U.S DEPT. OF COMMERCE & STATE LICENSING™

STREAMLINE LICENSE AQUISITION AND ELECTRONIC SUBMISSION FOR
U.S. GOVERNMENT EXPORT LICENSES AND AGREEMENTS WITH INTERNAL COMPLIANCE WORKFLOWS

Export control compliance requires that companies determine the jurisdiction and classification of products, and must apply for an export authorization from either the Department of State (DOS) or Department of Commerce (DOC).

OCR's DOC and DOS Licensing modules are comprehensive solutions designed to address licensing requirements, provide a standardized workflow for application preparation and internal review, manage submission to the U.S. Government, as well as to track the progress of a submission until authorization is received. These solutions also provide a direct workflow for submitting Commodity Jurisdiction (CJ), advisory opinions, reporting, and other communications withthe government.

OCR's solution increases administration efficiency by streamlining the application review process and improves the reporting and auditing functions.

KEY BENEFITS

- Electronically sign and submit license and agreement applications directly to the U.S. State Department
- Improve accuracy of any application with a database of products, customers, trade partners, classifications, etc.
- Reduce time to submit by utilizing templates and existing export authorizations
- Provide internal teams with extensive work queue dashboards and reports
- Allow business units to work independently with the option for a centralized final application workflow with the U.S. government

COMMERCE DEPT & STATE DEPT LICENSING

EAR

Dept. of Commerce

COMMERCE DEPARTMENT LICENSING

The Commerce Department Licensing module enables corporations to implement a uniform and consistent corporate wide license application and internal review policy for the U.S. Department of Commerce (DOC).

While violations of the International Traffic and Arms Regulations (ITAR) may generate more headlines, the DOC actually issues up to four times the penalties of the DOS.

The DOC Bureau of Industry and Security (BIS) implements and enforces the Export Administration Regulations (EAR). BIS requires electronic submission of export authorizations for items on the U.S. Commerce Control List (CCL), through the Simplified Network Application Process – Redesign (SNAP-R) System.

OCR's DOC Licensing enables corporations to implement a uniform and consistent corporate wide workflow for internal creation and review of BIS-748 for export, re-export and the Commodity Classification Automated Tracking System (CCATS) applications for submission through SNAP-R.

STATE DEPARTMENT LICENSING

The Directorate of Defense Trade Control (DDTC) implements and enforces the export regulations under the International Traffic and Arms Regulations (ITAR) . DDTC requires electronic submission of export authorizations for items on the U.S. Munitions List, through the DTrade export licensing system

AVOID THE INEVITABLE FRUSTRATION OF ELECTRONIC SUBMISSIONS

- Sign and submit forms electronically to DTrade without leaving the OCR system
- DOS Licensing seamlessly processes and submits DDTC forms
- Check DTrade submission status within the OCR system
  - o Eliminates need to open DTSA Export License Status Advisor (ELISA) and My Application Ready Yet (MARY) system

ELECTRONIC SUBMISSION OF STATE DEPARTMENT FORMS AND ATTACHMENTS

- Agreements & Amendments including TAA, MLA &WDA
- Commodity Jurisdiction (CJ) DS-4076
- DSP 5, DSP 61, DSP 73 Licenses
- DSP 6, DSP 62, DSP 74 Amendments
- DSP 85, DSP-119
- General Correspondence (GC)
- Part 130

ITAR

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STREAMLINE THE PROCESS

- Reduce preparation and submission time and errors with configurable templates
- Accepts data from ERP and other internal systems to populate key data elements
- Prevents errors in license submissions with extensive rules and data validation
- Supports real time collaboration between export staff and Empowered Officials
- Configure workflows,e-mail notifications,and alerts to help maximize efficiency
- Provides context sensitive help within the forms plus online support documentation

END-TO-END LICENSE APPLICATION PROCESS

- Empower Officials and management have compliance oversight during the entire license submission life cycle
- Enables organizations to manage licenses, correspondence, amendments, agreements, commodity jurisdictions applications and office security reviews from their request and review workflow directly to submission
- Organizations create, manage, and electronically submit applications across multiple locations with centralized reporting and administration
- Licensing validations and verification rules conform with the U.S. State Department
- Complete status tracking across all U.S. government agencies while application is processed
- Manages approval and RWA/Denial workflows with extensive reporting and management dashboards

REPORTING AND AUDITING

- A searchable database of end-users, commodity descriptions, product classification, program name, country of destination and a variety of other data
- Easily identify similar or related licenses to reference on Authorization application
- Detailed audit trails, management reports, and dashboards provide full confidence in meeting internal and external agency audits

SECURITY STANDARDS

- Support secure access protocols and user authentication to Active Directory using LDAP, SAML 2.0 and Single Sign-On (SSO) tools
- Secure web forms support any secure 256 bit encrypted transmission and storage in a Relational DataBase Management System (RDBMS), such as, MS SQL or Oracle
- Authentication and non-repudiation of user actions
- Date/time stamps of each action (submission and signature)
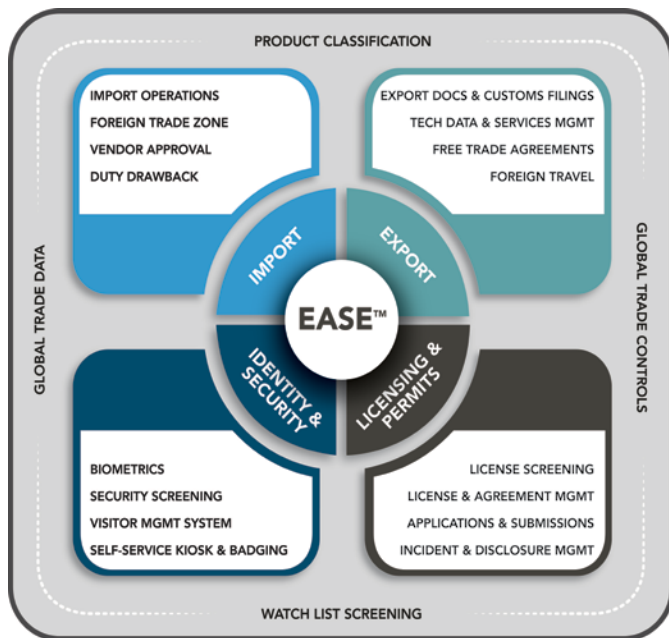- Meets Federal/GSA security and IT compliance

INTEGRATION WITH THIRD PARTY SYSTEMS

- Seamless real-time integration with Oracle, SAP, and other ERP, CRM, Supply Chain Management or other enterprise systems
- Integration support for any system including those client developed software

ABOUT OCR

As the foremost expert on Governance, Risk and Compliance (GRC) for international trade, OCR is trusted by Fortune 500 multi-national corporations, government agencies, and small businesses worldwide, to ensure compliance for cross-border transaction through a comprehensive solution that improves operations, complies with government regulations, saves money and provides a competitive advantage.

EXPORT AND IMPORT WITH EASE
EASE SUITE OF SOLUTIONS

The Commerce Dept. Licensing and the State Dept. Licensing are two of 16 modules in OCR EASE.

Expedite Trade Flow
Avoid Regulatory Fines
Save Operating Costs
Enhance Supply Chain Security

EASE is a suite of scalable and configurable solutions designed to help clients automate and simplify their cross-border trading operations and address ever-changing international regulations.

All EASE modules are easily integrated with each other and third party solutions. They will automatically share data and documents seamlessly.

EASE software can be installed behind a firewall or implemented as a hosted service. Our Software as a Service (SaaS) platform operate in secure global data centers which are SSAE Certified with redundant failover and high availability bandwidth with full data encryption.

EASE global trade management is configurable with Global Trade Control and Data for over 180 countries.

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