

MUTUAL FUND & 529 SELLING AGREEMENTS

1/8/18

Aberdeen Funds
AIM Investments
Alger
Alliance Bernstein including 529 plans
Allianz & PIMCO Funds
Amana Mutual Funds
American Century Learning Quest (529 plans)
American Century Mutual Funds only
American Funds
AlphaCentric Funds
API Funds
AQR Funds
Ariel
Aston
Ave Maria Mutual Funds
Azaad Funds
Berwyn Funds
BlackRock Mutual Funds and 529 (iShare)
BlueRock Total Income + Real Estate Fund
Brandes Investment Funds
Brandywine Funds
Bridgeway Funds
Bright Directions College Savings Plan (IL 529)
BTS Tactical Income Fund
Calamos
Calvert Funds
Camelot Funds
Catalyst Funds
Cognios
CollegeCounts
Colorado BondShares
Columbia Mutual Funds, Future Scholar 529
Compass EMP Funds
Convergence Funds
Cushing Funds
Davis Funds
Day Hagan Funds
Deutsche
Diamond Hill
Direxion
Dodge & Cox
Doubleline Funds
Dreyfus
Eagle Funds
Eaton Vance
EdVest
Empiric Funds
Equinox Funds Trust
Eventide Funds
Evergreen Funds
Federated
Fidelity Investments including 529 plans
First American Funds
First Pacific Funds (FPA)
FT Preferred Securities & Income Fund
Flex Funds
Foresters Financial
Franklin Templeton
Gabelli Funds
Green Century Funds
Griffin Capital
Grubb & Ellis Funds
Guardian (and RS Investment)
GuideStone Funds
Harbor Funds
Hartford Funds Distributors, LLC ("HFD")
Hennessy Funds
Highland Capital Funds
ICON FUNDS
Integrity
Invesco
Iowa 529 plan
Ivy Funds
JAG Funds
James Alpha
Janus Funds
John Hancock Mutual Funds and 529 plans
JP Morgan Funds
Keeley Funds
KF Griffith Funds
Lazard Mutual Funds
Legg Mason Funds
London Stock Exchange Group
Lord Abbott
Madison Mosaic
Maingate Funds
Manning & Napier Funds
Marsico Funds
Matthews International Fund
Merger Fund
MFS
Munder
Natixis
NEST Advisor College Savings Plan
Nexpoint Real Estate Strategies Fund
North Lights
Northern Funds
Northern Lights Two Roads Shared Trust
Northern Trust – Bright Directions IL 529
Nuance Funds
Nuveen Investments
NYL/Mainstay
OakMark
Oppenheimer Funds
Pacific Life Mutual Funds
Parnassus Funds
Permanent Portfolio
Pioneer Investments
Potomac (Direxion)
Principal Funds
ProFunds
Prudential Mutual Funds
Putnam Mutual Funds & 529
Quasar
Rainier Investment Management Mutual Funds
RidgeWorth Funds
Rising Dividend Funds
Royce Funds
RS Investments
Rydex Funds
Sammons Retirement Solutions
Scholar's Choice
Scholar's Edge
Schwab Mutual Funds
Shenkman Capital
Signal Point Funds
SMH Funds
SunAmerica Mutual Fund
T.Rowe Price
Thornburg
Through Northern Lights Mutual fund series
Timothy Plan
Tomorrow's Scholar 529
Tortoise Funds (Quasar)
Touchstone Investments
TransAmerica Mutual Funds
UPromise
Van Eck Global
Van Kampen
Vanguard
Victory Munder Funds
Victory RS Mutual Funds
Virtus
VOYA Mutual Funds
VRM Funds
Wasatch
Wells Fargo Advantage Fund
Wisconsin Tomorrows Scholar 529

REIT/BDC/DPP COMPANY SELLING AGREEMENTS

Atel
Behringer Harvard
Cantor Fitzgerald
Carter Validus
CNL
Cole
Dividend Capital

Franklin Square
Greenbacker
Griffin Capital
Highland Capital
Hines
ICON
Inland REIT

LEAF REIT
Lightstone
North Star
Sierra Income
Strategic Storage Trust
Walton
Wells REIT

Client's total investment in BDCs/REITS/DPPs may not exceed 20% of liquid investment net worth. No more than 10% of liquid investment net worth may be invested in any particular BDC/REIT/DPP sponsor.

VARIABLE LIFE INSURANCE AND ANNUITY SELLING AGREEMENTS

Allianz Life
Allstate
American General
American National
Ameritas
Annuity Investors-Great American
AUL-OneAmerica
AXA
Commonwealth/Allmerica
CUNA Mutual Group
Eagle Life
Fidelity Destiny Plan
Forethought
Genworth
Great American (Annuity Investors)
Great West
Guardian

Hartford
Horace Mann
Jackson National Life
Jefferson National
John Hancock
Lincoln Benefit Life (Allstate)
Lincoln Financial Group (Lincoln National)
Mass Mutual
MetLife
Midland National
Minnesota Life
Nationwide
NYL- fixed only
Ohio National
Pacific Life
Principal
Protective Life

Prudential
Reliastar
Sammons Retirement Solutions
Scudder Destinations-Kemper
Security Benefit
Security Life of Denver
SunAmerica
SunLife
TransAmerica
VOYA Life & Annuity
Western Reserve Life
Woodbury
Zurich

Some agreements are service only. Ask about details.

THIRD PARTY MONEY MANAGERS

AssetMark
Beacon Capital Management
BTS Asset Management
CLS Investments
Envestnet
EQIS

Flexible Plans
Formula Folios
FTJ FundChoice
Global Capital
Hanlon Investments
Lockwood
Lockwood Managed 360

Matson Money
Niemann Capital Management
Potomac Fund Management
SEI
Standard