

TOP 150 RIA FIRMS

RANKING	COMPANY	LOCATION	AUM (000)	CLIENT ACCOUNTS	EMPLOYEES	ADVISORS
1	Oxford Financial Group	Carmel, Ind.	\$13,818,176	7,069	130	30
2	Shepherd Kaplan	Boston	\$10,275,859	2,287	50	24
3	Brownson, Rehmus & Foxworth	Chicago	\$10,127,868	536	56	48
4	Ronald Blue	Roswell, Ga.	\$8,271,692	17,675	275	157
5	Athena Capital Advisors	Lincoln, Mass.	\$5,961,721	460	47	29
6	Ballentine Partners	Waltham, Mass.	\$5,238,446	2,866	53	48
7	East End Advisors	New York	\$4,938,591	22	15	7
8	CV Advisors	Aventura, Fla.	\$4,760,336	102	26	7
9	Savant Capital Management	Rockford, Ill.	\$4,397,773	3,703	117	48
10	Douglas C. Lane	New York	\$4,378,179	4,149	32	8
11	Ferguson Wellman Capital Management	Portland, Ore.	\$4,211,949	2,292	43	20
12	Halbert Hargrove	Long Beach, Calif.	\$4,108,380	3,258	38	32
13	Seven Post Investment Office	San Francisco	\$3,998,132	332	13	11
14	W.E. Family Offices	Miami	\$3,969,095	71	38	22
15	Homrich Berg	Atlanta	\$3,936,156	5,384	71	48
16	Mill Creek Capital Advisors	Conshohocken, Pa.	\$3,838,333	268	20	17
17	Federal Street Advisors	Boston	\$3,783,927	1,454	32	25
18	Wetherby Asset Management	San Francisco	\$3,764,412	2,881	59	35
19	RGT Wealth Advisors	Dallas	\$3,754,408	7,038	65	34
20	Gofen and Glossberg	Chicago	\$3,746,602	2,906	27	13
21	Evanson Asset Management	Carmel, Calif.	\$3,497,443	4,306	6	4
22	Bartlett	Cincinnati	\$3,430,217	2,828	47	17
23	Iron Capital Advisors	Atlanta	\$3,250,740	188	6	4
24	Balasa Dinverno Foltz	Itasca, Ill.	\$3,208,123	4,453	37	34
25	RegentAtlantic	Morristown, N.J.	\$3,157,180	1,522	24	23

Data gathered from Form ADV filings as of September 2014

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26	Clear Investment Research	Atlanta	\$3,153,628	40	4	2
27	Heck Capital Advisors	Rhineland, Wis.	\$3,094,683	1,681	12	10
28	R.M. Davis	Portland, Maine	\$3,090,093	4,090	39	20
29	LS Investment Advisors	Bloomfield Hills, Mich.	\$3,081,986	402	12	9
30	Orgel Wealth Management	Altoona, Wis.	\$3,070,533	8,160	31	12
31	Conrad Siegel Investment Advisors	Harrisburg, Pa.	\$3,014,119	336	24	24
32	Threshold Group	Gig Harbor, Wash.	\$2,944,696	1,844	39	25
33	Dowling & Yahnke	San Diego	\$2,914,362	3,780	32	15
34	Brighton Jones	Seattle	\$2,896,666	961	67	32
35	Plancorp	St. Louis	\$2,894,626	4,845	45	34
36	Atherton	Menlo Park, Calif.	\$2,842,403	702	36	26
37	Matter Family Office	Clayton, Mo.	\$2,758,920	187	29	18
38	WMS Partners	Towson, Md.	\$2,749,076	401	44	17
39	Northeast Financial Consultants	Westport, Conn.	\$2,686,612	1,822	5	3
40	Index Fund Advisors	Irvine, Calif.	\$2,629,393	5,427	40	18
41	South Texas Money Management	San Antonio	\$2,595,701	2,543	60	26
42	Paul Comstock Partners	Houston	\$2,484,589	153	8	8
43	Hartland	Cleveland	\$2,264,161	1,939	60	28
44	Meristem	Minnetonka, Minn.	\$2,205,031	321	40	20
45	Alesco Advisors	Pittsford, N.Y.	\$2,213,324	884	16	12
46	Budros, Ruhlin & Roe	Columbus, Ohio	\$2,150,781	6,528	40	20
47	Wescott	Philadelphia	\$2,078,712	448	29	17
48	Badgley Phelps Investment Managers	Seattle	\$2,057,479	1,362	18	15
49	Zemenick & Walker	St. Louis	\$2,001,506	175	11	8
50	Retirement Advisors of America	Addison, Texas	\$1,963,753	3,831	35	20

Source: Financial Media Group/AdvisorDatabases.com

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51	Greenspring Wealth Management	Towson, Md.	\$1,961,050	1,061	13	10
52	Mainstay Capital Management	Grand Blanc, Mich.	\$1,959,611	3,728	22	12
53	Heritage Investors Management	Bethesda, Md.	\$1,949,617	1,105	11	5
54	EP Wealth Advisors	Torrance, Calif.	\$1,942,000	4,747	38	23
55	McCutchen Group	Seattle	\$1,897,463	481	13	5
56	Rinet	Boston	\$1,884,814	1,558	28	17
57	Truepoint Wealth Counsel	Cincinnati	\$1,859,432	4,086	36	21
58	JMG Financial Group	Oak Brook, Ill.	\$1,859,305	2,178	34	33
59	Pathstone Family Office	Fort Lee, N.J.	\$1,834,628	1,786	33	11
60	Palladium Registered Investment Advisors	Norfolk, Va.	\$1,811,664	1,630	25	11
61	Parsec Financial	Asheville, N.C.	\$1,712,684	4,146	20	19
62	Yale Capital	St. Petersburg, Fla.	\$1,699,829	98	10	4
63	SYM Financial Advisors	Winona Lake, Ind.	\$1,667,278	3,324	38	20
64	TCI Wealth Advisors	Tucson, Ariz.	\$1,666,733	5,477	43	16
65	Laird Norton Wealth Management	Seattle	\$1,632,600	1,288	80	38
66	Mozaic	Beverly Hills, Calif.	\$1,630,720	58	9	5
67	Modera Wealth Management	Boston	\$1,621,391	3,898	42	25
68	Capital Directions	Atlanta	\$1,614,771	1,046	12	5
69	Greenwich Wealth Management	Greenwich, Conn.	\$1,565,496	142	6	5
70	Sand Hill Global Advisors	Palo Alto, Calif.	\$1,563,013	799	21	14
71	Evensky & Katz/Foldes Financial Wealth	Coral Gables, Fla.	\$1,558,600	3,275	25	13
72	Citrin Cooperman Wealth Management	Philadelphia	\$1,515,763	1,281	10	9
73	Twin Focus Capital Partners	Boston	\$1,501,138	83	20	15
74	Foster Group	West Des Moines, Iowa	\$1,500,391	2,850	38	23
75	Destination Wealth Management	Walnut Creek, Calif.	\$1,489,920	3,640	30	23

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76	RCL Advisors	New York	\$1,465,582	206	9	6
77	Frontier Investment Management	Dallas	\$1,463,428	2,100	17	14
78	Chesley Taft	Chicago	\$1,455,801	1,347	11	7
79	Accredited Investors	Edina, Minn.	\$1,446,268	3,357	31	4
80	Exencial Wealth Advisors	Oklahoma City	\$1,427,208	2,241	36	14
81	Filament	Seattle	\$1,424,917	373	13	11
82	Paratus Financial	Dallas	\$1,417,000	1,542	6	6
83	Pinnacle Advisory Group	Columbia, Md.	\$1,400,000	3,481	49	23
84	Patriot Wealth Management	Houston	\$1,394,887	1,657	13	12
85	LBI Wealth Management	Saddle River, N.J.	\$1,376,292	215	3	1
86	Retirement Income Solutions	Ann Arbor, Mich.	\$1,334,384	1,094	12	9
87	IWP Wealth Management	Denver	\$1,326,514	140	9	6
88	Crestwood Advisors	Boston	\$1,321,390	1,515	17	17
89	Resource Consulting Group	Orlando, Fla.	\$1,319,516	1,773	15	9
90	Circle Wealth Management	Summit, N.J.	\$1,295,500	616	11	8
91	Brouwer & Janachowski	Tiburon, Calif.	\$1,293,145	1,394	18	7
92	Vista Wealth Management	East Palo Alto, Calif.	\$1,288,347	1,455	23	13
93	Financial Clarity	Mountain View, Calif.	\$1,287,487	31	10	2
94	Boston Financial Management	Boston	\$1,281,554	1,090	31	14
95	True North Advisors	Dallas	\$1,280,718	522	30	14
96	Bridgewater Advisors	New York	\$1,261,532	2,212	16	12
97	Bristlecone Advisors	Seattle	\$1,259,129	690	14	10
98	Envoi	Minnetonka, Minn.	\$1,231,391	633	9	7
99	Capstone Financial Advisors	Downers Grove, Ill.	\$1,209,636	2,126	21	17
100	Brightworth	Atlanta	\$1,205,939	1,356	23	12

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101	North Star Asset Management	Menasha, Wis.	\$1,200,215	1,684	16	11
102	Pure Financial Advisors	San Diego	\$1,196,743	6,031	44	21
103	Waldron Private Wealth	Bridgeville, Pa.	\$1,195,311	128	14	14
104	McQueen Ball	Bethlehem, Pa.	\$1,186,071	1,156	14	5
105	Daintree Advisors	Boston	\$1,138,104	1,019	27	12
106	Wellspring Financial Advisors	Cleveland	\$1,137,895	731	9	8
107	BerganKDV Wealth Management	Minneapolis	\$1,133,306	1,446	21	16
108	Parsons Capital Management	Providence, R.I.	\$1,109,659	1,375	16	10
109	John G. Ullman	Corning, N.Y.	\$1,091,125	1,207	35	22
110	Conserve Capital Advisors	Wynnewood, Pa.	\$1,090,000	222	7	6
111	HHG & Company	Darien, Conn.	\$1,083,292	1,530	19	9
112	New England Private Wealth Advisors	Wellesley, Mass.	\$1,083,242	1,933	15	7
113	Soltis Investment Advisors	St. George, Utah	\$1,064,402	814	23	13
114	Legacy Wealth Management	Memphis, Tenn.	\$1,056,854	2,328	21	17
115	Trumbower Financial Advisors	Bethesda, Md.	\$1,040,372	659	11	5
116	Pittenger & Anderson	Lincoln, Neb.	\$1,036,299	813	8	8
117	Cardiff Park Advisors	Carlsbad, Calif.	\$1,034,926	1,457	4	1
118	Emery & Howard Portfolio Management	Burlingame, Calif.	\$1,033,612	1,662	5	3
119	Lake Street Advisors	Portsmouth, N.H.	\$1,033,358	536	28	11
120	Carnegie Investment Counsel	Pepper Pike, Ohio	\$1,027,347	2,409	21	19
121	Smith Salley	Greensboro, N.C.	\$1,016,711	498	8	8
122	Foster & Motley	Cincinnati	\$1,013,339	630	29	14
123	TrueWealth Management	Atlanta	\$1,001,352	1,402	22	11
124	Pegasus Partners	Mequon, Wis.	\$999,000	1,062	11	7
125	Sadoff Investment Management	Milwaukee	\$997,947	1,125	5	3

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126	Phillips and Company Advisors	Portland, Ore.	\$979,417	2,011	22	18
127	Windsor Financial Group	Minneapolis	\$966,106	743	14	8
128	RTD Financial Advisors	Philadelphia	\$958,735	473	23	15
129	Sargent Bickham Lagudis	Boulder, Colo.	\$957,237	424	19	12
130	Heritage Financial Services	Westwood, Mass.	\$955,971	3,237	22	13
131	Rand	San Francisco	\$945,637	1,019	12	7
132	California Financial Advisors	San Ramon, Calif.	\$940,376	1,118	8	8
133	Endurance Wealth Management	Providence, R.I.	\$911,244	755	11	7
134	Maryland Capital Management	Baltimore	\$904,015	1,306	10	8
135	Strategic Financial Services	Utica, N.Y.	\$903,274	1,523	26	15
136	StratWealth	Columbia, Md.	\$902,703	2,753	27	15
137	Zhang Financial	Portage, Mich.	\$900,900	3,469	13	7
138	Summit Financial Strategies	Columbus, Ohio	\$900,229	612	24	18
139	Private Ocean	San Rafael, Calif.	\$890,090	1,497	23	12
140	Asset Planning Services	Harleysville, Pa.	\$880,828	2,069	14	4
141	Bedel Financial Consulting	Indianapolis	\$878,095	3,518	12	8
142	Colony Family Offices	Charlotte, N.C.	\$862,126	319	7	5
143	Vista Capital Partners	Portland, Ore.	\$861,417	1,446	15	10
144	Fragasso Financial Advisors	Pittsburgh	\$853,056	2,400	38	19
145	Personal Financial Planning	Deerfield, Ill.	\$845,000	400	3	1
146	Tanglewood Wealth Management	Houston	\$844,627	952	11	6
147	Diversified Management	Milwaukee	\$843,132	1,324	8	7
148	TFC Financial Management	Boston	\$840,371	940	15	9
149	Heritage Wealth Advisors	Richmond, Va.	\$840,324	609	18	11
150	Resource Management	Metairie, La.	\$840,000	325	6	2