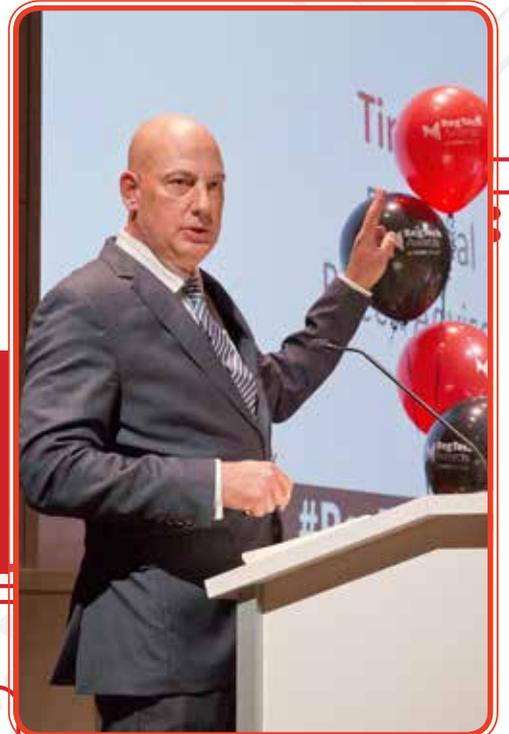


RegTech Awards 2017

A-TEAMGROUP



RegTech Awards 2017 Winners' Report

About the RegTech Awards

Congratulations to the winners of A-Team Group's first annual RegTech Awards, which were celebrated during a champagne reception at the close of our inaugural RegTech Summit for Capital Markets in New York on 17 November.

The awards were presented by Tim Lind, principal at RTech Advisors, and are designed to recognise both start-up and established providers of RegTech solutions, services, consultancy and legal advice.

The award categories were created by the A-Team editorial team, with input from our advisory board members, and ranged from best data management solution for regulatory compliance to best innovative solutions for specific regulations, best reference data for regulatory compliance, best trade surveillance solution, best voice and mobile messaging solution and the most innovative use of AI for compliance.

Nominations for the awards highlighted key players in the categories, while votes were cast by members of our Data Management Review and Intelligent Trading Technology communities.

Thank you to all our readers who took the time to vote, and to our advisory board members for their invaluable contribution to the RegTech Awards.



Andrew Delaney
President
A-Team Group

I'd personally like to thank our advisory board for their time and valuable input.

Thank you to RegTech Advisory Board Members:

- Tim Lind, RTech Advisors
- Kevin Taylor, formerly UniCredit Group
- Gaurav Bansal, MiFID II risk and regulatory advisory
- Nicole Sandler, Barclays
- Colin Ware, BNY Mellon
- Kevin Barry, Janus Henderson Investors
- Peter Stephen, UBS
- Jerry Goddard, Santander



An award winning, KYC/AML, Enhanced Due Diligence Cognitive Search and Crime prevention tool.

Largest Datasets



- ▶ One of the largest databases of global Sanctions Lists, Watch Lists, and persons in public life.
- ▶ One of the largest collections of global court cases, criminal registries, government records, curated news stores, gazettes, and more.

Advanced Intelligence

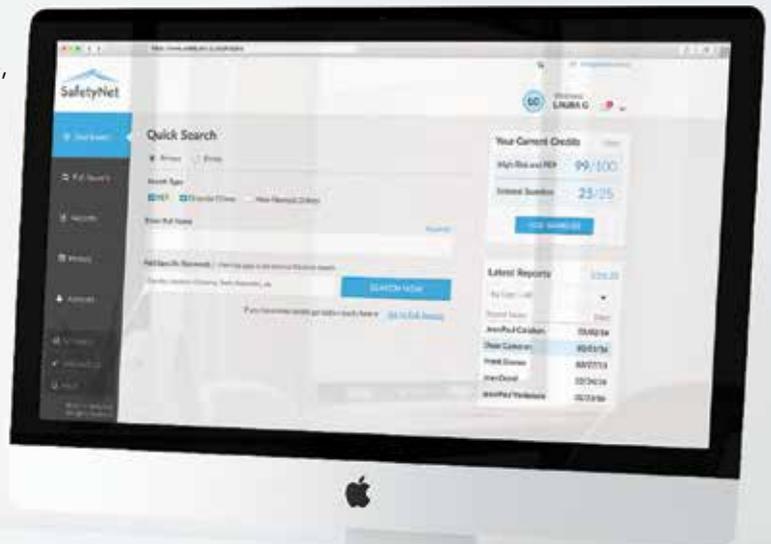


- ▶ Artificial Intelligence capabilities, can read and understand natural language (in multiple languages) like a human being.
- ▶ Semi-Autonomous capabilities, can generate insights and summary notes on its own.
- ▶ Performs document "deep dives".

In-depth Searching & Monitoring Capabilities



- ▶ Searches through hundreds of millions of documents within seconds.
- ▶ Searches in real-time the surface, deep, and dark web, and (on select plans) an organization's internal data.
- ▶ Capable of continuously monitoring in real-time tens of thousands of data subjects.



Greatest Ease of Use



- ▶ Cloud Based application, no installation required.
- ▶ User Friendly Web Interface.
- ▶ Insightful Reports.
- ▶ Powerful, yet Simple Developer APIs.

Domus Semo Sancus



Domus Semo Sancus (DSS) was founded with the goal of helping the unbanked achieve financial inclusion. Our digital wallet platform is being designed to first and foremost address this need.

However, before we can achieve our goal, we have to ensure that our financial tools aren't used for nefarious reasons. That's because every time someone exploits the global financial system, it makes it harder for everyone else.

And so we've built a first-of-its-kind enhanced due diligence cognitive search tool to help ensure that we always know who our customers are, and to be alerted to those who may represent a high risk, and that tool is SafetyNet.ai. Knowing Your Customer (KYC) is not a unique requirement to DSS, and to help others with the same need, we've made SafetyNet.ai commercially available as a cloud-based AI utility.

SafetyNet Lite and SafetyNet EDD help businesses meet their KYC/AML requirements, while SafetyNet Safe City helps keep neighborhoods safe by helping law enforcement proactively address crime. From helping to bank the unbanked, to helping businesses reduce their risk, to helping law enforcement fight crime, at DSS we are working to "Help Make Lives Better".

Learn more about SafetyNet.ai at www.safetynet.ai.



About Domus Semo Sancus

DSS' SafetyNet is an Enhanced Due Diligence (EDD) cognitive search tool. It contains one of the largest databases of sanctions and watch lists with millions of high-risk subjects. Utilizing the AI power of IBM Watson, SafetyNet performs EDD checks the way humans do, but much faster. Its searches cover the surface, deep, and dark web, and on select plans, an organization's internal data.

SafetyNet helps organizations spot risks and threats that other applications don't.

www.safetynet.ai

SafetyNet™

by
DSS
DOMUS SEMO SANCUS

Our mission.

Asset Control helps financial organisations deliver high-quality reference, pricing and risk data to the people and applications that need it.

On time. All the time.

ASSET
CONTROL



Asset Control



WINNER

Asset Control
Best Data Management Solution
for Regulatory Compliance

Asset Control is the market leader in providing supremely reliable, high performance systems for the management of financial data. Serving many of the top-tier banks as well as service providers, investment managers and insurance companies, our software and operational expertise makes processing and reporting possible sooner, with absolute accuracy and consistency.

The Asset Control data management platform is a complete data lifecycle system that tracks every data element of every security across all asset classes from acquisition through to distribution and provides banks and asset managers the ability to derive data in a cost effective manner while achieving the highest standards of data governance. Whether it is to support post-trade operations, regulatory compliance and reporting, portfolio valuation, or risk management, Asset Control delivers data at any frequency with unequalled efficiency, transparency and integrity.

Focal points for Asset Control include helping clients comply with regulation including MIFID II and FRTB through data sourcing, mastering and quality management, and connectivity solutions as well as making mastered data easily accessible to business users. Asset Control continues to innovate and has recently announced integration with additional data sources as well as a new NoSQL, cloud or hybrid based financial data management platform.



About Asset Control

Asset Control has been providing innovative, supremely reliable, high performance solutions for the management of financial data for over twenty years. Serving the world's most successful financial institutions including top-tier banks and investment managers, our software and operational expertise makes processing and reporting possible sooner, with absolute accuracy and consistency. Whether for regulatory compliance, portfolio valuation, or risk management, the AC Plus platform tracks every data element from the point of capture to final delivery.

**ASSET
CONTROL**

www.asset-control.com

FENERGO CLIENT LIFECYCLE MANAGEMENT is developed by and for banks to digitally transform how they manage customers and deliver exceptional customer experience – from initial compliance and onboarding, all the way through to regular and event-driven reviews.

FENERGO delivers out-of-the-box, content-rich regulatory, data and digital solutions, developed in collaboration with our global banking community.

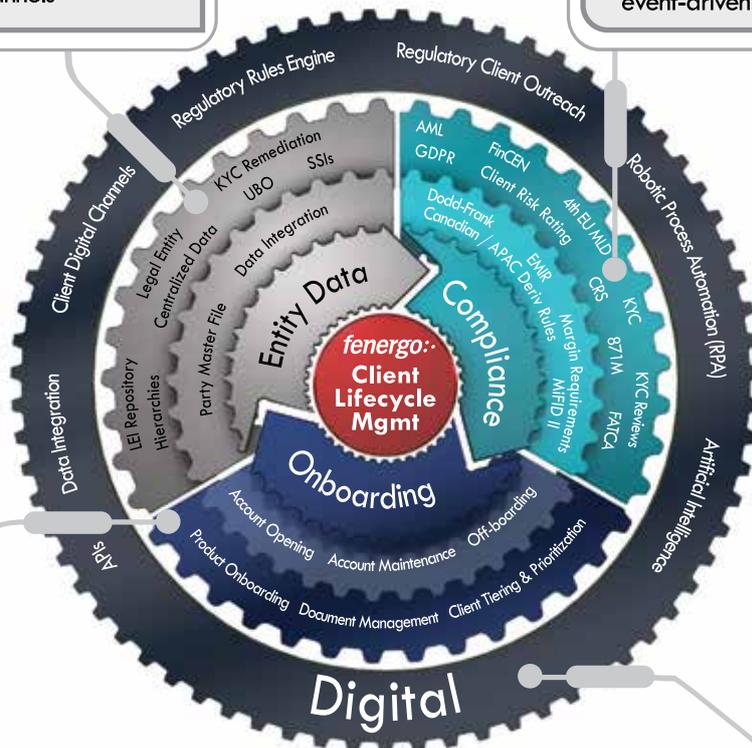
DATA MANAGEMENT

- Unlock 360-degree view of clients across business lines, functions & jurisdictions
- Re-use up to 75% of existing client data & documentation for new regulatory obligations
- Automate data & document collection through advanced digital channels

REGULATORY COMPLIANCE

- Comply with regulations across multiple regulatory frameworks
- Automate identification of in-scope regulations with Regulatory Rules Engine
- Efficiently perform regular KYC & event-driven reviews

THE INDUSTRY STANDARD



FOR CLIENT LIFECYCLE MANAGEMENT

CLIENT ONBOARDING / LIFECYCLE MANAGEMENT

- Improve efficiency and orchestration of client/product onboarding across compliance, legal, credit, tech & ops
- Reduce client onboarding times by up to 80%
- Deliver an enhanced client experience

DIGITAL

- Perform regulatory client outreach through secure digital channels (Fenergo Interact)
- Automatically consume data from multiple data providers through API integration tools
- Expedite compliance and KYC remediation with AI / RPA

FOR MORE INFORMATION ON FENERGO CLIENT LIFECYCLE MANAGEMENT:

www.fenergo.com info@fenergo.com

RegTech
Awards 2017
A-TEAM GROUP

WINNER

Most Innovative Use of a Vendor Solution to Address Data Governance



Every financial institution in the world is facing a “perfect storm” i.e. spiralling levels of regulation which is putting additional pressure on banks to collect more data and documentation from clients, increasing the cost of compliance and seriously impacting operational efficiencies and client experience.

Fenergo’s software solutions enable financial institutions to solve the challenges of the “perfect storm” by streamlining the end-to-end Client Lifecycle Management processes (from regulatory onboarding, data integration, client and counterparty data management, client lifecycle reviews and remediation all the way to client offboarding etc.). Our solutions are proven to improve compliance, operational efficiencies, time to revenue and client experience.



With 60+ banks using our solutions across the world and 600 employees globally, Fenergo taps into a community of 20,000+ regulatory and compliance experts in its client organizations to co-create the Fenergo regulatory and product roadmaps, ensuring future-proofed compliance against an ever-evolving regulatory landscape.

Some of our clients include ABN AMRO, ICBC Standard Bank, Santander, BBVA, BNY Mellon, Scotiabank ... to name but a few.

Headquartered in Dublin, Ireland, Fenergo has offices in North America (Boston and New York), UK (London), Asia Pacific (Sydney, Singapore and Tokyo) and UAE (Abu Dhabi).

About Fenergo

Fenergo is a leading provider of Client Lifecycle Management software solutions for investment, corporate and private banks, helping to efficiently manage the end-to-end regulatory onboarding and data management processes. Its rules-driven solution ensures compliance with multiple regulatory frameworks and supports the collection, centralization and sharing of client / counterparty data and documentation across the institution. By expediting compliance and improving operational efficiencies, Fenergo’s solutions can onboard clients faster, improve time to revenue and overall client experience.

fenergo:



**We are
consistently
recognised
as the best
in our field
It's in our DNA**

Voted Most Innovative Benchmark Regulation Solution at the inaugural A-Team RegTech Awards, and Best Data Provider to the Buy Side for the 11th consecutive year at the Waters Buy-Side Technology Awards. Thank you to all our clients, partners and the RIMES Team
rimes.com/winner

RIMES Technologies



We are delighted to receive the “Most Innovative Benchmarks Regulation Solution” Award at the inaugural RegTech Awards with RIMES’ RegFocus BMR, the world’s first benchmark inventory management, enrichment and control platform, tailor-made for the compliance needs of Benchmark Users. This is a cloud-based solution which helps asset managers, banks and insurance companies comply with the EU’s landmark Benchmarks Regulation (BMR) coming into force on 1st January 2018 without the high cost and operational disruption associated with in-house upgrades to compliance systems and processes.

Under BMR, which aims to introduce a common framework and consistent approach to benchmark regulation across the EU, Users are defined as supervised entities that use indices as benchmarks, and issue financial instruments or contracts that references an index. Under BMR, they are required to understand which of the indices they use constitute a benchmark, and whether they can use them in the EU.

Our solution provides Benchmark Users a simple-to-use cloud platform to meet their new compliance obligations under BMR. Through an advanced, feature-rich inventory management system, they can gain an immediate understanding of the universe of benchmarks used at their firm, and benefit from immediate alerts to any areas in which they are at risk of non-compliance. Most importantly, we empower them to address the essential question: am I a Benchmark Administrator, a Contributor or a User, and for which benchmarks?



About RIMES Technologies

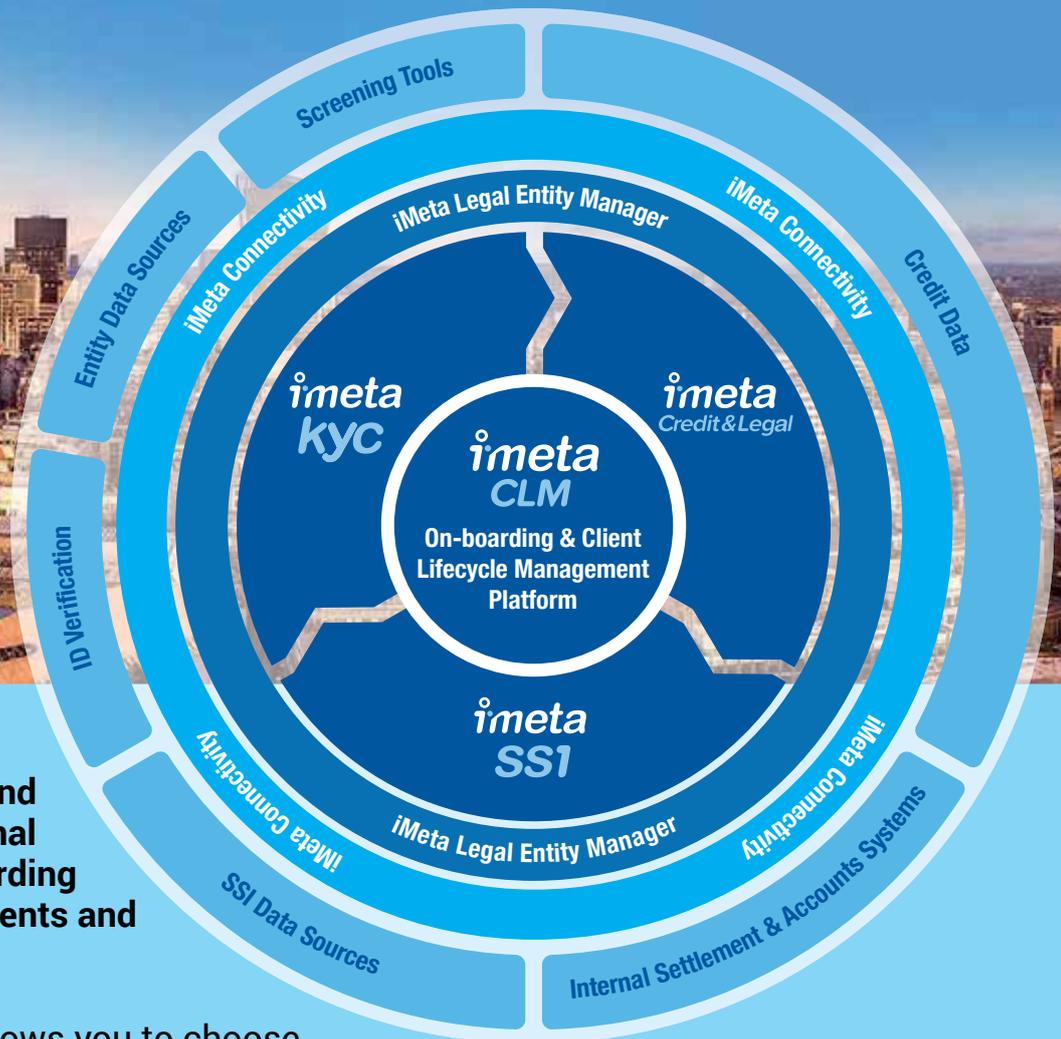
RIMES is a buy-side specialist that truly understands the data management and compliance challenges faced by its clients. It serves over 300 investment managers, pension funds, hedge funds, wealth managers, private banks, custodian banks and insurance companies in 40 countries including 60 of the 100 largest global asset managers and 9 of the 10 largest custodians by TAUM.



www.rimes.com

iMeta CLM

On-boarding and Client Lifecycle Management



Automates the end-to-end regulatory and operational processes when on-boarding and maintaining your clients and related entities.

This flexible platform allows you to choose and capitalise on the particular elements that solve your business needs.

iMeta CLM includes:

- Connectivity to industry data and documentation sources
- Intuitive legal entity data management
- Regulatory support across KYC, AML, FATCA, CRS, MiFID, DFA and EMIR
- Account and SSI management
- A configurable data model with flexible workflow and rules
- An integration layer enabling straight through processing to downstream systems

Achieve greater control, improve data quality, reduce risk and remain compliant!

Tel: +44 (0)2380 762012
Email: enquiries@imeta.co.uk
Web: www.imeta.com



iMeta

iMeta Technologies



iMeta – Know Your Customer, Anti-Money Laundering and Regulatory Surety Delivered!

iMeta's end-to-end data management and workflow platform, iMeta CLM has been designed to help financial institutions excel at client on-boarding and lifecycle management. By integrating workflow and data management to ensure a single view of client data; iMeta CLM delivers increased efficiencies, enhanced operational control, and offers a first-class client experience.

With a highly flexible data model, workflow and business rules engine that can be configured in-house, the system is able to comply with existing KYC and other regulatory demands such as MiFIDII, DFA, and EMIR; delivering a single view of the client, and providing auditable traceability of applied policies and data – ensuring regulatory surety for KYC and AML.

Financial firms are often managing complex business relationships and strive to provide their clients with a professional and enjoyable experience in all their interactions. iMeta CLM is designed to support the needs of front, middle and back office to save time, deliver a positive client experience, and reduce costs.

By utilising iMeta CLM, financial firms can automate client lifecycle processes and reap many benefits including;

- Being able to track adherence to policies and provide auditable proof of processes and decisions
- Realising faster time to revenue with improved upsell opportunities
- Increased efficiencies, control over data, with less manual work and cost savings
- A highly regarded reputation for delivering a superior customer experience

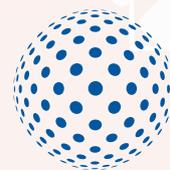
For more information visit www.imeta.co.uk or follow us on Twitter @iMeta_UK.



About iMeta Technologies

Founded in 2000, iMeta is a leading provider of client lifecycle management software and services; delivering solutions to institutional brokers, dealers and wholesale banks around the globe.

iMeta's end-to-end on-boarding and Client Lifecycle Management platform, iMeta CLM, enables financial organisations to automate and manage the complex regulatory and operational data required to transact with their customers; delivering increased efficiencies, enhanced operational control, and offering a first-class client experience, whilst ensuring regulatory surety.



imeta

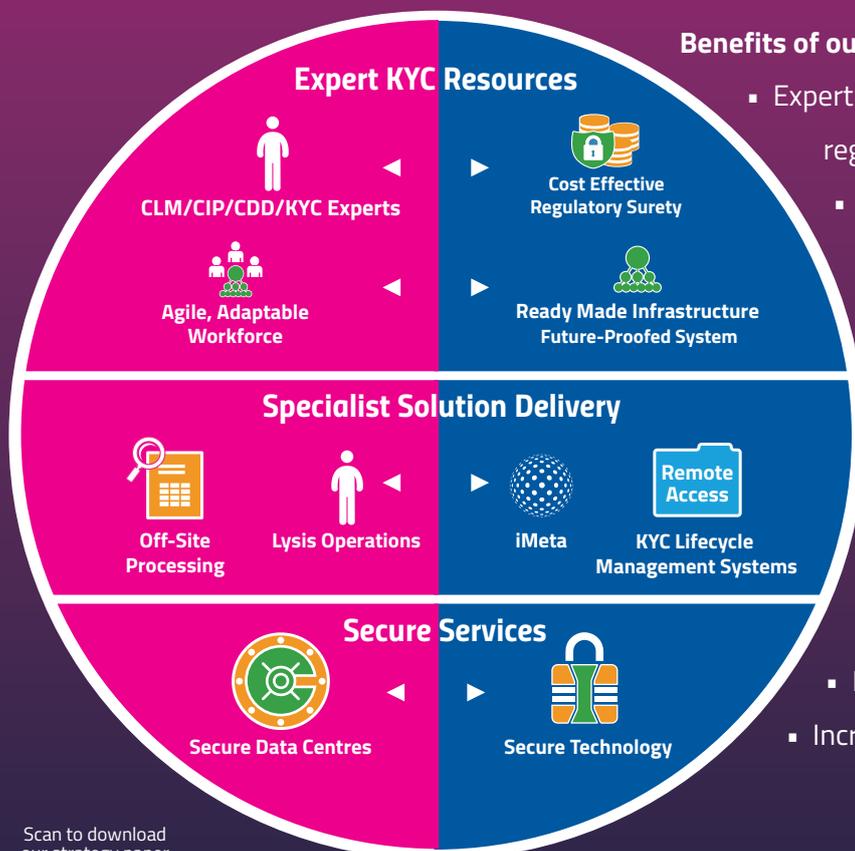
www.imeta.co.uk



Innovative Managed Service for Client Onboarding Future-Proof Your Business!

Due to increasing regulatory directives and awareness of the importance of CLM and AML, many firms still struggle with the challenges these critical business functions present.

To address this urgent requirement, Lysis and iMeta have formed a partnership to create a new one-stop shop Managed Service solution which supports firms' entire on-boarding and Client Lifecycle Management (CLM) requirements. Drawing upon Lysis Operations' in-depth client on-boarding and CLM, CIP, CDD and KYC expertise, and underpinned by iMeta's leading CLM technology, we believe this new service delivers a streamlined, future-proof and cost-effective solution to meet all your regulatory requirements.



Benefits of our Managed Service:

- Expert management of operational and regulatory processes
- Continuous adaptation to ongoing regulatory change
- Operational excellence with a proven technology platform
- Scalable to specific resource and volume requirements
- Full audit trail showing consistent application of policies
- Effectively manages the remediation cycle
- Delivers a positive client experience
- Increased regulatory surety at a reduced cost

Scan to download our strategy paper



If you are interested in learning more, please email us at: info@lysisoperations.com

Tel: +44 (0) 845 658 0008
www.lysisoperations.com

iMeta Technologies & Lysis Financial



Innovative Managed Service for Client On-boarding:

Due to increasing regulatory directives and awareness of the importance of Client Lifecycle Management (CLM) and AML, many firms still struggle with the challenges these critical business functions present.

To address this urgent requirement, Lysis and iMeta have formed a partnership to create a new one-stop shop Managed Service solution which supports firms' entire on-boarding and CLM requirements. Drawing upon Lysis Operations' in-depth client on-boarding and CLM, CIP, CDD and KYC expertise, and underpinned by iMeta's leading CLM technology, we believe this new service delivers a streamlined, future-proof and cost effective solution to meet all your regulatory requirements.



Creating great customer experiences and improving business efficiency, as well as embedding robust controls and oversight, are top priorities for senior executives. Our innovative Managed Service solution not only ensures current regulatory obligations are met, but it has also been cleverly developed to ensure ongoing compliance into the future – freeing up precious resources to focus on crucial business-value tasks.

Benefits of our Managed Service:

- Expert management of operational & regulatory processes
- Continuous adaptation to ongoing regulatory change
- Operational excellence with a proven technology platform
- Scalable to specific resource & volume requirements
- Full audit trail showing consistent application of policies
- Effectively manages the remediation cycle
- Delivers a positive client experience
- Increased regulatory surety at a reduced cost.

About iMeta Technologies & Lysis Financial

Comprehensive Managed Service from Lysis Operations and iMeta – A Winning Combination!

By working with experienced Managed Service providers, financial institutions can control the cost of resource and infrastructure change, and improve efficiency. Lysis Operations staff are highly experienced change management, CLM, CIP, CDD and KYC professionals. Supported by iMeta's robust and proven CLM technology platform, this winning combination delivers a streamlined, future-proof and cost-effective solution to meet your regulatory requirements.



www.imeta.co.uk & www.lysisfinancial.com

IBM Watson



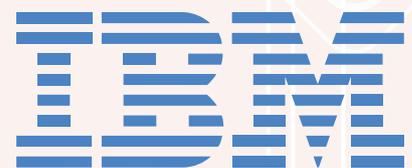
In June, IBM launched the first suite of cognitive solutions to help financial institution professionals manage their regulatory and fiduciary responsibilities. The Watson-powered software, which can be deployed on the IBM Cloud, is designed to help financial professionals in three areas: understanding regulatory requirements, delivering increased insight into potential financial crimes, and managing financial risk with a new architectural approach for data.

Watson – IBM's artificial intelligence and cognitive computing platform – has assisted a wide variety of professionals in managing massive and complex bodies of data. The company has trained Watson in the nuances of specific industries, including healthcare and cybersecurity. Now, IBM is doing the same for financial regulations. Promontory Financial Group, an IBM subsidiary that specializes in risk management and regulatory compliance, has trained Watson initially on 60,000 regulatory citations. Watson has also started to review transactions and cases related to potential financial crimes. The result is a suite of cognitive solutions that are designed to offer professionals assistance in making better-informed risk and compliance decisions with greater speed. Over time, additional data sets will be added, which will allow the machine learning and analytics embedded in Watson Financial Services to further expand and help improve the insights provided to professionals.



About IBM

IBM Watson Regulatory Compliance has pioneered the use of AI in helping firms streamline compliance management and keep pace with regulatory change. Artificial Intelligence is a natural fit for the regulatory compliance space because it can help accomplish the vast amount of analysis required to interpret rules in a much more efficient manner and no firm has applied AI in such far reaching and critical areas than IBM.



www.ibm.com/watson/

Winner

Most Innovative Use of a Vendor Solution to Address an FRTB Requirement



GoldenSource

GoldenSource has been exclusively focused on servicing the financial industry's data management needs for over 30 years. Our software, services, and expertise empower firms to manage risk, comply with regulatory requirements, and control costs with a trusted source of complete and consistent information through a single hosted or on-premise platform. By partnering closely with our clients, we can scale in line with their business growth, new operating models, and regulatory changes to achieve the highest levels of data quality and operational efficiency.



GoldenSource[®]

www.thegoldensource.com

Winner

Most Innovative Data Privacy Vendor Solution



Solidatus

Solidatus is an award-winning data lineage visualization tool, designed to help organisations to rapidly discover and understand how data flows through their systems. Since its launch in February 2017, Solidatus has worked with top tier global financial, pharmaceutical, and utility companies and has also partnered with major consultancy and technology firms.



Solidatus
MAKING VISIBLE THE INVISIBLE

www.solidatus.com

Joint Winner

Most Innovative Use of a Vendor Solution to Address Data Governance



Collibra

Collibra helps organizations across the world find, understand, and trust their data, and gain competitive advantage by maximizing the value of their data across the enterprise. Collibra is the only solution purpose-built to address the gamut of data stewardship, governance, and management needs of the most complex, data-intensive industries. Our solution puts people and processes first – automating data governance and management to quickly and securely deliver trusted data to the business users who need it.



collibra[®]

www.collibra.com

Winner

Most Innovative Use of a Vendor Solution to Address a Dodd-Frank Requirement



AxiomSL

AxiomSL is the global leader in risk data management and regulatory reporting solutions for the financial industry. Its unique enterprise data management platform delivers data lineage, risk aggregation, analytics, workflow automation, reconciliation, validation and audit functionality, as well as disclosures. AxiomSL's platform supports compliance across global and local regulations, including Basel III & IV, Liquidity, Dodd-Frank Act, Trade & Transaction, FRTB, COREP/FINREP, CCAR, IFRS 9/CECL, MAS, APRA, Shareholding Disclosures, central bank disclosures and more.

www.axiomsl.com



Winner

Most Innovative Use of a Vendor Solution to Address the IRS 871(m) Requirement



IHS Markit

IHS Markit (Nasdaq: INFO) is a world leader in critical information, analytics and solutions for the major industries and markets that drive economies worldwide. The company delivers next-generation information, analytics and solutions to customers in business, finance and government, improving their operational efficiency and providing deep insights that lead to well-informed, confident decisions. IHS Markit has more than 50,000 key business and government customers, including 85 percent of the Fortune Global 500 and the world's leading financial institutions. Headquartered in London, IHS Markit is committed to sustainable, profitable growth. IHS Markit is a registered trademark of IHS Markit Ltd and/or its affiliates. All other company and product names may be trademarks of their respective owners © 2017 IHS Markit Ltd. All rights reserved.

www.ihsmarkit.com



Winner

Most Innovative Digital Identity Solution

Winner

Best Reference Data for Regulatory Compliance



Thomson Reuters

No one knows risk, like Thomson Reuters.

Our award-winning solutions help you to better identify, assess, manage, comply & monitor all your risks. We help you know the risks that lie within your firm or investments, to those that rest within your customer base or supply chain. Thomson Reuters Risk Solutions are proven to help 1000s of firms every day, to better manage their risk exposures and comply. Some risks are worth taking, others are not! For all your risk needs, Thomson Reuters and our partners have the solution.



risk.tr.com

Winner

Best Algorithmic Tagging/Classification Solutions



FactSet

FactSet delivers superior analytics, service, content, and technology to help more than 88,000 users see and seize opportunity sooner. We are committed to giving investment professionals the edge to outperform, with fresh perspectives, informed insights, and the industry-leading support of our dedicated specialists. We're proud to have been recognized with multiple awards for our analytical and data-driven solutions and repeatedly ranked as one of Fortune's 100 Best Companies to Work For and a Best Workplace in the United Kingdom and France.

www.factset.com

Winner

Best Analytics Solution to Address Capital Requirements/Liquidity Risk



Corlytics

Corlytics is the world leader in regulatory risk intelligence.

Positive regulatory outcomes are of enormous benefit to regulators, regulated firms and their clients. Corlytics uniquely analyses enforcement data and other important regulatory information. We formed in 2013 and work in partnership with banks, regulators and financial institutions globally. Enabling a more transparent and stable financial system through greater regulatory planning.

For more information, visit www.corlytics.com and follow us on Twitter at @corlytics



CORLYTICS

www.corlytics.com

Winner

Best Trade Surveillance Solution



Nasdaq SMARTS

Nasdaq is a leading provider of risk and surveillance solutions across six continents. Through its diverse portfolio of solutions, Nasdaq enables customers to plan, optimize and execute their business vision with confidence, using proven technologies that provide transparency and insight for navigating today's global capital markets.

Nasdaq's SMARTS surveillance solutions have been the industry benchmark for real-time, cross-market, cross-asset surveillance for 23+ years. Used daily by over 3500 compliance professionals around the world, the solutions currently power surveillance at 47 marketplaces, 17 regulators and 145+ market participants on the buy- and sell-side across 140+ venues globally.



business.nasdaq.com/tech/surveillance

Winner

Best Trade Repository for Regulatory Disclosure



UnaVista (LSEG)

UnaVista is London Stock Exchange Group's global platform for reference data, reconciliation and regulatory reporting. UnaVista provides firms with a range of solutions designed to reduce operational and regulatory risk. UnaVista's regulatory solutions help firms comply with multiple regulations through one interface, including MiFID II, global derivative trade reporting, buy-side reporting and MAR. UnaVista will also be assisting firms with upcoming regulations such as Consolidated Audit Trail (CAT) and SFTR, and providing MiFIR transaction analytics.



www.lseg.com/unavista

Winner

Best Regulatory Alert Management Solution



Trillium

Trillium is a diversified financial services firm operating three business lines: a proprietary intraday equities trading group; an investment management group; and Trillium Labs, a trading technology development group and the creator of Surveyor, a post trade analytics and market surveillance tool designed to detect and eliminate market manipulation. Trillium is headquartered in New York City, with trading floors in Chicago, Miami and Princeton.



www.TRLM.com

Winner

Best Regulatory Consultancy - Europe



KPMG

As companies face an increasingly complex regulatory environment, KPMG in the UK is delighted to be recognised for the way in which we work with our clients to provide strategic and technical regulatory and company opportunities to help them anticipate and manage regulatory risk.

www.kpmg.co.uk

Winner

Best Voice and Mobile Messaging Solution Provider



Truphone

Truphone provides the market-leading mobile recording service that helps financial organisations comply with industry regulation, such as MiFID II. Global by design, Truphone Mobile Recording securely captures and stores all voice and SMS traffic seamlessly within the network. Nine of the world's largest investment banks and over 100 financial institutions trust Truphone Mobile Recording.

www.truphone.com

Winner

Most Innovative Use of a Trade Surveillance Solution to Address the Volcker Rule Requirement



Bloomberg Vault

Bloomberg, the global business and financial information and news leader, gives influential decision makers a critical edge by connecting them to a dynamic network of information, people and ideas. The company's strength – delivering data, news and analytics through innovative technology, quickly and accurately – is at the core of the Bloomberg Terminal. Bloomberg's enterprise solutions build on the company's core strength: leveraging technology to allow customers to access, integrate, distribute and manage data and information across organizations more efficiently and effectively.

www.bloomberg.com/company/

Winner

Best Best-Execution Solution



Bloomberg

Bloomberg, the global business and financial information and news leader, gives influential decision makers a critical edge by connecting them to a dynamic network of information, people and ideas. The company's strength – delivering data, news and analytics through innovative technology, quickly and accurately – is at the core of the Bloomberg Terminal. Bloomberg's enterprise solutions build on the company's core strength: leveraging technology to allow customers to access, integrate, distribute and manage data and information across organizations more efficiently and effectively.

www.bloomberg.com/company/

Bloomberg

Winner

Best Regulatory Consultancy - North America



Deloitte

At Deloitte, we make an impact that matters for our clients, our people, our profession, and in the wider society by delivering the solutions and insights they need to address their most complex business challenges. As one of the largest global professional services and consulting networks, with over 220,000 professionals in more than 150 countries, we bring world-class capabilities and high-quality services to our clients.

www2.deloitte.com

Deloitte.