

1 **LIEFF CABRASER HEIMANN &**  
2 **BERNSTEIN, LLP**  
3 Katherine C. Lubin (State Bar No. 259826)  
4 275 Battery Street, 29th Floor  
5 San Francisco, CA 94111-3339  
6 Telephone: (415) 956-1000  
7 Facsimile: (415) 956-1008

8 *Liaison Counsel*

9 **LABATON SUCHAROW LLP**  
10 Jonathan Gardner (*pro hac vice*)  
11 Carol C. Villegas (*pro hac vice*)  
12 Alec T. Coquin (*pro hac vice*)  
13 140 Broadway  
14 New York, NY 10005  
15 Telephone: (212) 907-0700  
16 Facsimile: (212) 818-0477

17 *Co-Lead Counsel for the Class*

**MOTLEY RICE LLC**  
James M. Hughes (*pro hac vice*)  
William S. Norton (*pro hac vice*)  
Max N. Gruetzmacher (*pro hac vice*)  
Michael J. Pendell (*pro hac vice*)  
28 Bridgeside Blvd.  
Mt. Pleasant, SC 29464  
Telephone: (843) 216-9000  
Facsimile: (843) 216-9450

*Co-Lead Counsel for the Class*

18 **UNITED STATES DISTRICT COURT**  
19 **NORTHERN DISTRICT OF CALIFORNIA**  
20 **OAKLAND DIVISION**

21 **BABAK HATAMIAN and LUSSA DENNJ**  
22 **SALVATORE, individually and on behalf of**  
23 **all others similarly situated,**

24 **Plaintiffs,**

25 **v.**

26 **ADVANCED MICRO DEVICES, INC.,**  
27 **RORY P. READ, THOMAS J. SEIFERT,**  
28 **RICHARD A. BERGMAN, AND LISA T.**  
**SU,**

**Defendants.**

**CASE NO. 4:14-cv-00226-YGR (JSC)**

**CLASS ACTION**

**REPLY DECLARATION OF**  
**JONATHAN GARDNER**

Date: February 27, 2018  
Time: 2:00 p.m.  
Place: Courtroom 1, 4th Floor  
Judge: The Hon. Yvonne Gonzalez Rogers

1 I, JONATHAN GARDNER, declare as follows pursuant to 28 U.S.C. § 1746:

2 1. I am a partner of the law firm of Labaton Sucharow LLP, court-appointed Class  
3 Counsel for Class Representatives Arkansas Teacher Retirement System and KBC Asset  
4 Management NV, and the certified Class, and am admitted to appear *pro hac vice* before this  
5 Court. I respectfully submit this declaration in further support of Class Representatives' motion  
6 for approval of the proposed class action settlement and Class Counsel's motion for attorneys'  
7 fees and expenses. I have personal knowledge of the matters testified to herein.

8 2. Annexed hereto as Exhibit 1 is a true and correct copy of the Supplemental  
9 Declaration of Alexander Villanova Regarding: (A) Mailing of the Settlement Notice and Claim  
10 Form; and (B) Report on Requests for Exclusion Received and Other Matters, dated February 13,  
11 2018, with annexed exhibits.

12 3. Annexed hereto as Exhibit 2 are tables of settlement and fee-related data compiled  
13 by Class Counsel and the Claims Administrator from certain of the common fund settlements  
14 referenced in their previously submitted resumes, and one table summarizing the fee and expense  
15 request in the instant case. The Court had asked Motley Rice LLC to submit data for five of its  
16 shareholder derivative settlements (*see* ECF No. 340), however those settlements did not create  
17 common funds and there were no distributions to shareholders. Motley Rice has submitted data  
18 for its five most recent settlements that have distributed.

19

20 I declare under penalty of perjury that the foregoing is true and correct. Executed on  
21 February 13, 2018.

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/s/Jonathan Gardner  
JONATHAN GARDNER

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**CERTIFICATE OF SERVICE**

I hereby certify that on February 13, 2018, I authorized the electronic filing of the foregoing with the Clerk of the Court using the CM/ECF system which will send notification of such filing to the e-mail addresses denoted on the attached Electronic Mail Notice List, and I hereby certify that I will mail the foregoing document or paper via the United States Postal Service to the non-CM/ECF participants indicated on the attached Service List, if any.

I certify under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed on February 13, 2018

/s/ Jonathan Gardner  
JONATHAN GARDNER

1 **Mailing Information for a Case 4:14-cv-00226-YGR**

2  
3 *Hatamian et al v. Advanced Micro Devices, Inc. et al*

4 **Electronic Mail Notice List**

5 The following are those who are currently on the list to receive e-mail notices for this case.

- 6
- 7 • **Melanie Marilyn Blunschi**  
melanie.blunschi@lw.com,christina.teeter@lw.com,#sflitigationsservices@lw.com,  
sf-litigation-services-4917@ecf.pacerpro.com,melanie-blunschi-5434@ecf.pacerpro.com
  - 8 • **Alec T. Coquin**  
acoquin@labaton.com,kgutierrez@labaton.com,electroniccasefiling@labaton.com
  - 9 • **Jonathan Gardner**  
jgardner@labaton.com,kgutierrez@labaton.com,jjohnson@labaton.com,  
10 cvillegas@labaton.com,tdubbs@labaton.com,ryamada@labaton.com,cboria@labaton.  
11 com,acoquin@labaton.com,fmalonzo@labaton.com,acarpio@labaton.com,  
agreenbaum@labaton.com
  - 12 • **Patrick Edward Gibbs**  
pgibbs@cooley.com,bgiovannoni@cooley.com
  - 13 • **Michael M. Goldberg**  
michael@goldberglawpc.com
  - 14 • **Max Nikolaus Gruetzmacher**  
mgruetzmacher@motleyrice.com,wtinkler@motleyrice.com
  - 15 • **Jason C. Hegt**  
jason.hegt@lw.com,jason-hegt-2094@ecf.pacerpro.com
  - 16 • **James Michael Hughes**  
17 jhughes@motleyrice.com,mgruetzmacher@motleyrice.com,erichards@motleyrice.com,  
kweil@motleyrice.com
  - 18 • **Willem F. Jonckheer**  
19 wjonckheer@schubertlawfirm.com,kmessinger@schubertlawfirm.com,  
epawson@schubertlawfirm.com,paralegal@schubertlawfirm.com
  - 20 • **Joy Ann Kruse**  
jakruse@lchb.com,kbenson@lchb.com
  - 21 • **Nicole Catherine Lavallee**  
22 nlavallee@bermantabacco.com,ysoboleva@bermantabacco.com
  - 23 • **Sharon Maine Lee**  
slee@lchb.com
  - 24 • **Katherine Collinge Lubin**  
klubin@lchb.com,rtexier@lchb.com
  - 25 • **Meredith B. Miller**  
mbmiller@motleyrice.com
  - 26 • **William H. Narwold**  
bnarwold@motleyrice.com,mjasinski@motleyrice.com,kweil@motleyrice.com,  
27 ajanelle@motleyrice.com
  - 28 • **William S. Norton**  
bnorton@motleyrice.com

- 1 • **Michael J. Pendell**  
mpendell@motleyrice.com
- 2 • **Matthew Rawlinson**  
3 matt.rawlinson@lw.com,zoila.aurora@lw.com,  
4 matthew-rawlinson3894@ecf.pacerpro.com,jenny.duckworth@lw.com,  
5 #SVLitigationServices@lw.com
- 6 • **Paul J. Scarlato**  
pscarlato@labaton.com
- 7 • **Carol C. Villegas**  
8 cvillegas@labaton.com,kgutierrez@labaton.com,thoffman@labaton.com,  
9 jchristie@labaton.com,mpenrhyn@labaton.com,acoquin@labaton.com,  
10 fmalonzo@labaton.com,acarpio@labaton.com,electroniccasefiling@labaton.com
- 11 • **Avraham Noam Wagner**  
avi@thewagnerfirm.com
- 12 • **Kara M. Wolke**  
kwolke@glancylaw.com
- 13 • **Roger W. Yamada**  
14 ryamada@labaton.com,kgutierrez@labaton.com,fmalonzo@labaton.com,  
15 acarpio@labaton.com,electroniccasefiling@labaton.com

16 **Manual Notice List**

17 The following is the list of attorneys who are **not** on the list to receive e-mail notices for this case  
18 (who therefore require manual noticing).

- 19  (No manual recipients)
- 20
- 21
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# **Exhibit 1**

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**LIEFF CABRASER HEIMANN & BERNSTEIN, LLP**

Katherine C. Lubin (State Bar No. 259826)  
275 Battery Street, 29th Floor  
San Francisco, CA 94111-3339  
Telephone: (415) 956-1000  
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*Liaison Counsel*

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Max N. Gruetzmacher (*pro hac vice*)  
Michael J. Pendell (*pro hac vice*)  
28 Bridgeside Blvd.  
Mt. Pleasant, SC 29464  
Telephone: (843) 216-9000  
Facsimile: (843) 216-9450

*Co-Lead Counsel for the Class*

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA  
OAKLAND DIVISION**

BABAK HATAMIAN and LUSSA DENNJ  
SALVATORE, individually and on behalf of  
all others similarly situated,

Plaintiffs,

v.

ADVANCED MICRO DEVICES, INC.,  
RORY P. READ, THOMAS J. SEIFERT,  
RICHARD A. BERGMAN, AND LISA T.  
SU,

Defendants.

**CASE NO. 4:14-cv-00226-YGR (JSC)**

**CLASS ACTION**

**SUPPLEMENTAL DECLARATION OF  
ALEXANDER VILLANOVA  
REGARDING: (A) MAILING OF THE  
SETTLEMENT NOTICE AND CLAIM  
FORM; AND (B) REPORT ON  
REQUESTS FOR EXCLUSION  
RECEIVED AND OTHER MATTERS**

Date: February 27, 2018  
Time: 2:00 p.m.  
Place: Courtroom 1, 4th Floor  
Judge: The Hon. Yvonne Gonzalez Rogers

1 I, Alexander Villanova, declare and state as follows, pursuant to 28 U.S.C. § 1746:

2 1. I am a Project Manager employed by Epiq Class Action & Claims Solutions, Inc.  
3 (“Epiq”). The following statements are based on my personal knowledge and information  
4 provided by other Epiq employees working under my supervision and, if called on to do so, I  
5 could and would testify competently thereto.

6 2. Epiq was retained by Class Counsel to provide notice and administration services  
7 in the above-captioned class action litigation (the “Action”), and appointed by the Court as the  
8 Claims Administrator.<sup>1</sup> I submit this Declaration as a supplement to my earlier declaration, the  
9 Declaration of Alexander Villanova Regarding (A) Mailing of the Settlement Notice and Claim  
10 Form; (B) Publication of the Summary Notice; and (C) Report on Requests for Exclusion and  
11 Objections, dated January 22, 2018 (the “Mailing Declaration”). ECF No. 351-3.

12 3. Since the execution of the Mailing Declaration, Epiq has continued to disseminate  
13 copies of the Settlement Notice and Proof of Claim (together, the “Claim Packet”) in response to  
14 requests from potential Class Members, brokers and other nominees. Through February 13,  
15 2018, Epiq has disseminated a total of 223,760 Claim Packets to potential Class Members and  
16 nominees.  
17

18 REPORT ON REQUESTS FOR EXCLUSION AND OTHER MATTERS

19 4. The Settlement Notice informed potential Class Members that requests for  
20 exclusion were to be mailed or delivered to *Advanced Micro Devices, Inc. Securities Litigation*,  
21 c/o Epiq, P.O. Box 4349, Portland, OR 97208-4349, such that they were postmarked no later  
22 than February 6, 2018. Epiq provided the Mailing Declaration on January 22, 2018, prior to the  
23 exclusion deadline. Epiq has been monitoring all mail delivered to that post office box.  
24

25 5. As of the date of this Declaration, Epiq has received five (5) additional requests  
26 for exclusion from the Class. Copies of the five (5) additional requests for exclusion are provided  
27 in Exhibit A hereto.

28 <sup>1</sup>Unless otherwise defined herein, all capitalized terms shall have the same meanings as set forth  
in the Stipulation and Agreement of Settlement, dated October 9, 2017 (the “Stipulation”).



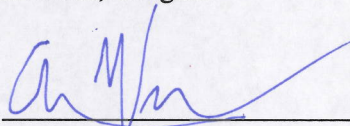
1           6.     In sum, as of the date of this Declaration, Epiq has received eleven (11) requests  
2 for exclusion in connection with the Settlement Notice, three (3) of which are duplicates of  
3 requests previously received in connection with the Class Notice,<sup>2</sup> six (6) of which are invalid  
4 for failing to provide required information or for being from someone who is not a member of  
5 the Class, and two (2) are valid. A table listing all of the requests received in connection with the  
6 Settlement Notice is attached as Exhibit B.

7           7.     Attached as Exhibit C is a table listing all the valid requests for exclusion from  
8 the Class.

9           8.     Epiq has not received any requests to opt-back into the Class from investors that  
10 previously opted out. Epiq has not received any additional objections.

11           I declare under penalty of perjury that the foregoing is true and correct to the best of my  
12 knowledge.

13           Executed on February 13, 2018, at Beaverton, Oregon.

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15 \_\_\_\_\_  
16 Alexander Villanova

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27 <sup>2</sup> As set forth in the Declaration of Stephanie A. Thurin Re Notice of Pendency Dissemination  
28 and Publication, dated February 8, 2017, in connection with notice of the pendency of the Action  
and before the Settlement was reached, Epiq received 15 valid and timely requests for exclusion.  
ECF No. 239-3.

# **EXHIBIT A**

# Exclusion Request 7

Exclusion Request

1-24-18

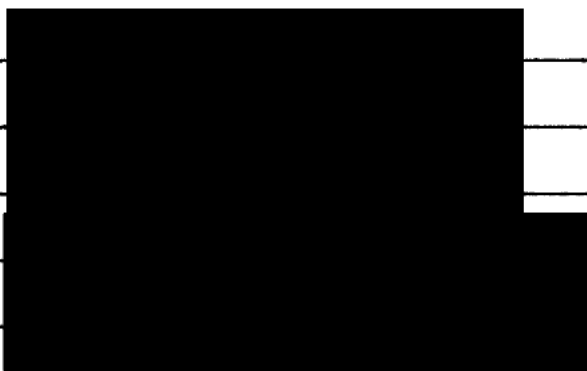
To: claims Administrator  
From: Robert E. Post  
Subj: Advanced Micro Devices, Inc. Securities Litigation

Hertelmen, I request to be excluded from the class in Hatemian v. Advanced Micro Devices, Inc., et al., NO. 14-cv-00226 (N.D. Cal.)."

I sold my AMD stock years ago. I have no records pertaining to this stock.



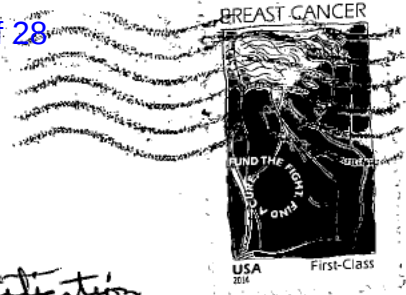
Robert E. Post



Number beside the Barcode from Edward Jones & Co  
895203879419

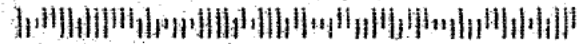
Robert E. Post

27 JAN 2018 9:46 AM



Advanced Micro Devices, Inc. Securities Litigation  
Claims Administrator  
c/o Epig Systems, Inc.  
P.O. Box 4349  
Portland, Oregon 97208-4349

97208-434949



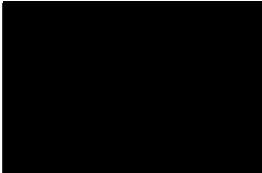
# Exclusion Request 8

2018.01.29

To Whom it may concern:

I Jason Gutowski wish to be excluded as a Class Member from the Class in Hatamian v. Advanced Micro Devices Inc. (Case No. 14-cv-00226).


Jason Gutowski



**Transaction History**

Date	Description	
03.13.2012	bought	12@\$7.55
11.18.2013	sold	12@3.51

A handwritten signature in black ink, appearing to read "Jason Gutowski", written over a horizontal line.

*MACON J. F. HOWSKI*  


Advanced Micro Devices, Inc. Securities Litigation  
Claims Administrator  
c/o Epiq Systems, Inc.  
P.O. Box 4349  
Portland, OR 97208-4349



PRIORITY MAIL  
POSTAGE REQUIRED

**PRIORITY**  
★ MAIL ★

 **UNITED STATES  
POSTAL SERVICE**  
VISIT US AT [USPS.COM](http://USPS.COM)  
ORDER FREE SUPPLIES ONLINE

PRESS FIRMLY TO SEAL

FROM:

*Jason Gutowski*



FROM:

 **UNITED STATES  
POSTAL SERVICE**

Retail

**P**

US POSTAGE PAID  
**\$6.70**

Origin: 20850  
Destination: 97208  
0 Lb 2.00 Oz  
Jan 31, 18  
2378840850-18

1006

**PRIORITY MAIL 2-Day**®

Expected Delivery Day: 02/02/2018

**B907**

USPS TRACKING NUMBER



9505 5114 6113 8031 1655 02

TO:

*Advanced Micro Devices, Inc. Securities  
Litigation*

*Claims Administrator*

*c/o Epiq Systems, Inc  
PO Box 4349*

*Portland OR 97208-4349*

Label 228, March 2016

FOR DOMESTIC AND INTERNATIONAL USE



PS00001000014

EP14F July 2013  
OD: 12.5 x 9.5

**VISIT US AT [USPS.COM](http://USPS.COM)**  
ORDER FREE SUPPLIES ONLINE

**DELIVERED**  
DELIVERED BY  
POSTAL SERVICE

# Exclusion Request 9

1-30-2018

Dear Sir,

I request to be excluded from the Class in *Kalamian v. Advanced Micro Devices Inc., et al.*, No. 14-cv-00226 (N.D. Cal.).

Frank Foreman

Phone [REDACTED]

E-mail: [REDACTED]

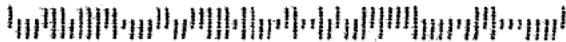
*Frank Foreman*

Opening Transaction					Closing Transaction					
Symbol	Quantity	Date	Price	Net Amount	Type	Date	Price	Net Amount	Gain (Loss)	Term Action
AMD	100	02/27/2007	14.8199	1504.98	S	04/25/2011	8.5231	857.30	(648)	Long Exit
AMD	100	04/21/2010	9.7695	986.94	S	04/25/2011	8.5231	857.30	(130)	Long Exit

Frank Foreman



Advanced Micro Devices, Inc. Securities Litigation  
Claims Administrator  
c/o Epiq Systems, Inc  
P.O. Box 4349  
Portland, OR 97208-4349



# Exclusion Request 10

Sir,

I request to be excluded from the Class in Hatamian v. Advanced Micro Devices, Inc et al., No. 14-cv-00226 (N.D.Cal).

To my knowledge I have never had shares in this company.

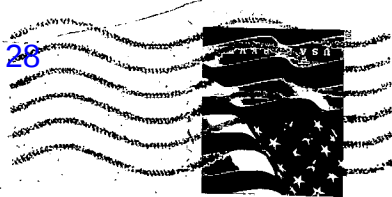
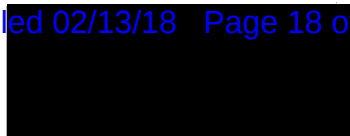
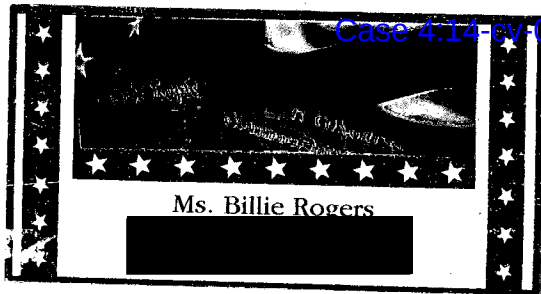
Billie J Rogers.

[Redacted]

[Redacted]

[Redacted]

---



*Advanced Micro Services*

*Claims Administrator*

*c/o Epic Systems, Inc.*

*P.O. Box 4379*

*Portland, OR 97208-4379*

97208-434949

# Exclusion Request - 11



From:  
Claudio Alberto Andreoni



To:  
Advanced Micro Devices, Inc. Securities Litigation  
Claims Administrator  
c/o Epiq Systems, Inc.  
P.O. Box 4349  
Portland, OR 97208

To whom it may concern:

I respectfully request to be excluded from the Class in *Hatamian v. Advanced Micro Devices, Inc., et al.*, No. 14-cv-00226 (N.D. Cal.).

The list of my trades in AMD common stock between April 4, 2011 and Oct 18, 2012 follows:

Date	Side	Quantity	Price
9/22/2011	Buy	50	6.03
9/27/2011	Buy	100	6.7391
1/25/2012	Sell	150	6.693

Best regards,

Feb 4, 2018  
Claudio A Andreoni

A handwritten signature in black ink, appearing to read 'Claudio A. Andreoni', with a horizontal line drawn underneath it.



**Transaction Confirmation**  
**Confirm Date: September 22, 2011**

Page 1 of 1

Brokerage Account Number

**CLAUDIO ALBE ANDREONI**

9900022073

CLAUDIO ALBE ANDREONI

Online Fidelity.com  
 FAST(sm)-Automated Telephone 800-544-5555  
 Customer Service 800-544-6666

REFERENCE NO.	TYPE	REG.REP.	TRADE DATE	SETTLEMENT DATE	CUSIP NO.	ORDER NO.	ORIG.
11265-0FM2BP	1	W##	09-22-11	09-27-11	007903107	11265-S5S1K	
SECURITY DESCRIPTION and DISCLOSURES							
You Bought	50		ADVANCED MICRO DEVICES INC			Principal Amount	301.50
at	6.03		WE HAVE ACTED AS AGENT.			Commis sion	7.95
Symbol: AMD			BRONZE ONLINE COMMISSION APPLIED			Set tlement Amount	309.45

9900022073

ALL ORDERS ARE UNSOLICITED UNLESS SPECIFIED ABOVE

CLAUDIO ALBE ANDREONI

Please use this form to make additional investments in your brokerage account [redacted] only.

AMOUNT OF INVESTMENT	\$
----------------------	----

FIDELITY INVESTMENTS  
 PO BOX 770001  
 CINCINNATI OH 45277-0003

If there are sufficient funds in your brokerage core account (or margin account), Fidelity will use those funds to cover the trade(s) on this confirm. If you wish to deposit additional money, use this deposit slip and make checks payable to: NATIONAL FINANCIAL SERVICES LLC. Deposits will be made to the account listed above. Please mail checks to the Fidelity address on this form. Refer to the last page for instructions on depositing certificates.

099 [redacted] 00 000



**Transaction Confirmation**  
**Confirm Date: September 27, 2011**

Page 1 of 1

Brokerage Account Number

**CLAUDIO ALBE ANDREONI**

9900014781

CLAUDIO ALBE ANDREONI

Online Fidelity.com  
 FAST(sm)-Automated Telephone 800-544-5555  
 Customer Service 800-544-6666

REFERENCE NO.	TYPE	REG.REP.	TRADE DATE	SETTLEMENT DATE	CUSIP NO.	ORDER NO.	ORIG.	
11270-0DF86X	1	W##	09-27-11	09-30-11	007903107	11270-Q40LZ	9	
SECURITY DESCRIPTION and DISCLOSURES								
You Bought	100		ADVANCED MICRO DEVICES INC			Principal Amount		673.91
at	6.7391		WE HAVE ACTED AS AGENT.			Commission		7.95
Symbol: AMD			BRONZE ONLINE COMMISSION APPLIED			Settlement Amount		681.86

9900014781

ALL ORDERS ARE UNSOLICITED UNLESS SPECIFIED ABOVE

CLAUDIO ALBE ANDREONI

Please use this form to make additional investments in your brokerage account [redacted] only.

AMOUNT OF INVESTMENT	\$
----------------------	----

FIDELITY INVESTMENTS  
 PO BOX 770001  
 CINCINNATI OH 45277-0003

If there are sufficient funds in your brokerage core account (or margin account), Fidelity will use those funds to cover the trade(s) on this confirm. If you wish to deposit additional money, use this deposit slip and make checks payable to: NATIONAL FINANCIAL SERVICES LLC. Deposits will be made to the account listed above. Please mail checks to the Fidelity address on this form. Refer to the last page for instructions on depositing certificates.

099 [redacted] 00 000



**Transaction Confirmation**  
**Confirm Date: January 25, 2012**

Page 1 of 1

Brokerage Account Number

**CLAUDIO ALBERTO ANDREONI**

9900004093

CLAUDIO ALBERTO ANDREONI

Online Fidelity.com  
 FAST(sm)-Automated Telephone 800-544-5555  
 Customer Service 800-544-6666

REFERENCE NO.	TYPE	REG.REP.	TRADE DATE	SETTLEMENT DATE	CUSIP NO.	ORDER NO.	ORIG.
12025-0DRXNC	1	W##	01-25-12	01-30-12	007903107	12025-TRRLK	

You Sold 150  
 at 6.693  
 Symbol: AMD

**SECURITY DESCRIPTION and DISCLOSURES**

ADVANCED MICRO DEVICES INC  
 WE HAVE ACTED AS AGENT.  
 BRONZE ONLINE COMMISSION APPLIED  
 LOTS WITHOUT SPECIFIC SHARES  
 INSTRUCTIONS WILL BE DEPLETED USING  
 FIRST IN, FIRST OUT METHOD PER CUSTOMER  
 INSTRUCTIONS.

Principal Amount 1,003.95  
 Commission 7.95  
 Activity Assessment Fee 0.02  
 Settlement Amount 995.98

9900004093

ALL ORDERS ARE UNSOLICITED UNLESS SPECIFIED ABOVE

CLAUDIO ALBERTO ANDREONI

Please use this form to make additional investments in your brokerage account [redacted] only.

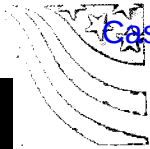
AMOUNT OF INVESTMENT	\$
----------------------	----

FIDELITY INVESTMENTS  
 PO BOX 770001  
 CINCINNATI OH 45277-0003

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099 [redacted] 00 000

Claudio Alberto Andreoni

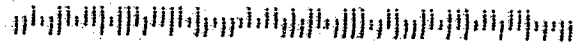


05 FEB 2018 PM 14 1



Advanced Micro Devices, Inc Sec. Litigation  
Claims Administrator  
c/o Epig Systems, Inc.  
P.O. Box 4349  
Portland, OR 97208

97208-434949



# **EXHIBIT B**

Settlement Notice  
Requests for Exclusion

Request No.	Name	City	State	Status
1	REZA ASADI & DOROTHY ESTERLE-ASSADI JT TEN	ATHENS	OH	Duplicate
2	JUDY BRUNO	SUNNYVALE	CA	Not Class Member
3	GIUSEPPE MANZELLA	CHICAGO	IL	Duplicate
4	ADAM FRISBIE	CEDAR FALLS	IA	Valid
5	MARJORIE CHAMBERLAIN	ORLANDO	FL	Invalid
6	JAMES PAUL RODELL TRUST UAD 08/24/00	CORVALLIS	OR	Not Class Member
7	EDWARD D JONES & CO CUSTODIAN FBO ROBERT EDWARD POST IRA	SAINT MARY	MO	Invalid
8	JASON A GUTOWSKI	ROCKVILLE	MD	Duplicate
9	THE FRANK E FOREMAN RV LV TR	BEDFORD	IN	Invalid
10	BILLIE J ROGERS	OWENSBORO	KY	Not Class Member
11	CLAUDIO ANDREONI	NEW YORK	NY	Valid

# **EXHIBIT C**



Valid Exclusion Requests

Count	Name	City	State
1	SAM MERCURIO	GRANBURY	TX
2	GUISEPPE MANZELLA	CHICAGO	IL
3	DAVID M SMITH	KANSAS CITY	MO
4	GLENN MCKOY	NEW YORK	NY
5	JAMES C GRAY	COOKEVILLE	TN
6	MICHAEL E MORAN	GREENSBURG	PA
7	SERGE FAVRE	GLAND	Switzerland
8	JASON GUTOWSKI	ROCKVILLE	MD
9	ANDREW BOORDA	MIDDLETOWN	RI
10	RAYMOND J PIRES	LINCOLN	RI
11	RICHARD LETT & DIANE LETT	STOUTSVILLE	MO
12	HAROLD VAN BUREN & SUE VAN BUREN	CHANDLER	AZ
13	ALICE STATON & LEONARD EWENSTEIN	NEW YORK	NY
14	REZA ASADI & DOROTHY ESTERLE-ASSADI	ATHENS	OH
15	MARCUS ROUX	AMBLER	PA
16	ADAM FRISBIE	CEDAR FALLS	IA
17	CLAUDIO ANDREONI	NEW YORK	NY

# **Exhibit 2**

## Preapproval Stage

*Hatamian, et al. v. Advanced Micro Devices, Inc., et al., No. 14-cv-00226 (N.D. Cal.)*

*All figures are best estimates as of the date of the final approval motion*

	% Total Settlement
<b>Settlement</b> \$29.5 million	<b>100%</b>
<b>Est. Net Settlement Fund</b> \$18,688,960	<b>63%</b>
<b>Expected Claims</b>	UKN
Class members 300,000*	
Projected claims 50,000	17%
Recovery per share**	\$0.04
<b>Expected Residual</b> \$0-\$20,000	<b>0%</b>
Cy pres \$0-\$20,000	
Reversion \$0	
<b>Estimated Attorney Fees</b> \$7,375,000	<b>25%</b>
Lodestar \$31,122,958	
Hours 62,765	
Average billing rate \$496/hr	
Multiplier 0.24	
<b>Estimated Attorney Costs</b> \$2,812,817	<b>9.5%</b>
<b>Est. Administrative Costs</b> \$600,000	<b>2%</b>

\*Estimate based on number of notices mailed to date.

\*\*Recovery per share is based on expert's estimate of number of damaged shares. Actual individual payments per share may be higher or lower depending on factual circumstances. Settlement payments per class member cannot be estimated at this time.

## Preapproval Stage

*In re: Barrick Gold Securities Litigation, 1:13-cv-03851-RMB (S.D.N.Y.)*

*All figures are best estimates as of the date of the final approval motion*

	% Total Settlement
<b>Settlement</b> \$140.0 million	<b>100%</b>
<b>Est. Net Settlement Fund*</b>	<b>N/A</b>
<b>Expected Claims</b>	UKN
Class members	UKN
Projected claims	UKN
Recovery per share**	\$0.12
<b>Expected Residual</b> \$0-\$20,000	<b>0.0%</b>
Cy pres \$0-\$20,000	
Reversion \$0	
<b>Estimated Attorney Fees</b> \$35.0 million	<b>25.0%</b>
Lodestar \$20,132,916	
Hours 41,891	
Average billing rate \$481/hr	
Multiplier 1.74	
<b>Estimated Attorney Costs</b> \$981,296	<b>0.7%</b>
<b>Est. Administrative Costs</b> \$3.5 million	<b>2.5%</b>

\*Not estimated.

\*\*Recovery per share is based on consulting expert's estimate of number of damaged shares. Actual individual payments per share may be higher or lower depending on factual circumstances.

## Postclaim Filing Audit

*In re: Barrick Gold Securities Litigation, 1:13-cv-03851-RMB (S.D.N.Y.)*

*All figures are actual amounts as of January 16, 2018*

	% Total Settlement
<b>Settlement</b> \$140.4 million*	<b>100%</b>
<b>Claims Paid</b> \$94,510,556	<b>67.3%</b>
Class members sent notice 1,111,409	
Actual claims 214,000 (19.3%)	
Opt-outs 108 (0.009%)	
Mean recovery (per eligible claimant) \$1,694**	
<b>Residual</b> \$16.6 million***	<b>11.8%</b>
Cy pres distribution \$0	
Reversion \$0	
<b>Attorney Fees Awarded</b> \$25.2 million	<b>17.9%</b>
Portion of distributed fund 26.7%	
<b>Attorney Costs Awarded</b> \$981,296	<b>0.7%</b>
Portion of distributed fund 1.0%	
<b>Administrative Costs</b> \$2,873,056	<b>2.0%</b>

\*Includes interest.

\*\*Median recovery not available.

\*\*\*Subject to additional distribution/application to unpaid administrative costs.

## Preapproval Stage

*In re Bear Stearns Co. Inc. Sec. Litig.,  
No. 08-Civ-2793 (S.D.N.Y.)*

*All figures are best estimates as of the date of the final approval motion*

	% Total Settlement
<b>Settlement</b> \$294.9 million	<b>100%</b>
<b>Est. Net Settlement Fund*</b>	<b>NA</b>
<b>Expected Claims</b>	UKN
Class members	UKN
Projected claims	UKN
Recovery per share**	\$4.79
<b>Expected Residual</b> \$0-\$20,000	<b>0%</b>
Cy pres \$0-\$20,000	
Reversion \$0	
<b>Estimated Attorney Fees</b> \$35,388,000	<b>12%</b>
Lodestar \$38,552,873	
Hours 95,000	
Average billing rate \$406/hr	
Multiplier 0.92	
<b>Estimated Attorney Costs</b> \$2,040,352	<b>0.7%</b>
<b>Est. Administrative Costs*</b>	<b>N/A</b>

\*Not estimated.

\*\*Recovery per share is based on consulting expert's estimate of number of damaged common shares. Other securities were also eligible. Actual individual payments per share may be higher or lower depending on factual circumstances.

## Postclaim Filing Audit

*In re Bear Stearns Co. Inc. Sec. Litig.,  
No. 08-Civ-2793 (S.D.N.Y.)*

*All figures are actual amounts as of January 16, 2018*

	% Total Settlement
<b>Settlement</b> \$295,122,271*	<b>100%</b>
<b>Claims Paid</b> \$255,510,124	<b>86.6%</b>
Class members sent notice 230,000	
Actual claims 52,581 (23%)	
Opt-outs 111 (0.048%)	
Mean recovery (per eligible claimant) \$15,764**	
<b>Residual</b> \$0***	
Cy pres distribution \$0	
Reversion \$0	
<b>Attorney Fees Awarded</b> \$35,388,000	<b>12%</b>
Portion of distributed fund 14%	
<b>Attorney Costs Awarded</b> \$2,070,292	<b>0.7%</b>
Portion of distributed fund 0.8%	
<b>Lead Plaintiff Award</b> \$31,053	<b>0.01%</b>
<b>Administrative Costs</b> \$2,122,802	<b>0.7%</b>

\*Includes interest.

\*\*Median recovery not available.

\*\*\*All funds distributed or applied to administrative costs.

## Preapproval Stage

*In re: Bank of New York Mellon Corp. Forex Transactions Litig., 12 MD 2335 (S.D.N.Y.)*

*All figures are best estimates as of the date of the final approval motion*

	% Total Settlement
<b>Settlement</b> \$180,000,000	<b>100%</b>
<b>Est. Net Settlement Fund*</b>	<b>NA</b>
<b>Expected Claims</b>	UKN
Class members	UKN
Projected claims	UKN
Recovery per share	\$0.22**
<b>Expected Residual</b> \$0-\$20,000	<b>0%</b>
Cy pres \$0-\$20,000	
Reversion \$0	
<b>Estimated Attorney Fees</b> \$45 million	<b>25%</b>
Lodestar \$46,800,000	
Hours 118,000	
Average billing rate \$ 397/hr	
Multiplier 0.96	
<b>Estimated Attorney Costs</b> \$3 million	<b>1.6%</b>
<b>Est. Administrative Costs*</b>	<b>N/A</b>

\*Not estimated.

\*\*Recovery per share is based on consulting expert's estimate of number of damaged shares. Actual individual payments per share may be higher or lower depending on factual circumstances.

## Postclaim Filing Audit

*In re: Bank of New York Mellon Corp. Forex Transactions Litig., 12 MD 2335 (S.D.N.Y.)*

*All figures are actual amounts as of January 19, 2018*

	% Total Settlement
<b>Settlement</b> \$180,000,000	<b>100%</b>
<b>Claims Paid</b> \$121,943,387	<b>68%</b>
Class members sent notice 822,799	
Actual claims 356,941 (43%)	
Opt-outs 34 (0.004132%)	
Mean recovery (per eligible claimant) \$2,282*	
<b>Residual</b> \$8,573,586**	<b>4.51%</b>
<b>Attorney Fees Awarded</b> \$45,000,000	<b>25%</b>
Portion of distributed fund 37%	
<b>Attorney Costs Awarded</b> \$1,600,000	<b>0.89%</b>
Portion of distributed fund 1.31%	
<b>Lead Plaintiff Award</b> \$0	<b>0.00%</b>
<b>Administrative Costs</b> \$2,883,026	<b>1.60%</b>

\*Median recovery not available.

\*\*Subject to additional distribution/application to unpaid administrative costs

## Preapproval Stage

*In re Computer Sciences Corp. Sec. Litig.,  
11-cv-610 (E.D. Va.)*

*All figures are best estimates as of the date of the final approval motion*

	% Total Settlement
<b>Settlement</b> \$97.5 million	<b>100%</b>
<b>Est. Net Settlement Fund*</b>	<b>NA</b>
<b>Expected Claims</b>	UKN
Class members	UKN
Projected claims	UKN
Recovery per share**	\$0.49
<b>Expected Residual</b> \$0-\$20,000	<b>0%</b>
Cy pres \$0-\$20,000	
Reversion \$0	
<b>Estimated Attorney Fees</b> \$19,012,500	<b>19.5%</b>
Lodestar \$16,031,271	
Hours 34,457	
Average billing rate \$465/hr	
Multiplier 1.2	
<b>Estimated Attorney Costs</b> \$3,064,816	<b>0.3%</b>
<b>Est. Administrative Costs*</b>	<b>N/A</b>

\*Not estimated.

\*\*Recovery per share is based on consulting expert's estimate of number of damaged shares. Actual individual payments per share may be higher or lower depending on factual circumstances.

## Postclaim Filing Audit

*In re Computer Sciences Corp. Sec. Litig.,  
11-cv-610 (E.D. Va.)*

*All figures are actual amounts as of January 16, 2018*

	% Total Settlement
<b>Settlement</b> \$97,536,116*	<b>100%</b>
<b>Claims Paid</b> \$73,947,430	<b>76%</b>
Class members sent notice 228,508	
Actual claims 54,643 (24%)	
Opt-outs 0	
Mean recovery (per eligible claimant) \$4,248**	
<b>Residual</b> \$16,653***	<b>0.02%</b>
Cy pres distribution \$0	
Reversion \$0	
<b>Attorney Fees Awarded</b> \$19,012,500	<b>19.48%</b>
Portion of distributed fund 25.7%	
<b>Attorney Costs Awarded</b> \$3,059,815	<b>3.1%</b>
Portion of distributed fund 4.1%	
<b>Lead Plaintiff Award</b> \$60,905	<b>0.1%</b>
<b>Administrative Costs</b> \$1,438,813	<b>1.3%</b>

\*Includes interest.

\*\*Median recovery not available.

\*\*\*Subject to additional distribution/application to unpaid administrative costs

## Preapproval Stage

*In re Fannie Mae 2008 Sec. Litig.,*

**No. 08-Civ-7831 (S.D.N.Y.)**

*All figures are best estimates as of the date of the final approval motion*

	% Total Settlement
<b>Settlement</b> \$170 million	<b>100%</b>
<b>Est. Net Settlement Fund*</b>	<b>NA</b>
<b>Expected Claims</b>	UKN
Class members	UKN
Projected claims	UKN
Recovery per share**	\$0.08
<b>Expected Residual</b> \$0-\$20,000	<b>0%</b>
Cy pres \$0-\$20,000	
Reversion \$0	
<b>Estimated Attorney Fees</b> \$30,005,000	<b>17.65%</b>
Lodestar \$35,548,004	
Hours 68,000	
Average billing rate \$523/hr	
Multiplier 0.84	
<b>Estimated Attorney Costs</b> \$2,057,321	<b>1.2%</b>
<b>Est. Administrative Costs*</b>	<b>N/A</b>

\*Not estimated.

\*\*Recovery per share is based on consulting expert's estimate of number of damaged common shares. Other securities were also eligible. Actual individual payments per share may be higher or lower depending on factual circumstances.

## Postclaim Filing Audit

*In re Fannie Mae 2008 Sec. Litig.,*

**No. 08-Civ-7831 (S.D.N.Y.)**

*All figures are actual amounts as of January 16, 2018*

	% Total Settlement
<b>Settlement</b> \$170,343,560*	<b>100%</b>
<b>Claims Paid</b> \$133,886,423	<b>78.6%</b>
Class members sent notice 794,276	
Actual claims 261,740 (33%)	
Opt-outs 59 (0.0074%)	
Mean recovery (per eligible claimant) \$1,541**	
<b>Residual</b> \$1,381,601***	<b>0.8%</b>
Cy pres distribution \$0	
Reversion \$0	
<b>Attorney Fees Awarded</b> \$30,005,000	<b>17.61%</b>
Portion of distributed fund 22%	
<b>Attorney Costs Awarded</b> \$2,057,321	<b>1.2%</b>
Portion of distributed fund 1.5%	
<b>Lead Plaintiff Award</b> \$113,953	<b>0.07%</b>
<b>Administrative Costs</b> \$2,899,262	<b>1.7%</b>

\*Includes interest.

\*\*Median recovery not available.

\*\*\*Subject to additional distribution/application to unpaid administrative costs



## Preapproval Stage

*Linus Aruliah v. Impax Laboratories, Inc., et al.,  
14-cv-3673-JD (N.D. Cal.)*

*All figures are best estimates as of the date of the final approval motion*

	% Total Settlement
<b>Settlement</b> \$4.75 million	<b>100%</b>
<b>Est. Net Settlement Fund*</b>	<b>N/A</b>
<b>Expected Claims</b>	<b>UKN</b>
Class members	UKN
Projected claims	UKN
Recovery per share**	\$0.17
<b>Expected Residual</b> \$0-\$20,000	<b>0.0%</b>
Cy pres \$0-\$20,000	
Reversion \$0	
<b>Estimated Attorney Fees</b> \$1,187,500	<b>25.0%</b>
<b>Lodestar</b> \$891,983	
Hours 1,530	
Average billing rate \$583/hr	
<b>Multiplier</b> 1.33	
<b>Estimated Attorney Costs</b> \$82,943	<b>1.5%</b>
<b>Est. Administrative Costs*</b>	<b>N/A</b>

\*Not estimated.

\*\*Recovery per share is based on consulting expert's estimate of number of damaged shares. Actual individual payments per share may be higher or lower depending on factual circumstances.

## Postclaim Filing Audit

*Linus Aruliah v. Impax Laboratories, Inc., et al.,  
14-cv-3673-JD (N.D. Cal.)*

*All figures are actual amounts as of January 16, 2018*

	% Total Settlement
<b>Settlement</b> \$4,763,973*	<b>100%</b>
<b>Claims Paid</b> \$3,713,312	<b>77.9%</b>
Class members sent notice 25,016	
Actual claims 5,690 (22.7%)	
Opt-outs 2 (0.007%)	
Mean recovery (per eligible claimant) \$4,540**	
<b>Residual</b> \$0***	<b>0.0%</b>
Cy pres distribution \$0	
Reversion \$0	
<b>Attorney Fees Awarded</b> \$891,983	<b>18.7%</b>
Portion of distributed fund 24.0%	
<b>Attorney Costs Awarded</b> \$82,943	<b>1.7%</b>
Portion of distributed fund 2.2%	
<b>Administrative Costs</b> \$75,735	<b>1.6%</b>

\*Includes interest.

\*\*Median recovery not available.

\*\*\*All funds distributed or applied to administrative costs.

## Preapproval Stage

*In re Massey Energy Co. Sec. Litig.,  
10-cv-00689 (S.D. W.V.)*

*All figures are best estimates as of the date of the final approval motion*

	% Total Settlement
<b>Settlement</b> \$265 million	<b>100%</b>
<b>Est. Net Settlement Fund*</b>	<b>NA</b>
<b>Expected Claims</b>	UKN
Class members	UKN
Projected claims	UKN
Recovery per share**	\$3.34
<b>Expected Residual</b> \$0-\$20,000	<b>0%</b>
Cy pres \$0-\$20,000	
Reversion \$0	
<b>Estimated Attorney Fees</b> \$31,838,168	<b>12%</b>
Lodestar \$11,085,145	
Hours 21,800	
Average billing rate \$509/hr	
Multiplier 2.9	
<b>Estimated Attorney Costs</b> \$592,550	<b>0.2%</b>
<b>Est. Administrative Costs*</b>	<b>N/A</b>

\*Not estimated.

\*\*Recovery per share is based on consulting expert's estimate of number of damaged shares. Actual individual payments per share may be higher or lower depending on factual circumstances.

## Postclaim Filing Audit

*In re Massey Energy Co. Sec. Litig.,  
10-cv-00689 (S.D. W.V.)*

*All figures are actual amounts as of January 16, 2018*

	% Total Settlement
<b>Settlement</b> \$265,142,908*	<b>100%</b>
<b>Claims Paid</b> \$231,990,181	<b>87.5%</b>
Class members sent notice 235,497	
Actual claims 37,060 (6.4%)	
Opt-outs 1 (0.00042%)	
Mean recovery (per eligible claimant) \$29,523**	
<b>Residual</b> \$57,659***	<b>0.02%</b>
Cy pres distribution \$0	
Reversion \$0	
<b>Attorney Fees Awarded</b> \$31,838,168	<b>12%</b>
Portion of distributed fund 13.7%	
<b>Attorney Costs Awarded</b> \$592,550	<b>0.22%</b>
Portion of distributed fund 0.3%	
<b>Lead Plaintiff Award</b> \$33,889	<b>0.01%</b>
<b>Administrative Costs</b> \$630,461	<b>0.2%</b>

\*Includes interest.

\*\*Median recovery not available.

\*\*\*Subject to additional distribution/application to unpaid administrative costs

## Preapproval Stage

*In re Merck & Co., Inc. Sec., Derivative & "ERISA" Litigation,*  
MDL No. 1658 (SRC), Case No. 2:05-CV-01151-SRC-CLW (D.N.J.), Case No. 2:05-CV-02367-SRC-CLW (D.N.J.)

*All figures are best estimates as of the date of the final approval motion*

% Total Settlement	
<b>Settlement</b> \$830,000,000	<b>100%</b>
<b>Est. Net Settlement Fund*</b>	<b>NA</b>
<b>Expected Claims</b>	UKN
Class members	UKN
Projected claims	UKN
Recovery per share	\$0.36**
<b>Expected Residual</b> \$0-\$20,000	<b>0%</b>
Cy pres \$0-\$20,000	
Reversion \$0	
<b>Estimated Attorney Fees</b> \$166 million	<b>20%</b>
Lodestar \$205,611,776	
Hours 448,502	
Average billing rate \$458/hr	
Multiplier 1.03	
<b>Estimated Attorney Costs</b> \$19 million	<b>2.2%</b>
<b>Est. Administrative Costs*</b>	N/A

\*Not estimated.

\*\*Recovery per share is based on consulting expert's estimate of number of damaged shares. Actual individual payments per share may be higher or lower depending on factual circumstances.

## Postclaim Filing Audit

*In re Merck & Co., Inc. Sec., Derivative & "ERISA" Litigation,*  
MDL No. 1658 (SRC), Case No. 2:05-CV-01151-SRC-CLW (D.N.J.), Case No. 2:05-CV-02367-SRC-CLW (D.N.J.)

*All figures are actual amounts as of January 19, 2018*

% Total Settlement	
<b>Settlement</b> \$830,000,000	<b>100%</b>
<b>Claims Paid</b> \$0*	<b>NA</b>
Class members sent notice 1,047,879	
Actual claims 412,505 (39%)	
Opt-outs 557 (0.053155%)	
Mean recovery (per eligible claimant)	<b>NA</b>
<b>Residual</b> \$0	
Cy pres distribution \$0	
Reversion \$0	
<b>Attorney Fees Awarded</b> \$166,000,000	<b>20%</b>
Portion of distributed fund	<b>NA</b>
<b>Attorney Costs Awarded</b> \$9,473,356	<b>1.14%</b>
Portion of distributed fund	<b>NA</b>
<b>Lead Plaintiff Award</b> \$108,712	<b>0.01%</b>
<b>Administrative Costs</b> \$5,891,041	<b>0.71%</b>

\*Settlement has not distributed due to appeal.

## Preapproval Stage

*In re Merck & Co., Inc., Vytorin/Zetia Securities Litigation,*  
Case Number 2:08-cv-2177 (D. N.J.)

*All figures are best estimates as of the date of the final approval motion*

	% Total Settlement
<b>Settlement</b> \$215,000,000	<b>100%</b>
<b>Est. Net Settlement Fund*</b>	<b>NA</b>
<b>Expected Claims</b>	UKN
Class members	UKN
Projected claims	UKN
Recovery per share	\$0.15**
<b>Expected Residual</b> \$0-\$20,000	<b>0%</b>
Cy pres \$0-\$20,000	
Reversion \$0	
<b>Estimated Attorney Fees</b> \$60.2 million	<b>28%</b>
Lodestar \$44,941,902	
Hours 105,341	
Average billing rate \$427/hr	
Multiplier 1.34	
<b>Estimated Attorney Costs</b> \$5 million	<b>2.3%</b>
<b>Est. Administrative Costs*</b>	<b>N/A</b>

\*Not estimated.

\*\*Recovery per share is based on consulting expert's estimate of number of damaged shares. Actual individual payments per share may be higher or lower depending on factual circumstances.

## Postclaim Filing Audit

*In re Merck & Co., Inc., Vytorin/Zetia Securities Litigation,*  
Case Number 2:08-cv-2177 (D. N.J.)

*All figures are actual amounts as of January 19, 2018*

	% Total Settlement
<b>Settlement</b> \$215,000,000	<b>100%</b>
<b>Claims Paid</b> \$147,597,535	<b>69%</b>
Class members sent notice 546,066	
Actual claims 268,507 (49%)	
Opt-outs 177 (0.032414%)	
Mean recovery (per eligible claimant) \$1,681*	
<b>Residual</b> \$0**	<b>0%</b>
Cy pres distribution \$0	
Reversion \$0	
<b>Attorney Fees Awarded</b> \$60,200,000	<b>28%</b>
Portion of distributed fund 41%	
<b>Attorney Costs Awarded</b> \$4,070,435	<b>1.66%</b>
Portion of distributed fund 2.76%	
<b>Lead Plaintiff Award</b> \$109,865	<b>0.07%</b>
<b>Administrative Costs</b> \$3,022,163	<b>1.27%</b>

\*Median recovery not available.

\*\*All funds distributed or applied to administrative costs.

## Preapproval Stage

*In re Schering-Plough Corp./ENHANCE Sec. Litig., Civ. No. 08-397 (D.NJ)*

*All figures are best estimates as of the date of the final approval motion*

	% Total Settlement
<b>Settlement</b> \$473 million	<b>100%</b>
<b>Est. Net Settlement Fund*</b>	<b>NA</b>
<b>Expected Claims</b>	UKN
Class members	UKN
Projected claims	UKN
Recovery per share**	\$0.39
<b>Expected Residual</b> \$0-\$20,000	<b>0%</b>
Cy pres \$0-\$20,000	
Reversion \$0	
<b>Estimated Attorney Fees</b> \$80,031,600	<b>16.92%</b>
Lodestar \$59.5 million	
Hours 126,178	
Average billing rate \$472/hr	
Multiplier 1.3	
<b>Estimated Attorney Costs</b> \$3,620,049	<b>0.8%</b>
<b>Est. Administrative Costs*</b>	<b>N/A</b>

\*Not estimated.

\*\*Recovery per share is based on damages expert's estimate of number of damaged common shares. Other securities were also eligible for recovery. Actual individual payments per share may be higher or lower depending on factual circumstances.

## Postclaim Filing Audit

*In re Schering-Plough Corp./ENHANCE Sec. Litig., Civ. No. 08-397 (D.NJ)*

*All figures are actual amounts as of Jan. 16, 2018*

	% Total Settlement
<b>Settlement</b> \$473,185,865*	<b>100%</b>
<b>Claims Paid</b> \$387,271,491	<b>81.8%</b>
Class members sent notice 457,586	
Actual claims 139,251 (30.4%)	
Opt-outs 0 (litigation class, no second opt-out)	
Mean recovery (per eligible claimant) \$5,410**	
<b>Residual</b> \$46,650***	<b>0.01%</b>
Cy pres distribution \$0	
Reversion \$0	
<b>Attorney Fees Awarded</b> \$80,031,600	<b>16.92%</b>
Portion of distributed fund 20.6%	
<b>Attorney Costs Awarded</b> \$3,620,049	<b>0.8%</b>
Portion of distributed fund 0.9%	
<b>Lead Plaintiff Awards</b> \$102,447	<b>0.02%</b>
<b>Administrative Costs</b> \$2,113,628	<b>0.45%</b>

\*Includes interest.

\*\*Median recovery not available.

\*\*\*Subject to additional distribution/application to unpaid administrative costs

## Preapproval Stage

*Cara E. Bennett v. Sprint Nextel Corp., et al.,  
2:09-cv-02122-EFM-GEB (D. Kan.)*

*All figures are best estimates as of the date of the final approval motion*

	% Total Settlement
<b>Settlement</b> \$131.0 million	<b>100%</b>
<b>Est. Net Settlement Fund*</b>	<b>N/A</b>
<b>Expected Claims</b>	<b>UKN</b>
Class members	UKN
Projected claims	UKN
Recovery per share**	\$0.256
<b>Expected Residual</b> \$0-\$20,000	<b>0.0%</b>
Cy pres \$0-\$20,000	
Reversion \$0	
<b>Estimated Attorney Fees</b> \$28,820,000	<b>22.0%</b>
<b>Lodestar</b> \$39,854,693	
Hours 86,467	
Average billing rate \$461/hr	
<b>Multiplier</b> .72	
<b>Estimated Attorney Costs</b> \$3,434,112	<b>2.6%</b>
<b>Est. Administrative Costs*</b>	<b>N/A</b>

\*Not estimated.

\*\*Recovery per share is based on consulting expert's estimate of number of damaged shares. Actual individual payments per share may be higher or lower depending on factual circumstances.

## Postclaim Filing Audit

*Cara E. Bennett v. Sprint Nextel Corp., et al.,  
2:09-cv-02122-EFM-GEB (D. Kan.)*

*All figures are actual amounts as of January 16, 2018*

	% Total Settlement
<b>Settlement</b> \$131.0 million	<b>100%</b>
<b>Claims Paid</b> \$95.7 million	<b>73.1%</b>
Class members sent notice 633,000	
Actual claims 149,716 (23.7%)	
Opt-outs 23 (0.004%)	
Mean recovery (per eligible claimant) \$1,517*	
<b>Residual</b> \$1,365	<b>&lt;0.1%</b>
Cy pres distribution \$1,365	
Reversion \$0	
<b>Attorney Fees Awarded</b> \$28,820,000	<b>22.0%</b>
Portion of distributed fund 30.1%	
<b>Attorney Costs Awarded</b> \$3,434,112	<b>2.6%</b>
Portion of distributed fund 3.6%	
<b>Lead Plaintiff Award</b> \$42,920	<b>&lt;0.1%</b>
<b>Administrative Costs</b> \$3.0 million	<b>2.3%</b>

\*Median recovery not available.

## Preapproval Stage

*Hill v. State Street Corporation, 1:09-cv-12146-  
GAO (D. Mass.)*

*All figures are best estimates as of the date of the final approval motion*

	% Total Settlement
<b>Settlement</b> \$60.0 million	<b>100%</b>
<b>Est. Net Settlement Fund*</b>	<b>N/A</b>
<b>Expected Claims</b>	<b>UKN</b>
Class members	UKN
Projected claims	UKN
Recovery per share**	\$0.19
<b>Expected Residual</b> \$0-\$20,000	<b>0.0%</b>
Cy pres \$0-\$20,000	
Reversion \$0	
<b>Estimated Attorney Fees</b> \$10.2 million	<b>17.0%</b>
Lodestar \$30,187,765	
Hours 71,600	
Average billing rate \$422/hr	
Multiplier 0.34	
<b>Estimated Attorney Costs</b> \$1,035,734	<b>1.7%</b>
<b>Est. Administrative Costs*</b>	<b>N/A</b>

\*Not estimated.

\*\*Recovery per share is based on consulting expert's estimate of number of damaged shares. Actual individual payments per share may be higher or lower depending on factual circumstances.

## Postclaim Filing Audit

*Hill v. State Street Corporation, 1:09-cv-12146-  
GAO (D. Mass.)*

*All figures are actual amounts as of January 16, 2018*

	% Total Settlement
<b>Settlement</b> \$60.0 million	<b>100%</b>
<b>Claims Paid</b> \$45,975,804	<b>76.6%</b>
Class members sent notice 665,937	
Actual claims 249,815 (37.5%)	
Opt-outs 11 (.0002%)	
Mean recovery (per eligible claimant) \$ 1,217*	
<b>Residual</b> \$742,400**	<b>1.2%</b>
Cy pres distribution \$0	
Reversion \$0	
<b>Attorney Fees Awarded</b> \$10.2 million	<b>17.0%</b>
Portion of distributed fund 22.2%	
<b>Attorney Costs Awarded</b> \$995,298	<b>1.6%</b>
Portion of distributed fund 2.2%	
<b>Lead Plaintiff Award</b> \$40,436	<b>&lt;0.1%</b>
<b>Administrative Costs</b> \$2,046,062	<b>3.4%</b>

\*Median recovery not available.

\*\*Subject to additional distribution/application to unpaid administrative costs.

## Preapproval Stage

*In re Synovus Financial Corp.*, 1:09-cv-01811-WCO (N.D. Ga.)

*All figures are best estimates as of the date of the final approval motion*

	% Total Settlement
<b>Settlement</b> \$11.75 million	<b>100%</b>
<b>Est. Net Settlement Fund*</b>	<b>N/A</b>
<b>Expected Claims</b>	<b>UKN</b>
Class members	UKN
Projected claims	UKN
Recovery per share**	\$0.98
<b>Expected Residual</b> \$0-\$20,000	<b>0.0%</b>
Cy pres \$0-\$20,000	
Reversion \$0	
<b>Estimated Attorney Fees</b> \$3,525,000	<b>30.0%</b>
Lodestar \$6,940,883	
Hours 15,123	
Average billing rate \$459/hr	
Multiplier 0.51	
<b>Estimated Attorney Costs</b> \$527,110	<b>4.5%</b>
<b>Est. Administrative Costs*</b>	<b>N/A</b>

\*Not estimated.

\*\*Recovery per share is based on consulting expert's estimate of number of damaged shares. Actual individual payments per share may be higher or lower depending on factual circumstances.

## Postclaim Filing Audit

*In re Synovus Financial Corp.*, Civil Action No. 1:09-cv-01811-WCO (N.D. Ga.)

*All figures are actual amounts as of January 16, 2018*

	% Total Settlement
<b>Settlement</b> \$11,752,560*	<b>100%</b>
<b>Claims Paid</b> \$7,194,298	<b>61%</b>
Class members sent notice 176,851	
Actual claims 34,270 (19%)	
Opt-outs 12 (.00007%)	
Mean recovery (per eligible claimant) \$1,521**	
<b>Residual</b> \$2,560	<b>&lt;0.1%</b>
Cy pres distribution \$2,560	
Reversion \$0	
<b>Attorney Fees Awarded</b> \$3,525,000	<b>30.0%</b>
Portion of distributed fund 49%	
<b>Attorney Costs Awarded</b> \$527,110	<b>4.5%</b>
Portion of distributed fund 7.3%	
<b>Lead Plaintiff Award</b> \$15,200	<b>0.2%</b>
<b>Administrative Costs</b> \$488,393	<b>4.2%</b>

\*Includes interest.

\*\*Median recovery not available.



## Preapproval Stage

*In re Toyota Motor Corporation Securities Litigation.*

Master File No. CV 10-922 (C.D. Cal.)

All figures are best estimates as of the date of the final approval motion

	% Total Settlement
<b>Settlement</b> \$25,500,000	<b>100%</b>
<b>Est. Net Settlement Fund*</b>	<b>NA</b>
<b>Expected Claims</b>	UKN
Class members	UKN
Projected claims	UKN
Recovery per share**	\$0.77**
<b>Expected Residual</b> \$0-\$20,000	<b>0%</b>
Cy pres \$0-\$20,000	
Reversion \$0	
<b>Estimated Attorney Fees</b> \$3.06 million	<b>12%</b>
Lodestar \$6,551,560	
Hours 17,463	
Average billing rate \$375/hr	
Multiplier 0.4	
<b>Estimated Attorney Costs</b> \$2 million	<b>7.8%</b>
<b>Est. Administrative Costs*</b>	<b>N/A</b>

\*Not estimated.

\*\*Recovery per share is based on consulting expert's estimate of number of damaged shares. Actual individual payments per share may be higher or lower depending on factual circumstances.

## Postclaim Filing Audit

*In re Toyota Motor Corporation Securities Litigation,*

Master File No. CV 10-922 (C.D. Cal.)

All figures are actual amounts as of January 19, 2018

	% Total Settlement
<b>Settlement</b> \$25,500,000	<b>100%</b>
<b>Claims Paid</b> \$19,313,806	<b>76%</b>
Class members sent notice 504,258	
Actual claims 165,243 (39%)	
Opt-outs 24 (0.004759%)	
Mean recovery (per eligible claimant) \$1,089*	
<b>Residual</b> \$19	<b>0.00%</b>
Cy pres distribution \$19	
Reversion \$0	
<b>Attorney Fees Awarded</b> \$2,897,530	<b>12%</b>
Portion of distributed fund 15%	
<b>Attorney Costs Awarded</b> \$1,353,914	<b>5.3%</b>
Portion of distributed fund 7%	
<b>Lead Plaintiff Award</b> \$85,910	<b>0.3%</b>
<b>Administrative Costs</b> \$1,632,000	<b>6.40%</b>

\*Median recovery not available