



Proxyclick

Proxyclick Visitor management solution

SOC2 Type II Assurance Report

**Report on Proxyclick's description of its system
and on the suitability of the design and operating
effectiveness of its controls**

**For the period
October 1, 2018 - September 30, 2019**



SOC2 Report - Type II

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SECTION I

INDEPENDENT SERVICE AUDITOR'S REPORT



INDEPENDENT SERVICE AUDITOR'S REPORT

To the Board of Directors and Management of Proxyclick

Scope

We have been engaged to report on Proxyclick's description in Section II and Section III of this report, of the Proxyclick Visitor management solution activities of Proxyclick throughout the period October 1, 2018 - September 30, 2019 ("the description") based on the criteria for a description of a service organization's system set forth in DC Section 200, 2018 Description Criteria for a Description of a Service Organization's System in a SOC2 Report ("description criteria") and on the suitability of the design and operating effectiveness of controls stated in the description, to provide reasonable assurance that their service commitments and system requirements were achieved based on the relevant trust services criteria set forth in TSP section 100 - 2017, Trust Services Criteria for Security and Availability, ("applicable trust services criteria") established by the Assurance Services Executive Committee (ASEC) of the American Institute of Certified Public Accountants (AICPA).

Proxyclick uses subservice organization OVH for data center services. The service organization's description of its system includes the nature of the services provided by the subservice organization, and commitments and system requirements and related controls relevant to that service organization. Our examination extends to the controls of the subservice organizations and we have evaluated the suitability of the design and operating effectiveness of these complementary subservice organization controls.

The Description also indicates that Proxyclick's controls can provide reasonable assurance that certain service commitments and system requirements can be achieved only if complementary user entity controls assumed in the design of Proxyclick's controls are suitably designed and operating effectively, along with related controls at the service organization. Our examination did not extend to such complementary user entity controls and we have not evaluated the suitability of the design or operating effectiveness of such complementary user entity controls.

The information included in Section IV, "Other Information Provided by the service organisation", is presented by management of Proxyclick to provide additional information and is not a part of Proxyclick's description of its Proxyclick Visitor management solution system made available to its user entities during the period October 1, 2018 - September 30, 2019. Information provided in Section IV has not been subjected to the procedures applied in the examination of the description of the Proxyclick Visitor management solution system and of the suitability of the design and operating effectiveness of controls to achieve the commitments and system requirements stated in the description of the system and, accordingly, we express no opinion on it.



Management's Responsibilities

Management of Proxyclick is responsible for:

- designing, implementing, and operating effective controls within the system to provide reasonable assurance that their service commitments and system requirements were achieved;
- providing "Management's Assertion" ("assertion") about the Description and the suitability of design and operating effectiveness of controls stated therein;
- preparing the Description and assertion, including the completeness, accuracy, and method of presentation of the Description and assertion;
- providing the services covered by the Description;
- selecting the applicable trust services criteria
- stating the related controls in the Description; and identifying the risks that threaten the achievement of the service organization's service commitments and system requirements.

Service Auditor's Responsibilities

Our responsibility is to express an opinion on Proxyclick's management's description and on the design and operation of controls related to the controls stated in that description, based upon our procedures.

We conducted our assurance engagement in accordance with the International Standard on Assurance Engagements Standard 3000, 'Assurance Engagements other than Audits or Reviews of Historical Financial Information' established by The International Auditing and Assurance Standards Board (IAASB). That standard requires that we comply with ethical requirements and plan and perform our procedures to obtain reasonable assurance whether, in all material respects, the description is fairly presented and the controls were suitably designed and operating effectively to provide reasonable assurance that the service organization's service commitments and system requirements were achieved based on the applicable trust services criteria. We believe that the evidence we obtained is sufficient and appropriate to provide a reasonable basis for our opinion.

An examination of a description of a service organization's system and the suitability of the design and operating effectiveness of controls involves:

- Obtaining an understanding of the system and the service organization's service commitments and system requirements;
- assessing the risks that the description is not fairly presented in accordance with the description criteria and that the controls were not suitably designed or operating;
- performing procedures to obtain evidence about whether the Description is presented in accordance with the description criteria.
- performing procedures to obtain evidence about the fairness of the presentation of the description and the suitability of the design and operating effectiveness of those controls to achieve the service organization's service commitments and system requirements based on the applicable trust services criteria;
- testing the operating effectiveness of those controls stated in the description provide reasonable assurance that the service organization achieved its service commitments and system requirements based on the applicable trust services criteria;



- evaluating the overall presentation of the Description.

Limitations of Internal Controls at a Service Organisation

The description is prepared to meet the common needs of a broad range of user entities and may not, therefore, include every aspect of the system that each individual user entity may consider important in its own particular environment.

Because of their nature, controls at a service organisation may not always operate effectively to provide reasonable assurance that the service organization's service commitments and system requirements are achieved based on the applicable trust services criteria. Also, the projection of any evaluation of effectiveness to future periods is subject to the risk that controls at a service organisation may become inadequate or fail.

Description of Tests of Controls

The specific controls tested and the nature, timing, and results of those tests are listed in Section III.

Opinion

Our opinion has been formed on the basis of the matters outlined in this report. The criteria we used in forming our opinion were those described in management's assertion in Section II.

In our opinion, in all material respects:

- (a) the description fairly presents Proxyclick's system that was designed and implemented throughout the period October 1, 2018 - September 30, 2019 in accordance with the description criteria; and
- (b) the controls stated in the description were suitably designed to provide reasonable assurance that the service organisation's service commitments and systems requirements would be achieved based on the applicable trust services criteria, if the described controls operated effectively throughout the period October 1, 2018 - September 30, 2019;
- (c) the controls stated in the description operated effectively to provide reasonable assurance that the service organisation's service commitments and systems requirements were achieved based on the applicable trust services criteria throughout the period October 1, 2018 - September 30, 2019.

Restricted use

This report and the description of tests of controls in Section III are intended only for the information and use of Proxyclick, existing customers of the in scope services of Proxyclick during some or all of the period October 1, 2018 - September 30, 2019 business partners subject to risks arising from interactions with the system, practitioners providing services to such user entities and business partners, prospective user entities and business partners, and regulators who have a sufficient knowledge and understanding of:

- the nature of the services provided by the service organisation.
- how the service organisation's system interacts with the customer entities, business partners, subservice organisations and other parties.
- internal control and its limitations.
- complementary customer-entity controls and how they interact with related controls at the service organisation to meet the applicable trust services criteria.
- the risks that may threaten the achievement of the service organization's service commitments and system requirements and how controls address those risks.

This report is not intended to be and should not be used by anyone other than these specified parties.

Antwerp, November 18, 2019



BDO Bedrijfsrevisoren CVBA
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