

COMPLIANCE REQUEST

EVERYONE IS RESPONSIBLE FOR TRADE COMPLIANCE

Approved



Reject



STRUCTURE FOR THE COMPLEXITY OF COMPLIANCE

Ensure that all employees know, comply with, and manage the rules and regulations associated with trade compliance, with a structured and comprehensive trade compliance process. Safeguard your company and avoid any civil or criminal penalties by making certain that your company meets all legal obligations with customs and other government agencies.

Compliance Request™ helps prevent infractions by providing uniform and efficient workflows for authorizing employee request for trade transactions from the import and export of hardware, technology and services to foreign travel and certification of foreign vendors. Additionally, businesses will be able to determine whether a functional request has compliance concerns and allows managers to approve such requests after an exchange of information with the stakeholders.

KEY BENEFITS

- Provide oversight of all corporate export and import compliance processes
- Search extensive database of transaction requests and final determinations
- Automatic alerts and audits throughout the authorization cycle
- Provide powerful management tools to monitor workflow and compliance
- Configure custom forms and questionnaires for unique business requests

OCR COMPLIANCE REQUEST™

IMPORT AND EXPORT REQUESTS

- Configurable web forms support request for export, import and re-export, sales and contracts, bids and proposals and hiring of foreign nationals
- Bidirectional communication between future license or agreement owner and compliance staff avoids duplicate data entry and clerical errors
- Create templates to save preparation and submission time of government application
- Seamlessly document and communicate decisions by compliance staff with stakeholders
- Authorize exports and imports using exemptions/exception, general export permits or existing licenses or agreements

STATE DEPARTMENT AGREEMENT REQUESTS

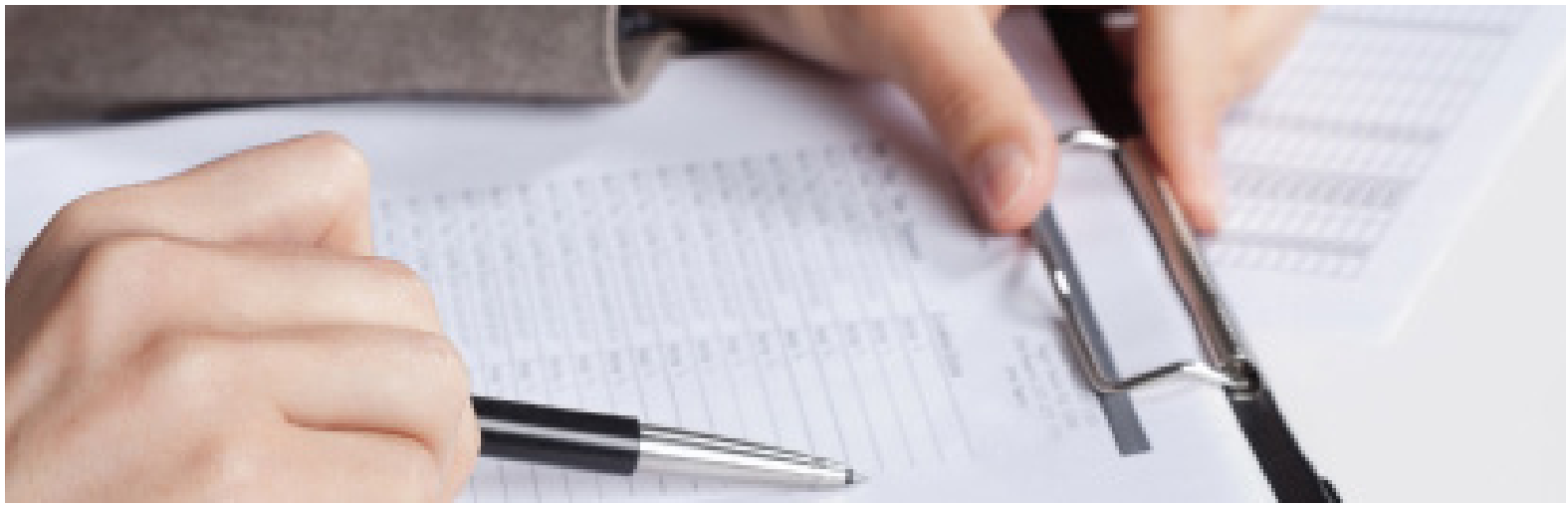
- Triggers a requirement to generate a request for DOS agreements with one or more foreign licensees and sub-licensees
- Streamlines request information to flow directly into the agreement applications for electronic submission to DTrade via OCR's DOS Licensing™ module
- Dynamic web forms display the relevant fields and questions based on type of agreement, amendment or re-baseline
- Helps expedite processes of capturing relevant data such as scope and statement of work, valuations, type of export/import taking place under the agreement, foreign parties and the roles of each

FOREIGN TRAVEL REQUESTS

- Limit liability by addressing concerns before travel plans are approved
- Users can specify dates, nature of visits, and whether technical data exports, services, hardware or equipment transfer are involved
- Compliance personnel can identify license or export issues early in the travel cycle and help employees get specific authorizations needed from customs or licensing agencies
- Electronic review process avoids any duplicate data entry effort, reduces typographical errors, and increases productivity
- Generate automated travel approval letters with appropriate authorizations for employees hand-carrying tools, equipment or technical data
- Manage follow-up actions such as post travel briefings, travel reports, and export records

VENDOR CERTIFICATION REQUESTS

- Procurement or Supply chain managers can request export vendor review and corporate certifications for suppliers and vendors to specific technologies, programs, or products families
- Maintain an auditable history of approved vendors which are authorized to perform specific activity



EASY TO USE WEB BASED WORKFLOW

- Employees access the forms via a trade compliance defined portal page
- Use templates and existing data tables to minimize repeated entry
- Real-time collaboration between business and trade staff including Empowered Officials
- Full searching and sorting features to retrieve information
- Provide requestor with details of compliance determinations

MANAGEMENT REPORTING

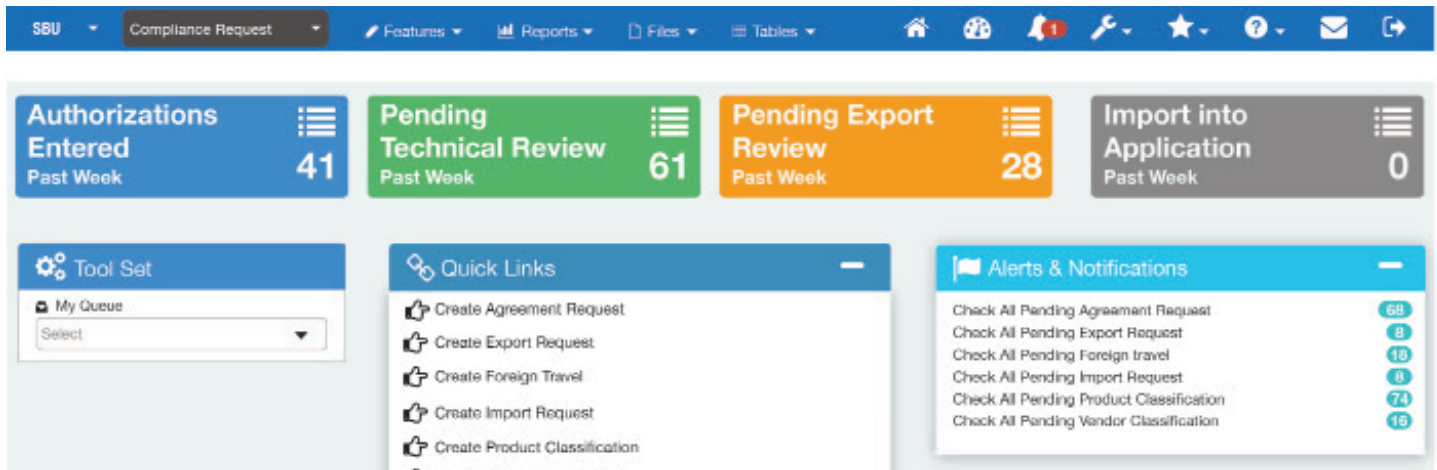
- Audit trails provide complete information over the life cycle of a compliance request
- Powerful management reports and dashboards provide confidence in meeting internal metric

SECURE COMMUNICATIONS

- Support secure access protocols and user authentication to Active Directory using LDAP, SAML 2.0 and Single Sign-On (SSO) tools
- Secure web forms support any secure 256 bit encrypted transmission and storage in a Relational DataBase Management System (RDBMS), such as, MS SQL or Oracle

INTEGRATION WITH THIRD PARTY SYSTEMS

- Open standards, Java-based application
- Seamless real-time integration with Oracle, SAP, and other ERP, CRM, Supply Chain Management or other enterprise systems
- Integration support for any system including those client developed software





ABOUT OCR

As the foremost expert on Governance, Risk and Compliance (GRC) for international trade, OCR is trusted by Fortune 500 multi-national corporations, government agencies, and small businesses worldwide, to ensure compliance for cross-border transaction through a comprehensive solution that improves operations, complies with government regulations, saves money and provides a competitive advantage.

EXPORT AND IMPORT WITH EASE

EASE SUITE OF SOLUTIONS

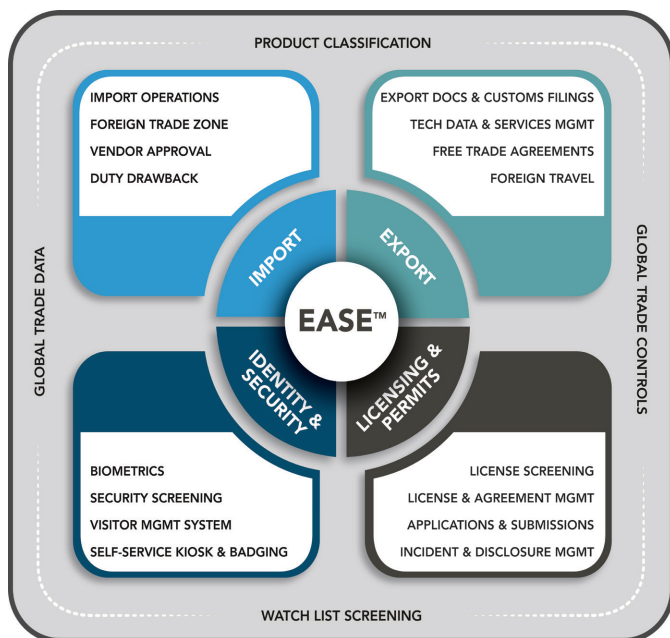
The Compliance Request module is one of 16 modules in the OCR EASE suite of products.

- E**xpedite Trade Flow
- A**void Regulatory Fines
- S**ave Operating Costs
- E**nhance Supply Chain Security

EASE is a suite of scalable and configurable solutions designed to help clients automate and simplify their cross-border trading operations and address ever-changing international regulations.

All EASE modules are easily integrated with each other and third party solutions. They will automatically share data and documents seamlessly.

EASE software can be installed behind a firewall or implemented as a hosted service. Our Software as a Service (SaaS) platform operate in secure global data centers which are SSAE Certified with redundant failover and high availability bandwidth with full data encryption.



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