONTROL**

www.asset-control.com

Welcome to the business of certainty



BUREAU VAN DIJK

A Moody's Analytics Company

Transfer pricing analysis and documentation

TP Catalyst is a data and process driven tool that helps you with your transfer pricing compliance, risk management and planning

TP Catalyst is used by tax authorities globally, many corporates and all major advisory firms in high scrutiny countries. You can benefit from using the same platform with the same data. TP Catalyst offers you unrivalled, and reliable, comparable data on companies across the globe.



- Prepare and update transfer pricing documentation for multiple tax jurisdictions, in one platform
- Monitor and adjust your international transfer pricing regime
- Comply with country by country (CbC) reporting in accordance with Action 13 of the OECD BEPS project

**Find out more, and register
for your free trial via:**

bvinfo.com
bvd@bvinfo.com

WINNER

Bureau van Dijk

Winner Best Data Solution for Tax Compliance

Bureau van Dijk is in the business of certainty.

This sentiment underpins everything we do. We live in an increasingly globalised world. Cross-border transactions and complex corporate ownership structures present challenges for corporation efficient tax management and tax authorities' efforts to protect revenues. So it's never been more crucial to deliver robust data and solutions to our clients.

At Bureau van Dijk we capture and treat private company information for better decision-making and increased efficiency. This means that, through Orbis, and our Catalysts, we can provide global data solutions for international tax and transfer pricing (particularly in response to BEPS Action 13), for tax risk, and for transfer pricing compliance.

TP Catalyst Document Manager promotes a collaborative approach across your organization. It centralizes relevant information and streamlines your project management of the transfer pricing documentation process. You can upload all relevant legal entities across a group, internal financial data for TP risk assessment, preparation for CBCR output, and any other relevant documents. It creates globally consistent transfer pricing documentation, including Master File, Local Files and CBCRs. It is centralized, flexible and scalable.



About Bureau van Dijk

Bureau van Dijk is in the business of certainty. We capture and treat private company information for better decision-making and increased efficiency. Through Orbis, and our Catalysts, we provide global data solutions for international tax and transfer pricing (particularly in response to BEPS Action 13), for tax risk, and for transfer pricing compliance.

TP Catalyst Document Manager streamlines your project management and creates globally consistent transfer pricing documentation, including Master File, Local Files and CBCRs.



BUREAU VAN DIJK

A Moody's Analytics Company

www.bvdinfo.com

fenergo:.

DIGITALISE THE CLIENT LIFECYCLE

FENERGO CLIENT LIFECYCLE MANAGEMENT is developed by and for banks to digitally transform how they manage customers and deliver exceptional customer experience – from initial compliance and onboarding, all the way through to regular and event-driven reviews.

FENERGO delivers out-of-the-box, content-rich regulatory, data and digital solutions, developed in collaboration with our global banking community.

DATA MANAGEMENT

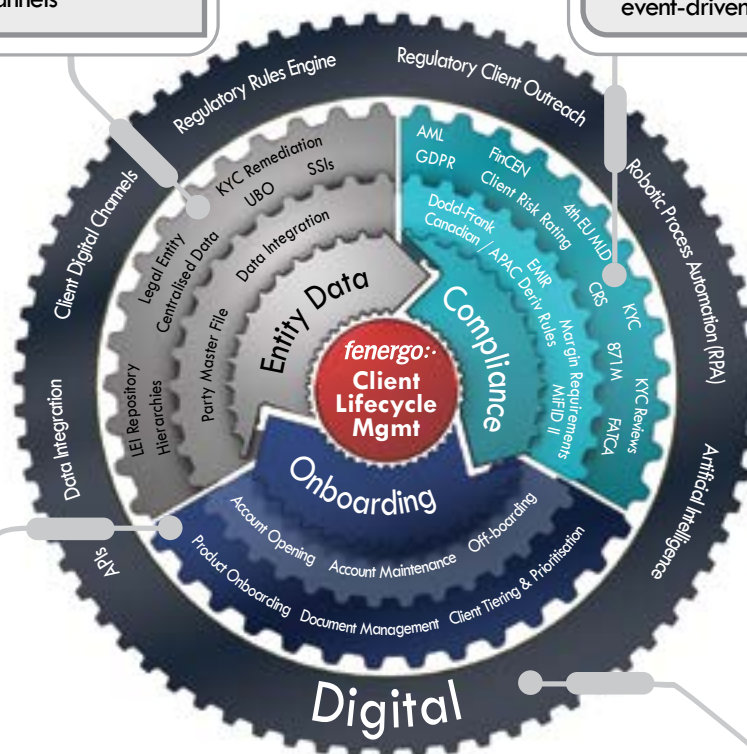
- Unlock 360-degree view of clients across business lines, functions & jurisdictions
- Re-use up to 75% of existing client data & documentation for new regulatory obligations
- Automate data & document collection through advanced digital channels

REGULATORY COMPLIANCE

- Comply with regulations across multiple regulatory frameworks
- Automate identification of in-scope regulations with Regulatory Rules Engine
- Efficiently perform regular KYC & event-driven reviews

THE INDUSTRY STANDARD

FOR CLIENT LIFECYCLE MANAGEMENT



CLIENT ONBOARDING / LIFECYCLE MANAGEMENT

- Improve efficiency and orchestration of client/product onboarding across compliance, legal, credit, tech & ops
- Reduce client onboarding times by up to 80%
- Deliver an enhanced client experience

DIGITAL

- Perform regulatory client outreach through secure digital channels (Fenergo Interact)
- Automatically consume data from multiple data providers through API integration tools
- Expedite compliance and KYC remediation with AI / RPA

FOR MORE INFORMATION ON FENERGO CLIENT LIFECYCLE MANAGEMENT:

www.fenergo.com info@fenergo.com

RegTech
Awards 2018
A-TEAM GROUP

WINNER

Fenergo - Client Lifecycle Management Software
Best KYC Software for Client On-Boarding

WINNER

Fenergo

Winner Best KYC Software for Client On-Boarding

Every financial institution in the world is facing a “perfect storm” i.e. spiralling levels of regulation which is putting additional pressure on banks to collect more data and documentation from clients, increasing the cost of compliance and seriously impacting operational efficiencies and client experience.

Fenergo’s software solutions enable financial institutions to solve the challenges of the “perfect storm” by streamlining the end-to-end Client Lifecycle Management processes (from regulatory onboarding, data integration, client and counterparty data management, client lifecycle reviews and remediation all the way to client offboarding etc.). Our solutions are proven to improve compliance, operational efficiencies, time to revenue and client experience.

With 60+ banks using our solutions across the world and 600 employees globally, Fenergo taps into a community of 20,000+ regulatory and compliance experts in its client organizations to co-create the Fenergo regulatory and product roadmaps, ensuring future-proofed compliance against an ever-evolving regulatory landscape.

Some of our clients include ABN AMRO, ICBC Standard Bank, Santander, BBVA, BNY Mellon, Scotiabank ... to name but a few.

Headquartered in Dublin, Ireland, Fenergo has offices in North America (Boston, New York and Toronto), UK (London), Asia Pacific (Sydney, Singapore, Hong Kong and Tokyo) and UAE (Abu Dhabi).

About Fenergo

Fenergo is a leading provider of Client Lifecycle Management software solutions for investment, corporate and private banks, helping to efficiently manage the end-to-end regulatory onboarding and data management processes. Its rules-driven solution ensures compliance with multiple regulatory frameworks and supports the collection, centralization and sharing of client / counterparty data and documentation across the institution. By expediting compliance and improving operational efficiencies, Fenergo’s solutions can onboard clients faster, improve time to revenue and overall client experience.



fenergo:.

www.fenergo.com

BEST RISK CALCULATION FOR REGULATORY COMPLIANCE

AxiomSL combines deep industry expertise with an intelligent data management platform to deliver regulatory reporting, liquidity, capital and credit, operations, trade and transactions and tax analytics. Our global footprint spans 70 regulators across 50 jurisdictions, surveilling more than 4,000 regulatory filings. We currently serve national, regional and global financial institutions with more than \$39 trillion in total assets

AxiomSL's unique enterprise data management (EDM) platform empowers firms to address data governance and risk aggregation while delivering the analytics, workflow automation, validation, traceability, data lineage and reporting required by multiple stakeholders across global and local regulations.

This collaborative platform, known for its robustness, adaptability and transparency, imposes no constraints on where the data is located and seamlessly integrates client's source data from disparate systems. The platform enriches the data and runs it through risk and regulatory calculations to produce both internal and external reports with a state of the art data lineage tracer module.

This enterprise-wide approach offered by AxiomSL enables clients to leverage existing data and risk management infrastructure, and reduces implementation costs, time to market and delivers trusted information.

WINNER

AxiomSL

Winner Best Risk Calculation for Regulatory Compliance

Winner Best Vendor Solution to Address a Dodd-Frank Requirement

AxiomSL, the global leader in regulatory reporting, data and risk management solutions was established more than 25 years ago, with offices worldwide. AxiomSL's unique enterprise data management (EDM) platform empowers firms to address data governance and risk aggregation while delivering the analytics, workflow automation, validation, audit functionality, data lineage and reporting required by multiple stakeholders across global and local regulations.

The AxiomSL's collaborative platform, known for its robustness, adaptability and transparency, imposes no constraints on where the data is located and enables financial firms to avoid duplication and double storage. It seamlessly integrates clients' source data from disparate systems and enriches and validates the data, and runs it through risk and regulatory calculations to produce both internal and external reports. The high-performance platform supports disclosures in multiple formats, including XBRL. The unparalleled transparency with a state of the art data lineage tracer module gives users the ability to drill down on their data to any level of granularity as well as tracking the route of a single data-point from origin to end point through (should be through) all transformations (transformations) and uses.

AxiomSL's platform supports compliance with a wide range of global and local regulations and as been recognized through a number of accolades worldwide.

About AxiomSL

AxiomSL combines deep industry expertise with an intelligent data management platform to deliver regulatory reporting, liquidity, capital & credit, operations, trade & transactions and tax analytics. Our global footprint spans 70 regulators across 50 jurisdictions, surveilling more than 4,000 regulatory filings. We currently serve national, regional and global financial institutions with more than \$39 Trillion in Total Assets.

The enterprise-wide approach offered by AxiomSL enables clients to leverage their existing data and risk management infrastructure, and reduces implementation costs, time to market and delivers trusted information.



AXIOMSL™

www.axiomsl.com

RDU

The SmartStream Reference Data Utility

smartstreamrdu.com

Simplifying Reference Data. Together.

Established as an industry utility based on the principle of market commonality, collaboration and contribution, The SmartStream Reference Data Utility (RDU) delivers a cost efficient approach to realize the truth of the data contained within the industry with guaranteed results.

Managing data holistically across legal entity, instrument and corporate action data, this shared service model promotes fixes to data processing across the instrument lifecycle and the events that originate and change data.

Join the revolution, contact us today: info@smartstreamrdu.com



WINNER

SmartStream RDU

Editor's Choice Award:

Contribution to Market Understanding of MiFID II

Established as the first industry utility, based on the principals of market commonality, collaboration and contribution, The SmartStream Reference Data Utility (RDU) delivers a data service to realize the truth of the data contained within the industry with results guaranteed. Managing data holistically, across legal entity, security, instrument, corporate action and pricing data, this shared service model promotes common fixes to data processing across the instrument lifecycle and the events that originate and change data.

By centralising data processing onto the RDU, common market processes can be incorporated across all participants to minimise the effort required to get the data right. It acts as a processing agent for its participants selected data sources, to manage their complete data lifecycle, incorporating the sourcing, validation, enrichment and cross-referencing of data. Cleansing best practices, which are applied, continually evolve through the network effect of data processing mutualization to achieve the highest possible data quality and timeliness. This approach allows participating clients realize guarantees that their data is fit-for-purpose and consistent.

Participant's data governance policies are enabled across all financial markets and asset types through streamlined mechanisms for data consumption from one multi-tenanted, auditable environment that supports customized integration standards and controls. The process is supported by an experienced global team who operate under the compliance frameworks of the client base. This approach assures the readiness of both pre-market open and intra-day processes that supports today's largest financial services trading firms



About SmartStream

The SmartStream Reference Data Utility (RDU) is a managed service that delivers complete, accurate and timely reference data for use in critical regulatory reporting, trade processing and risk management operations, dramatically simplifying and reducing unnecessary costs for financial institutions. The RDU acts as a processing agent for its participants selected data sources; sourcing, validating and cross-referencing data using market best practises so that these processes do not need to be duplicated in every financial institution. An experienced global team, who operate under the compliance frameworks of their customers, deliver data that is fit-for-purpose, consistent and in a format that is specific to the financial institutions' needs.

RDU

The SmartStream Reference Data Utility

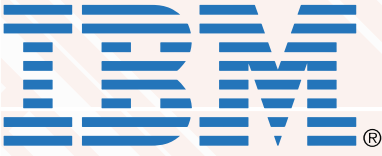
www.smartstreamrdu.com

Winner

Best AI Solution for Regulatory Compliance

Best Regulatory Alert Management Solution

Best Vendor Solution to Address an FRTB Requirement



IBM

IBM is working with organizations across the financial services industry to use IBM Cloud, cognitive, big data, RegTech and blockchain technology to address their business challenges. Watson Financial Services merges the cognitive capabilities of Watson and the expertise of Promontory Financial Group to help risk and compliance professionals make better informed decisions to manage risk and compliance processes.

ibm.biz/RiskAndCompliance

Winner

Best Benchmark Regulations Vendor Solution



RIMES RegFocus BMR Control

The EU Benchmarks Regulation (BMR) represents the latest regulatory burden for asset managers, insurance companies and banks. RIMES' RegFocusSM BMR is the world's first benchmark inventory management, enrichment and control platform, tailor-made for the compliance needs of benchmark data users. Having won this Award category with our RegFocusSM MAR solution last year, we are delighted to be recognised for the second consecutive year for our continuing dedication to help our clients become compliant.

www.rimes.com

Winner

Best GDPR Vendor Solution



Collibra

As the leader in data governance and catalog software, Collibra enables organizations to accelerate time to GDPR compliance by helping them find the data they need to protect, understand that data, and trust their processes around it. Our platform provides a solution for managing and defining enterprise-wide policies, business rules, and data assets to provide the necessary level of data protection to become GDPR compliant and support broader data privacy initiatives.

www.collibra.com

Winner

Best Reference Data Provider for Regulatory Compliance

Bloomberg Bloomberg

To succeed today, financial institutions must respond to challenges that are not addressed by traditional approaches. They require world-class solutions that integrate people, processes, information and technology for the front office, middle office and operations. Bloomberg Professional Services – the Bloomberg Terminal and our enterprise data management and integration services – provide real-time data, breaking news, in-depth research and powerful analytics to help financial professionals make smarter, faster and more informed decisions.

www.bloomberg.com/professional

Winner

Best Regulatory Consultancy – Europe

Deloitte Deloitte

Deloitte provides market leading regulatory services supporting our clients as they continue to navigate the complex regulatory landscape. Our team has a unique blend of technical skills that enable us to deliver a broad range of services. Our expanding RegTech portfolio provides solutions to help our clients achieve effective and efficient compliance. We are delighted to be recognised by our clients for this and excited at the potential that RegTech offers. Contact Paul Garel-Jones to find out more.

www.deloitte.co.uk

Winner

Best Research Subscription Management Solution for MiFID II



RED DEER

Red Deer

Red Deer is a financial technology firm dedicated to enhancing the performance of active investment managers. Serving a client base of some of the world's leading hedge funds, asset managers and pension funds, Red Deer helps the world's leading investment firms optimise their investment process, while embedding compliance and operational efficiencies within front, middle and back office workflows.

www.reddeer.com

Winner

Best Solution for Managing Conduct Risk



CORLYTICS **Corlytics**

Corlytics is the world leader in determining of regulatory risk impact. Corlytics works in partnership with banks, regulators and eminent financial institutions globally. Enabling a more transparent and stable financial system through greater regulatory planning. By delivering world class regulatory risk data and analytics, it empowers its partners to make transformational, informed, positive choices. It uses a combination of artificial and human intelligence to categorise and organise regulatory notices and when required, internal firm data, into highly structured relevant information.

corlytics.com

Winner

Best Solution for Records Retention



smartTrade **smartTrade Technologies**

smartTrade Technologies, a pioneer in multi-asset electronic trading solutions, delivers technology enabling you to focus on your trading and grow your business while lowering total cost of ownership and allowing you to quickly adapt to changing market requirements. smartTrade provides solutions supporting Foreign Exchange, Fixed Income, Equities with connectivity to over 100 liquidity providers, aggregation, SOR, OMS, pricing, distribution, risk management capabilities and a HTML5 UI. We work with a variety of clients ranging from banks, brokers and hedge funds to proprietary trading desks.

www.smart-trade.net

Winner

Best Solution for Securities Financing Transactions Regulation



RegTek Solutions Validate.Trade for SFTR

RegTek.Solutions delivers modular software solutions to improve financial institutions' confidence in their trade and transaction reporting operations, targeting quality, completeness, accuracy and transparency. Built around actionable regulatory intelligence and backed by an SLA, RegTek.Solutions sits at the heart of the market's transformation to sustainable compliance. Our control and assurance solutions help firms lower the cost of compliance and mitigate the risks of a compliance breach, without ever sacrificing the knowledge and discipline required to stay on top of global regulatory requirements.

regteksolutions.com

Winner

Best Time Synchronisation Solution



Corvil UTC Traceability Solution for MiFID and CAT Compliance

Corvil is the industry leader for deriving Security, Operational, and Business intelligence from network data. As companies adopt faster and smarter machine technology, it becomes critical to tap into richer and more granular machine data sources to safeguard the transparency, performance and security of critical infrastructure and business applications. The Corvil streaming analytics platform captures, decodes, and learns from network data on the fly, transforming it into machine-time intelligence for network, IT, security and business teams to operate efficiently and securely in this new machine world. Corvil uses an open architecture to integrate the power of its network data analytics with the overall IT ecosystem providing increased automation and greater operational and business value outcomes for its users. The Corvil solution is trusted by leading financial institutions to safeguard their businesses across the globe involving 354 trillion messages with a daily transaction value in excess of \$1 trillion.

www.corvil.com

Winner

Best Trade Repository for Regulatory Disclosure

DTCC

Securing Today. Shaping Tomorrow.®

DTCC Global Trade Repository (GTR)

With over 40 years of experience, DTCC is the premier post-trade market infrastructure for the global financial services industry. From operating facilities, data centers and offices in 16 countries, DTCC, through its subsidiaries, automates, centralizes, and standardizes the post-trade processing of financial transactions, mitigating risk, increasing transparency and driving efficiency for thousands of broker/dealers, custodian banks and asset managers worldwide.

DTCC's Global Trade Repository (GTR) is the industry's preferred solution for global derivatives reporting providing new insight and perspectives to better monitor and respond to the regulatory reporting requirements. It maintains approximately 40 million open positions per week and processes over one billion messages per month.

GTR will be extending their reporting capabilities within the securities financing market, helping clients meet new reporting requirements under the Securities Financing Transactions Regulation (SFTR).

www.dtcc.com

Winner

Best Trade Surveillance Solution for MAD/MAR

NICE · ACTIMIZE **NICE Actimize**

NICE Actimize is the largest and broadest provider of financial crime, risk and compliance solutions for financial institutions, as well as government regulators. NICE Actimize experts apply innovative technology to protect institutions and safeguard consumers and investors assets by identifying financial crime, preventing fraud and providing regulatory compliance. The company provides real-time, cross-channel fraud prevention, anti-money laundering detection, and trading surveillance solutions that address payment fraud, cybercrime, sanctions monitoring, market abuse and insider trading.

www.niceactimize.com

Winner

Best Vendor Solution for Data Governance



technologies®

ASG - Enterprise Data Intelligence

ASG Technologies Group, Inc. provides global organizations with a modern approach to Digital Transformation to succeed in the Information Economy. ASG is the only solutions provider for both Information Management and IT Systems. ASG's Information Management solutions enable companies to find, understand, govern and deliver information of any kind, from any source -- whether structured or unstructured -- through its lifecycle from capture to analysis to consumption. The IT Systems Management solutions empower companies to support traditional and modern digital initiatives, operate their IT infrastructure more efficiently and effectively and reduce the cost of managing and running their internal IT systems landscape. ASG is proud to serve more than 3,000 customers worldwide in 60 countries and in top vertical markets including Healthcare, Financial Services, Insurance and Government. For more information, visit ASG.com or connect with us on LinkedIn, Twitter and Facebook.

www.asg.com/en/Solutions/Enterprise-Data-Intelligence.aspx

More RegTech Awards Winners

Fidessa XOMS

Best Algorithmic Tagging Solution

WINNER

TraderServe

Best Algorithmic Tagging Solution



RiskMetrics

Best Analytics Solution to Address Capital Requirements/Liquidity Risk

WINNER

Exate Technology

Best Benchmark Regulations Vendor Solution



IHS Markit - CTI Tax Solutions

Best Data Solution for Tax Compliance



Accenture

Best Regulatory Consultancy - North America

WINNER

Fortia Financial Solutions - Innova Software Platform

Best Risk Calculation for Regulatory Compliance



Revista Systems

Best Solution for Managing Conduct Risk



SteelEye

Best Solution for Records Retention



UnaVista London Stock Exchange

Best Trade Repository for Regulatory Disclosure



Nasdaq SMARTS / Nasdaq Buy-Side Compliance

Best Trade Surveillance Solution for MAD/MAR



Finastra

Best Vendor Solution to Address an FRTB Requirement



Solgari

Best Voice and Mobile Messaging Solution

WINNER

Truphone Mobile Recording

Best Voice and Mobile Messaging Solution

