

GLOBAL TRADE CONTROLS™

AN ESSENTIAL ELEMENT FOR ANY COMPANY WITH A GLOBAL SUPPLY CHAIN



Movement of controlled goods in any manner, whether export, transshipment, re-export, transit, brokering or intangible technology transfer, are subject to regulation. OCR Global Trade Controls (GTC) helps provide a standard policy and workflow for comprehensive license screening of all movements of controlled goods and technology. GTC accelerates the process of determining export license or permit requirements from numerous points of origin to destinations across the globe.

Companies with global supply chains may find variations in country of origin and resulting controls depending upon a variety of factors. OCR's Global Trade Controls enables companies to determine trade requirements, including a license or permit to import or export, an exemption or exception or general export authorization, report filling, and record keeping for specific destination countries based upon classification codes for the U.S., EU and several country specific trade controls.

KEY BENEFITS

- Screen for license, permit, or report requirements using a flexible wizard-driven process
- Perform strategic what-if scenarios by varying the classification, destination, or originating country
- View export restrictions that come from multiple agencies, government regulators, and countries from one consolidated global access point
- Demonstrate compliance due diligence with defensible audit trails of all screenings
- Integrate with your order entry and shipping systems and other EASE modules to perform license checks transparently on every shipment

GLOBAL TRADE CONTROLS



OCR GLOBAL TRADE CONTROL ANSWERS CRITICAL QUESTIONS

- What is the appropriate export classification number when using a particular product or technology?
- What are the license restrictions, exceptions, and reporting requirements for exporting product or product lines to new global markets?
- Which deemed export restrictions may apply if opening a new office or plant in another country?
- When do U.S. extraterritorial regulations effect subsidiaries located outside the U.S.?

DETERMINE JURISDICTION & CLASSIFICATION

- Perform extensive search of regulations to determine which agency has jurisdiction
- Classify the product under the EAR Commerce Control List (CCL) or ITAR U.S. Munitions List (USML)
- Identify if other federal regulations effect trade such as NRC, DEA, DOE, EPA or FDA

SCREEN LICENSE REQUIREMENTS

- Transaction screening determines if an item on an import or export order is controlled or requires a license to any destination country
- Instantly view details on license requirements controlling agency, possible license exceptions or exemptions, and any reporting requirements.

- Generate product specific country chart of global export controls
- Generate control product – country matrix

EXPORT CONTROLS AROUND THE GLOBE

- OCR allows companies to manage exports from a variety of Global Trade Controls. For example, for exports originating from the United Kingdom:
 - Screen transactions against the UK Export Control Organisation (ECO) list of strategic exports of military and dual use items
 - Determine if EU General Export Authorization (GEA) or UK specific Open General Export License (OGEL) may be available in lieu of getting an Open Individual Export Licence (OIEL) or even a Standard Individual Export Licence (SIEL)

GLOBAL TRADE CONTROLS:

- AU - Australia
- BR - Brazil
- CA - Canada
- DE - Germany
- EU - European Union
- FR - France
- IT - Italy
- MX - Mexico
- NL_ Netherlands
- SG - Singapore
- UK - United Kingdom
- US - United States



STREAMLINE THE REPORTING PROCESS

- Global Trade Controls automatically creates an audit trail record for every export control query, capturing the compliance process history
- Country Control Report allows users to search reasons for control within a specific country
- Controlled Product Report helps generate a report for your product lines and the reasons for control

PORTAL ACCESS FOR NON USERS

- OCR provides the power of GTC search engine to any employee through a secure web portal

SECURE COMMUNICATIONS

- Support secure access protocols and user authentication to Active Directory using LDAP, SAML 2.0 and Single Sign-On (SSO) tools
- Secure web forms support any secure transmission with 256 bit encryption and storage in a Relational System (RDBMS), such as, MS SQL or Oracle

EUROPEAN EXPORT LICENSES

- EU's General Export Authorisations (GEAs)
- SG - Singapore
- France's Licence Générale,
- UK – United Kingdom
- Germany's Allgemeine Genehmigung
- US – United States
- Netherland's Nationale Algemene Uitvoervergunning
- United Kingdom's Open General Export Licences (OGELs)
- UK's Standard Individual Export Licence (SIEL)

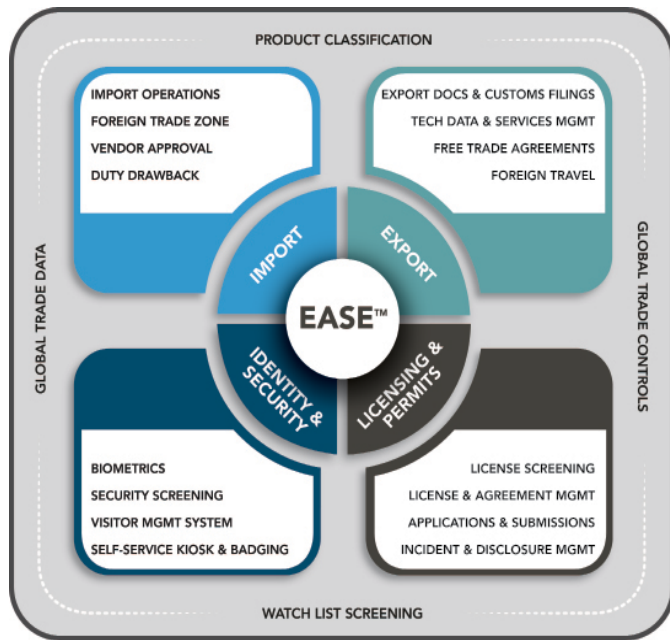
ENHANCED CAPABILITIES WITH EASE™

- EASE maintains the classification data and configurable business rules
- Automatic Data Sharing among modules:
 - Screen products and product lines using classification from EASE Product Classification™
 - Compliance Requests – Submit request for License or Use of Exception after performing GTC Screening
- Use OCR ViaNet to access regulations:
 - Up-to-date trade data and regulatory tables from government agencies
- OCR's Export and Import modules automatically utilize the Global Trade Controls to screen each transaction



ABOUT OCR

As the foremost expert on Governance, Risk and Compliance (GRC) for international trade, OCR is trusted by Fortune 500 multi-national corporations, government agencies, and small businesses worldwide, to ensure compliance for cross-border transaction through a comprehensive solution that improves operations, complies with government regulations, saves money and provides a competitive advantage.



EXPORT AND IMPORT WITH EASE

EASE SUITE OF SOLUTIONS

The Global Trade Controls module is one of 16 modules in the OCR EASE suite of products

- E**xpedite Trade Flow
- A**void Regulatory Fines
- S**ave Operating Costs
- E**nhance Supply Chain Security

EASE is a suite of scalable and configurable solutions designed to help clients automate and simplify their cross-border trading operations and address ever-changing international regulations.

All EASE modules are easily integrated with each other and third party solutions. They will automatically share data and documents seamlessly.

EASE software can be installed behind a firewall or implemented as a hosted service. Our Software as a Service (SaaS) platform operate in secure global data centers which are SSAE Certified with redundant failover and high availability bandwidth with full data encryption.

GLOBAL OFFICES

CORPORATE

Washington DC
☎ +1 301 208 0700
sales@ocr-inc.com

MIDDLE EAST

Dubai
☎ +971 4 3618349
globalsales@ocr-inc.com

EUROPE

London
☎ +44 0207 071 5152
london@ocr-inc.com

ASIA

New Delhi
☎ +91 124 4188271
india@ocr-inc.com

Call Toll Free : +1-800-333-7864 (in U.S.)

For complete office locations and contact information please visit www.ocr-inc.com/contact-us/