

COMPLETE

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Q1 Please provide us with your Name, Email Address and Asset Management Company name.

Your Name **Chris Addy**
 Your Email Address **caddy@castlehalldiligence.com**
 Asset Management Company **Charlie Hedge Fund Manager LP**

Q2 What percentage of your staff are working from home? **71 - 80%**

Q3 To what extent have your operational procedures been impacted since March 15, 2020? (“Impacted” means changes in controls and procedures which impact the output of business operations, or changes which have caused a reduction in the strength of controls, such as segregation of duties. Impacts may be the result of internal staffing issues / BCP procedures due to a shift to working from home; technology issues; or issues related to third party service providers)

	Trading	Confirmation / Middle Office	Portfolio reconciliation / Back Office	Cash payments	Portfolio valuation procedures
Operational Procedures	Minor Impact	Minor Impact	Minor Impact	Not Impacted	Not Impacted

Q4 Have you contacted your service providers to determine their current status of Covid-19 preparation and exposure? (Please scroll to the right to see all 7 service providers)

	Prime Broker	Custodian	Fund Administrator	Auditor	Technology Consultant	Compliance Consultant	Fund Directors
Service Providers	Contacted: Minor Issues	Contacted: No Issues	Contacted: Significant Issues	Not Contacted	Not Contacted	Not Contacted	Contacted: No Issues

Q5 Could you continue to trade in the event that your fund administrator was unable to provide daily accounting, reconciliation and related services? **Yes**

Q6 Do you expect any delay in the issuance of your March 31, 2020 NAV? **No**

Q7 Have you had a cyber security incident since March 15, 2020? **No**

Q8 How long do you expect to be impacted by the Covid-19 pandemic? **6 months**

Q9 Please feel to provide any further commentary around Covid-19 and your organization's actions that you would wish to communicate to your investors at this time.

Charlie enacted its Business Continuity Plan on March 13, 2020, instructing our team members in both New York and London to work from home.

Our team frequently work from home and all have company issued laptops, which connect to our network using a VPN secured by dual factor authentication. Other aspects of our BCP have, to date, been effective.

We have not had any significant interruptions to our business to date. We have had some minor issues with connectivity to our portfolio accounting platform, but initial problems have now been resolved and did not interrupt our operations.

Q10 If you have updated your BCP documentation in response to Covid-19, please upload. **Respondent skipped this question**

Q11 Please upload any investor communications related to Covid-19 you have provided to date (if more than one, please forward to Castle Hall directly). **Respondent skipped this question**

Q12 Please upload any other documents relevant to your Covid-19 response (if more than one, please forward to Castle Hall directly). **Respondent skipped this question**
