AUTHORIZATION MANAGEMENT

AUTOMATE THE MANAGEMENT OF LICENSES AND AGREEMENTS

Managing an authorization (a license, agreement, exemption or exception, national or open general license, etc.) requires more than just ensuring exports not exceed the authorized quantity or value. Company officials and executives have a duty to ensure all provisos and conditions of the export authorization are strictly followed, including filing periodic reports with the licensing authority when required.

Mismanagement of authorizations processed manually may lead to amplified labor costs, lengthier fulfillment cycles, shipment delays, upset customers, and exposure to regulatory penalties including jail time.

Authorization Management™ allows corporations to automatically monitor any authorization, and other documents relevant to international trade. Users are able to track fulfillment of licenses in value and duration against documentation of actual shipments. In addition, it provides required government reporting as well as license and agreement review capabilities.

KEY BENEFITS

- Manage authorizations (licenses and agreements) from government until closure
- Manage your internal authorizations (exemption exceptions, open general licenses, etc.) until closure
- Automate the decrementing, tracking and managing of authorized transactions
- Store all import and export authorizations with corresponding internal approvals, applications and correspondence for a complete audit trail through the life-cycle
- Search quickly and extensively for existing and closed authorizations for reference in new requests
- Document employee training on provisos and control plans

AUTHORIZATION MANAGEMENT

It is critical to monitor, audit and manage all activities related to the export, re-export, deemed export, and transfer of strategic goods of a country, such as, U.S. Munitions List (USML) or Commerce Control List (CCL) items, technologies, and services.

MANAGEMENT TRACKING
- License provisos
- RWA/Denial Reasons
- Government reporting requirements
- Agreement actions
- Sub – Licensee, Foreign Person/ Dual Persons NDA requirements
- Congressional Notifications: Tracks status of Congressional notifications required for export license or agreement applications
- Other Transactions: Track temporary exports and imports with carnets or temporary import bonds (TIB) and use transaction data to track status of activity against them

LICENSE CLOSE-OUT
Identify License status:
- Exhausted – of Value or Quantity
- Expired – w/Value or Quantity
- Suspended – prohibited by USG
- Terminated – Agreement not-concluded, Superseded or Replaced, Terminated by Owner
- Auto-generate Close-Out Report Letter with digital signature in PDF file format or print to physically sign and submit to USG

AGREEMENT MANAGEMENT
Once an Agreement submitted from OCR's Department of State (DOS) Licensing module is approved by the U.S. Government (USG), it's status is "Pending Execution".

OCR License Management provides the tools to complete the necessary steps to execute the agreement in accordance with the USG provisos then monitor and manage the activity including create licenses in furtherance of the agreement when needed.
- Assign agreement ownership to stakeholders to be held accountable.
- Manage Sub licensees including confirming authorization and collecting Non-Disclosure Agreements (NDA) and NDAs for Dual and Third Country Nationals (DTCN)
- Assign regularly occurring reviews
- Track related Amendments to the Agreement and whether they have been conformed and submitted to USG

LICENSE & AGREEMENT MGMT REPORTS

Export License Reports to USG

- DSP-5 Offshore Procurement– Purchase Order to for offshore procurements under DSP-5 Licenses
- Classified Licenses and notifications to Defense Security Service (DSS) agency of the Department of Defense (DoD)
- Exception and Exemption reports automatically create reports by selecting the type required and text will auto-populate from available data
- Agreement Reports – Annual Status, TAA, MLA, Non-Concluded, and executed submissions
- MLA Sales Reports – for annual submission to USG
- DS-4071 Technical Data Reports – Initial Shipments and ongoing activity against technical data export

MANAGEMENT TOOLS:

- Full searching and sorting features
- Search and extract information on flexible user defined parameters
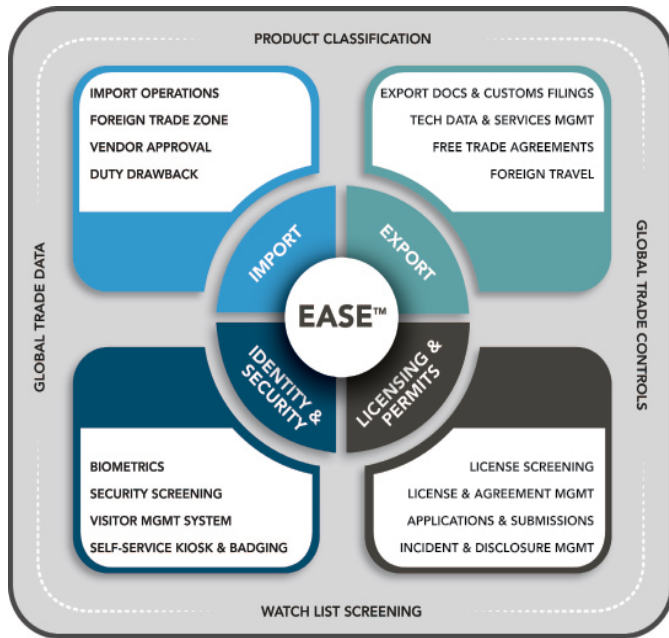
ALERTS AND NOTIFICATIONS

- Authorization Review Pending Actions
- Authorization Expiry
- Authorization Balance Summary
- USG Final Action Notices
- Authorization Review & Re-Notifications
- Agreement Annual Status Notification
- Agreement Conformed Copy Notifications
- MLA Sales Reports Notifications
- DSP-5 Offshore Procurements
- Authorization Close-out Reminders
- Tech Data Reporting Reminders

ABOUT OCR

As the foremost expert on Governance, Risk and Compliance (GRC) for international trade, OCR is trusted by Fortune 500 multi-national corporations, government agencies, and small businesses worldwide, to ensure compliance for cross-border transaction through a comprehensive solution that improves operations, complies with government regulations, saves money and provides a competitive advantage.

EXPORT AND IMPORT WITH EASE
EASE SUITE OF SOLUTIONS

The Authorization Management module is one of 16 modules in the **OCR EASE** suite of products.

Expedite Trade Flow
Avoid Regulatory Fines
Save Operating Costs
Enhance Supply Chain Security

EASE is a suite of scalable and configurable solutions designed to help clients automate and simplify their cross-border trading operations and address ever-changing international regulations.

All EASE modules are easily integrated with each other and third party solutions. They will automatically share data and documents seamlessly.

EASE software can be installed behind a firewall or implemented as a hosted service. Our Software as a Service (SaaS) platform operate in secure global data centers which are SSAE Certified with redundant failover and high availability bandwidth with full data encryption.

EASE global trade management is configurable with Global Trade Control and Data for over 180 countries.

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