

PHA 5-Year and Annual Plan	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires 8/30/2011
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1.0	PHA Information PHA Name: <u>Louisiana Housing Authority</u> PHA Code: <u>LA903</u> PHA Type: <input type="checkbox"/> Small <input type="checkbox"/> High Performing <input type="checkbox"/> Standard <input checked="" type="checkbox"/> HCV (Section 8) Project Based Voucher PHA Fiscal Year Beginning: (MM/YYYY): <u>01/2015</u>																														
2.0	Inventory (based on ACC units at time of FY beginning in 1.0 above) Number of PH units: _____ Number of HCV units: <u>2,000</u>																														
3.0	Submission Type <input checked="" type="checkbox"/> 5-Year and Annual Plan <input type="checkbox"/> Annual Plan Only <input type="checkbox"/> 5-Year Plan Only																														
4.0	PHA Consortia <input type="checkbox"/> PHA Consortia: (Check box if submitting a joint Plan and complete table below.)																														
	<table border="1"> <thead> <tr> <th rowspan="2">Participating PHAs</th> <th rowspan="2">PHA Code</th> <th rowspan="2">Program(s) Included in the Consortia</th> <th rowspan="2">Programs Not in the Consortia</th> <th colspan="2">No. of Units in Each Program</th> </tr> <tr> <th>PH</th> <th>HCV</th> </tr> </thead> <tbody> <tr> <td>PHA 1:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 2:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>PHA 3:</td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	Participating PHAs	PHA Code	Program(s) Included in the Consortia	Programs Not in the Consortia	No. of Units in Each Program		PH	HCV	PHA 1:						PHA 2:						PHA 3:									
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5.0	5-Year Plan. Complete items 5.1 and 5.2 only at 5-Year Plan update.																														
5.1	Mission. State the PHA's Mission for serving the needs of low-income, very low-income, and extremely low income families in the PHA's jurisdiction for the next five years: The Mission of the LHA is to promote: adequate and affordable housing, housing as a platform for improving quality of life including economic opportunity and suitable living environments free from discrimination for all citizens of Louisiana.																														

5.2

Goals and Objectives. Identify the PHA's quantifiable goals and objectives that will enable the PHA to serve the needs of low-income and very low-income, and extremely low-income families for the next five years. Include a report on the progress the PHA has made in meeting the goals and objectives described in the previous 5-Year Plan.

The following are the LHA's goals and objectives for FY2015-2019.

Goal: Increase the availability of permanent supportive housing for people with disabilities.

- Objective: Continue to identify properties for project-based voucher program including the small number not yet HAP executed and to replace those units that are not renewed at the end of the HAP term.
- Objective: Fully lease 2,000 Project-Based Vouchers (PBV)
- Objective: Fully lease 1,000 Shelter Plus Care (SPC) vouchers
- Objective: Maintain 95% lease-up in PBV and SPC programs
- Objective: Expand Permanent Supportive Housing Program (PSH) as resources become available

Goal: Promote self-sufficiency and independence.

- Objective: Work with the Louisiana Department of Health and Hospitals (DHH) to ensure every tenant has access to needed and desired support services
- Objective: Work with local Continuums of Care to outreach to homeless individuals and families and to secure supports
- Objective: Work with Social Security Administration and state agencies to ensure all eligible tenants receive SSI or SSDI

Goal: Ensure equal opportunity in housing

- Objective: Undertake affirmative measures to ensure access to PSH regardless of race, color, religion, national origin, sex, age, family status or disability.

The Table below illustrates the LHA's progress in meeting the goals and objectives described in the LHA's previous Five Year Plan.

FY 2009-2013 Goal	Accomplishments
Expand the supply of assisted housing using resources provided by Congress for the development of 3,000 units of PSH	As of September 1, 2013, the LHA has: <ul style="list-style-type: none"> • 1,610 HAP (81%) executed units • 1,469 PBV (91%) units leased
Increase assisted housing choices through outreach efforts to potential voucher landlords	The LHA's successful QAP and Owner Solicitation processes has resulted in 363 Owners participating in the program across six different regions of the state
Provide an improved living environment	Since January 1, 2009, the LHA has provided affordable housing meeting HUD's housing quality standards for over 1,500 households with persons with disabilities
Promote self-sufficiency and asset development of families and individuals <ul style="list-style-type: none"> • Provide or attract supportive services to improve assistance recipients' employability • Provide or attract supportive services to increase independence for the elderly or families with disabilities 	All PBV and SPC tenants receive housing support team services including case management supports to assess the tenant's support service needs and preferences and to identify support services that can meet these needs. All tenants receive on-going housing-based case management.
Ensure equal opportunity in housing for all Americans <ul style="list-style-type: none"> • Ensure equal opportunity and affirmatively further fair housing • Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion, national origin, sex, familial status and disability • Undertake affirmative measures to provide a suitable living environment for families living in assisted housing regardless if race, color, religion, national origin, sex, familial status and disability • Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required 	Tenant data illustrates that the program has achieved its goals: <ul style="list-style-type: none"> • A 2012 report of Medicaid claims for PSH tenants indicated that the program is cross disability serving persons with mental health, substance abuse, intellectual, physical and health-related disabilities. • As of August 1, 2013, 16% households members were white and non-Hispanic, 1% white and Hispanic, 80% were African American and non-Hispanic and 1% were African American and Hispanic • As of August 1, 2013, 4% of the units were 0 bedroom, 41% were 1 bedroom, 31% were two bedroom, 18% 3 bedroom and 5% were 4 bedroom units • As of August 1, 2013, 11% of tenants were 62 and older and 89% were under 62 years of age.

6.0	<p>PHA Plan Update</p> <p>(a) Identify all PHA Plan elements that have been revised by the PHA since its last Annual Plan submission:</p> <ul style="list-style-type: none"> • Capped preference for homeless youth: The LHA added a capped preference (6 PBV) for homeless youth in Orleans Parish. This was identified as a hard-to engage and underserved population needing PSH. • Transition from Local Lead Agencies (LLAs): Initially, regional entities – LLAs – played a significant role in the program. These Parish-based human services agencies were responsible for outreach, application and waiting list management. Funding for these tasks was provided by a special allocation of CDBG funding. Recognizing that these funds were going to end, the program redesigned these functions and assigned them to appropriate entities with sustainable sources of funding. Outreach was assigned to the Louisiana Department of Health and Hospitals and their program offices including the Office of Behavioral Health, Office of Aging and Adult Services, Office for Citizens with Development Disabilities and Office of Public Health. Application process has also transitioned to DHH. Waiting list management is now the responsibility of the LHC. <p>(b) Identify the specific location(s) where the public may obtain copies of the 5-Year and Annual PHA Plan. For a complete list of PHA Plan elements, see Section 6.0 of the instructions.</p> <p><u>Main business office: 1690 North Street Baton Rouge, LA 70802</u> <u>PHA web site: http://www.lhfa.state.la.us/programs/supportive_housing/ProjBasedVoucher.aspx</u></p>														
7.0	<p>Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers. <i>Include statements related to these programs as applicable.</i></p> <p>Project-based Vouchers (PBV) The LHA has 2,000 PBVs which were provided by Congress to the LHA specifically to develop a Permanent Supportive Housing Program. The LHA does not have a tenant-based Housing Choice Voucher Program. The PBVs are currently allocated across the state in six different regions as follows:</p> <table border="0"> <tr> <td>Capital Area</td> <td>195 PBV</td> </tr> <tr> <td>Florida Parishes</td> <td>131 PBV</td> </tr> <tr> <td>Jefferson Parish</td> <td>192 PBV</td> </tr> <tr> <td>Orleans Parish</td> <td>1138 PBV</td> </tr> <tr> <td>South Central</td> <td>81 PBV</td> </tr> <tr> <td>Lafayette Area</td> <td>117 PBV</td> </tr> <tr> <td>Lake Charles area</td> <td>146 PBV</td> </tr> </table> <p>The LHA is contemplating a re-allocation of the PBV. Immediately post- Katrina, many households moved from Orleans Parish. The Baton Rouge area and Florida Parishes have seen significant population growth since Katrina, growth that has not diminished with time. The LHC is considering allocating additional PBV to these areas.</p>	Capital Area	195 PBV	Florida Parishes	131 PBV	Jefferson Parish	192 PBV	Orleans Parish	1138 PBV	South Central	81 PBV	Lafayette Area	117 PBV	Lake Charles area	146 PBV
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8.0	<p>Capital Improvements. Please complete Parts 8.1 through 8.3, as applicable. <i>N/A</i></p>														
8.1	<p>Capital Fund Program Annual Statement/Performance and Evaluation Report. As part of the PHA 5-Year and Annual Plan, annually complete and submit the <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i>, form HUD-50075.1, for each current and open CFP grant and CFFP financing. <i>N/A</i></p>														
8.2	<p>Capital Fund Program Five-Year Action Plan. As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan. <i>N/A</i></p>														
8.3	<p>Capital Fund Financing Program (CFFP). <input type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. <i>N/A</i></p>														

Housing Needs. Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. In order to be eligible for the LHA's Permanent Supportive Housing Program (the only PIH Program currently administered by the LHA), applicant household must have an income at or below 50% of AMI and be in Need of PSH. A household is considered to be in need of permanent supportive housing if:

1. A household member has a substantial, long-term disability including but not limited to serious mental illness, addictive disorder, developmental disability, physical, cognitive, or sensory disability, or a disabling chronic health condition that qualifies that person for Medicaid-funded or other funded supports and services operated or managed by the DHH program offices for Behavioral Health, Developmental Disabilities, Public Health or Aging and Adult Services; and
2. The household member has a physical, mental, or emotional impairment this is expected to be of long-continued or indefinite duration; substantially impedes that person's ability to live independently without supports; and is of such nature that the ability to live independently could be improved by more suitable housing conditions.

Further, the LHA PSH program has prioritized the following populations for the PSH Program: persons who are inappropriately institutionalized or at risk of such and persons who are homeless or at risk of such. DHH examined program data nearly two-thirds of the way through leasing and found that 58% of those entering the program were homeless or at risk of homelessness at program entry, and that 10% had been institutionalized or at risk of institutionalization. Efforts have been made to increase the numbers of those coming from institutions. Over 100 current PSH tenants came directly from institutions.

The great need for PSH demonstrated by the current PSH waiting list, is also identified in the State of Louisiana's 2010 – 2014 Consolidated Plan. According to the Con Plan, shelter operators were also asked to estimate the number of their guests on a specified night in 2009 who had service needs. The shelter operators reported that: of the 4,400 guests,

- 402 with Severe Mental Illness (13.72%)
- 1079 with Substance Abuse (32.75%)
- 520 with SMI & Substance Abuse (15.79%)
- 802 victims of Domestic Violence (24.34%)
- 115 with HIV/AIDS (3.49%)
- 241 with Physical Disabilities (7.32%)

9.0

The Con Plan also reports that 701 homeless persons (17%) had been discharged from an institution within the previous 30 days. These include:

- 249 Discharged from Jail or Prison (35%)
- 185 Discharged from Hospital (26%)
- 132 Discharged from Substance Abuse Treatment Facility (19%)
- 112 Discharged from Mental Health Facility (16%)
- 121 Discharged from Foster Care (2%)
- 11 Discharged from Military Service (2%)

The Con Plan also reports that people with disabilities share a common need for safe, affordable, accessible permanent housing. The challenge for many of these individuals is that their disabilities limit or preclude competitive employment, leaving them on fixed or very limited incomes – usually Supplemental Security Income (SSI) or Social Security Disability Insurance (SSDI). According to a national study entitled *Out of Reach 2009*, the average monthly SSI payment was \$674.00. Calculated as an hourly rate, SSI benefits in Louisiana are equal to a rate of \$4.21 per hour – over \$2.00 lower than the federal minimum wage. This means that, in addition to living with the primary ramifications of having disabilities, such individuals are often struggling with the stresses of acute poverty, including the inability to afford decent and safe housing in the communities of their choice. Also, according to recent surveys of adults with disabilities conducted by the LSU Health Sciences Center – Human Development Center, at least 30 percent of those living with a disability require housing modifications to their existing homes to live safely and independently.

The Department of Health and Hospitals (DHH) and their Program Offices provided data to the LHC in August 2012. The Office of Behavioral Health reports that 2,600 people in the more densely populated southern Louisiana and 870 people in northern Louisiana are confined to state psychiatric hospitals, in transitional housing, are at risk of homelessness or experiencing homelessness and need supportive housing.

The My Place Louisiana Program - the state's Money Follows the Person (MFP) Program – estimates that of the over 300 persons currently living in institutions, waiting to transition to the community, approximately 50 are in facilities for persons with developmental disabilities and nearly 260 are waiting to exit a nursing facility. All will be in need of PSH.

The OCDD has 9,354 people with developmental disabilities on a statewide registry for waiver services. During the past five years approximately 3,000 people with developmental disabilities have received registry waiver offers from OCDD. Of the persons receiving offers about 900 (31%) are in need of housing to make a successful transition to a community setting.

According to Louisiana's 2011 CoC Homeless Point-in-Time Count there are 9,291 homeless persons in households statewide. Of that number there are 4,352 chronically homeless individuals. The majority of these individuals will be eligible for and benefit from PSH. The U.S. Interagency Council on Homelessness reports that individuals who are chronically homeless have very high rates of current or past mental illness (up to 60%) and/or substance use disorders (up to 80%). Chronicity of homelessness is associated with more severe symptoms of alcohol abuse, schizophrenia and other major mental illnesses. As well as increasingly high rates (more than 50%) of chronic, disabling, and/or life-threatening health conditions (hypertension, asthma, HIV/AIDS, liver disease, diabetes, etc.). While the state has made great strides in "containing" homelessness despite a difficult economy, New Orleans has the 2nd highest rate of homelessness among metropolitan areas and Louisiana is one of six states accounting for 60% of chronic homelessness nationwide (National Alliance to End Homelessness, State of Homelessness 2012). New Orleans had a 22% decrease in homelessness in its last Point-In-Time Count; this is partly attributed to the GO Zone PSH Program.

9.1	<p>Strategy for Addressing Housing Needs. Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</p> <p>The LHA will continue to administer the PSH Program in order to address the housing needs of those families on the waiting list; this will include continuing to execute additional HAPs and maintaining as close to a 100% occupancy rate as possible. In addition, the LHA was awarded a Section 811 Project Rental Assistance Demonstration Project by HUD. This will allow the LHA to expand the PSH Program by 200 units including expansion to the Shreveport area which is currently not served by PSH.</p>
10.0	<p>Additional Information. Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year Plan.</p> <p>The LHA has made significant progress in meeting its mission and goals. The LHC provides permanent supportive housing – PBVs with affiliated Housing Support Team service – for nearly 1500 households. For more detail regarding the LHC's accomplishments, see the Table in Section 5.2 above.</p> <p>The LHA considers proposed modifications to rent policies, admissions policies or organization of the waiting list to be significant amendments to the LHA's Five Year Plan and Annual Plan –whether the proposed change is initiated by HUD or the LHA.</p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA's definition of "significant amendment" and "substantial deviation/modification"</p> <p>Substantial Deviation = a deviation that fundamentally changes the LHA's approach to providing PBV (but not including any change in the entity to which LHA assigns responsibility for administering for all or any part of the PBV initiative).</p> <p>Significant Amendment = an amendment or modification that fundamentally changes LHA's approach to providing PBV (but not including any change in the entity to which the LHA assigns responsibility for administering all or any part of the PBV initiative).</p>
11.0	<p>Required Submission for HUD Field Office Review. In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. Note: Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced 5-Year and Annual PHA Plans. The 5-Year and Annual PHA plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission and strategies for serving the needs of low-income and very low-income families. This form is to be used by all PHA types for submission of the 5-Year and Annual Plans to HUD. Public reporting burden for this information collection is estimated to average 12.68 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality

Instructions form HUD-50075

Applicability. This form is to be used by all Public Housing Agencies (PHAs) with Fiscal Year beginning April 1, 2008 for the submission of their 5-Year and Annual Plan in accordance with 24 CFR Part 903. The previous version may be used only through April 30, 2008.

1.0 PHA Information

Include the full PHA name, PHA code, PHA type, and PHA Fiscal Year Beginning (MM/YYYY).

2.0 Inventory

Under each program, enter the number of Annual Contributions Contract (ACC) Public Housing (PH) and Section 8 units (HCV).

3.0 Submission Type

Indicate whether this submission is for an Annual and Five Year Plan, Annual Plan only, or 5-Year Plan only.

4.0 PHA Consortia

Check box if submitting a Joint PHA Plan and complete the table.

5.0 Five-Year Plan

Identify the PHA's Mission, Goals and/or Objectives (24 CFR 903.6). Complete only at 5-Year update.

5.1 Mission. A statement of the mission of the public housing agency for serving the needs of low-income, very low-income, and extremely low-income families in the jurisdiction of the PHA during the years covered under the plan.

5.2 Goals and Objectives. Identify quantifiable goals and objectives that will enable the PHA to serve the needs of low income, very low-income, and extremely low-income families.

6.0 PHA Plan Update. In addition to the items captured in the Plan template, PHAs must have the elements listed below readily available to the public. Additionally, a PHA must:

- (a) Identify specifically which plan elements have been revised since the PHA's prior plan submission.
- (b) Identify where the 5-Year and Annual Plan may be obtained by the public. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on its official website. PHAs are also encouraged to provide each resident council a copy of its 5-Year and Annual Plan.

PHA Plan Elements. (24 CFR 903.7)

1. **Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures.** Describe the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV and unit assignment policies for public housing; and procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists.

2. **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA Operating, Capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources.
3. **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units.
4. **Operation and Management.** A statement of the rules, standards, and policies of the PHA governing maintenance management of housing owned, assisted, or operated by the public housing agency (which shall include measures necessary for the prevention or eradication of pest infestation, including cockroaches), and management of the PHA and programs of the PHA.
5. **Grievance Procedures.** A description of the grievance and informal hearing and review procedures that the PHA makes available to its residents and applicants.
6. **Designated Housing for Elderly and Disabled Families.** With respect to public housing projects owned, assisted, or operated by the PHA, describe any projects (or portions thereof), in the upcoming fiscal year, that the PHA has designated or will apply for designation for occupancy by elderly and disabled families. The description shall include the following information: 1) development name and number; 2) designation type; 3) application status; 4) date the designation was approved, submitted, or planned for submission, and; 5) the number of units affected.
7. **Community Service and Self-Sufficiency.** A description of: (1) Any programs relating to services and amenities provided or offered to assisted families; (2) Any policies or programs of the PHA for the enhancement of the economic and social self-sufficiency of assisted families, including programs under Section 3 and FSS; (3) How the PHA will comply with the requirements of community service and treatment of income changes resulting from welfare program requirements. (Note: applies to only public housing).
8. **Safety and Crime Prevention.** For public housing only, describe the PHA's plan for safety and crime prevention to ensure the safety of the public housing residents. The statement must include: (i) A description of the need for measures to ensure the safety of public housing residents; (ii) A description of any crime prevention activities conducted or to be conducted by the PHA; and (iii) A description of the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities.

9. **Pets.** A statement describing the PHAs policies and requirements pertaining to the ownership of pets in public housing.
10. **Civil Rights Certification.** A PHA will be considered in compliance with the Civil Rights and AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction.
11. **Fiscal Year Audit.** The results of the most recent fiscal year audit for the PHA.
12. **Asset Management.** A statement of how the agency will carry out its asset management functions with respect to the public housing inventory of the agency, including how the agency will plan for the long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs for such inventory.
13. **Violence Against Women Act (VAWA).** A description of: 1) Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; 2) Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and 3) Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families.

7.0 Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs, and Project-based Vouchers

- (a) **Hope VI or Mixed Finance Modernization or Development.** 1) A description of any housing (including project number (if known) and unit count) for which the PHA will apply for HOPE VI or Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI, Mixed Finance Modernization or Development, is a separate process. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>
- (b) **Demolition and/or Disposition.** With respect to public housing projects owned by the PHA and subject to ACCs under the Act: (1) A description of any housing (including project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm
Note: This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed.
- (c) **Conversion of Public Housing.** With respect to public housing owned by a PHA: 1) A description of any building or buildings (including project number and unit count) that the PHA is required to convert to tenant-based assistance or

that the public housing agency plans to voluntarily convert; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received under this chapter to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>

- (d) **Homeownership.** A description of any homeownership (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval.
- (e) **Project-based Vouchers.** If the PHA wishes to use the project-based voucher program, a statement of the projected number of project-based units and general locations and how project basing would be consistent with its PHA Plan.

8.0 Capital Improvements. This section provides information on a PHA's Capital Fund Program. With respect to public housing projects owned, assisted, or operated by the public housing agency, a plan describing the capital improvements necessary to ensure long-term physical and social viability of the projects must be completed along with the required forms. Items identified in 8.1 through 8.3, must be signed where directed and transmitted electronically along with the PHA's Annual Plan submission.

8.1 Capital Fund Program Annual Statement/Performance and Evaluation Report. PHAs must complete the *Capital Fund Program Annual Statement/Performance and Evaluation Report* (form HUD-50075.1), for each Capital Fund Program (CFP) to be undertaken with the current year's CFP funds or with CFFP proceeds. Additionally, the form shall be used for the following purposes:

- (a) To submit the initial budget for a new grant or CFFP;
- (b) To report on the Performance and Evaluation Report progress on any open grants previously funded or CFFP; and
- (c) To record a budget revision on a previously approved open grant or CFFP, e.g., additions or deletions of work items, modification of budgeted amounts that have been undertaken since the submission of the last Annual Plan. The Capital Fund Program Annual Statement/Performance and Evaluation Report must be submitted annually.

Additionally, PHAs shall complete the Performance and Evaluation Report section (see footnote 2) of the *Capital Fund Program Annual Statement/Performance and Evaluation* (form HUD-50075.1), at the following times:

1. At the end of the program year; until the program is completed or all funds are expended;
2. When revisions to the Annual Statement are made, which do not require prior HUD approval, (e.g., expenditures for emergency work, revisions resulting from the PHAs application of fungibility); and
3. Upon completion or termination of the activities funded in a specific capital fund program year.

8.2 Capital Fund Program Five-Year Action Plan

PHAs must submit the *Capital Fund Program Five-Year Action Plan* (form HUD-50075.2) for the entire PHA portfolio for the first year of participation in the CFP and annual update thereafter to eliminate the previous year and to add a new fifth year (rolling basis) so that the form always covers the present five-year period beginning with the current year.

8.3 Capital Fund Financing Program (CFFP). Separate, written HUD approval is required if the PHA proposes to pledge any

portion of its CFP/RHF funds to repay debt incurred to finance capital improvements. The PHA must identify in its Annual and 5-year capital plans the amount of the annual payments required to service the debt. The PHA must also submit an annual statement detailing the use of the CFFP proceeds. See guidance on HUD's website at:

<http://www.hud.gov/offices/pih/programs/ph/capfund/cffp.cfm>

9.0 Housing Needs. Provide a statement of the housing needs of families residing in the jurisdiction served by the PHA and the means by which the PHA intends, to the maximum extent practicable, to address those needs. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

9.1 Strategy for Addressing Housing Needs. Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).

10.0 Additional Information. Describe the following, as well as any additional information requested by HUD:

- (a) **Progress in Meeting Mission and Goals.** PHAs must include (i) a statement of the PHAs progress in meeting the mission and goals described in the 5-Year Plan; (ii) the basic criteria the PHA will use for determining a significant amendment from its 5-year Plan; and a significant amendment or modification to its 5-Year Plan and Annual Plan. (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan).
- (b) **Significant Amendment and Substantial Deviation/Modification.** PHA must provide the definition of "significant amendment" and "substantial deviation/modification". (Note: Standard and Troubled PHAs complete annually; Small and High Performers complete only for Annual Plan submitted with the 5-Year Plan.)

- (c) PHAs must include or reference any applicable memorandum of agreement with HUD or any plan to improve performance. (Note: Standard and Troubled PHAs complete annually).

11.0 Required Submission for HUD Field Office Review. In order to be a complete package, PHAs must submit items (a) through (g), with signature by mail or electronically with scanned signatures. Items (h) and (i) shall be submitted electronically as an attachment to the PHA Plan.

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace (PHAs receiving CFP grants only)*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions (PHAs receiving CFP grants only)*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities (PHAs receiving CFP grants only)*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet (PHAs receiving CFP grants only)*
- (f) Resident Advisory Board (RAB) comments.
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged.
- (h) Form HUD-50075.1, *Capital Fund Program Annual Statement/Performance and Evaluation Report (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.1.
- (i) Form HUD-50075.2, *Capital Fund Program Five-Year Action Plan (Must be attached electronically for PHAs receiving CFP grants only)*. See instructions in 8.2.