

UNITED STATES DISTRICT COURT  
DISTRICT OF NEW JERSEY

IN RE PTC THERAPEUTICS, INC. SECURITIES  
LITIGATION

Civil Action No. 16-1224 (KM)(MAH)

**PROOF OF CLAIM AND RELEASE**

**A. GENERAL INSTRUCTIONS**

1. To recover as a member of the Settlement Class based on your claims in the action entitled *In re PTC Therapeutics, Inc. Securities Litigation*, No. 16-1224 (KM) (D.N.J.) (the “Action”), you must complete and, on page 5 hereof, sign this Proof of Claim and Release form (“Claim Form”). If you fail to submit a timely and properly addressed (as set forth in paragraph 3 below) Claim Form, your claim may be rejected and you may not receive any recovery from the Net Settlement Fund created in connection with the proposed Settlement.

2. Submission of this Claim Form, however, does not assure that you will share in the proceeds of the settlement of the Action.

3. **THIS CLAIM FORM MUST BE SUBMITTED EITHER:**

**(i) ONLINE AT [WWW.PTCTHERAPEUTICSSECURITIESLITIGATION.COM](http://WWW.PTCTHERAPEUTICSSECURITIESLITIGATION.COM) NO LATER THAN SEPTEMBER 27, 2018; OR**

**(ii) BY MAIL, POSTMARKED OR RECEIVED NO LATER THAN SEPTEMBER 27, 2018, ADDRESSED AS FOLLOWS:**

*PTC Therapeutics, Inc. Securities Litigation*  
Claims Administrator  
c/o A.B. Data, Ltd.  
PO Box 173032  
Milwaukee, WI 53217  
[www.PTCTherapeuticsSecuritiesLitigation.com](http://www.PTCTherapeuticsSecuritiesLitigation.com)

If you are NOT a member of the Settlement Class (as defined in the Notice of Pendency of Class Action, Proposed Settlement, and Motion for Attorneys’ Fees and Expenses (“Notice”), which accompanies this Claim Form) DO NOT submit a Claim Form.

4. If you are a member of the Settlement Class and you did not timely request exclusion in response to the Notice dated May 30, 2018, you are bound by the terms of any judgment entered in the Action, including the releases provided therein, **WHETHER OR NOT YOU SUBMIT A CLAIM FORM.**

**B. CLAIMANT IDENTIFICATION**

1. If you purchased or otherwise acquired the publicly traded common stock of PTC Therapeutics, Inc. (“PTC” or the “Company”) during the period from November 6, 2014 through February 23, 2016, inclusive (the “Class Period”) and held the stock in your name, you are the beneficial purchaser as well as the record purchaser. If, however, you purchased or otherwise acquired the publicly traded common stock of PTC during the Class Period through a third party, such as a brokerage firm, you are the beneficial purchaser and the third party is the record purchaser.

2. Use Part I of this form entitled “Claimant Identification” to identify each beneficial purchaser or acquirer of PTC publicly traded common stock that forms the basis of this claim, as well as the purchaser or acquirer of record if different. **THIS CLAIM MUST BE FILED BY THE ACTUAL BENEFICIAL PURCHASER(S) OR THE LEGAL REPRESENTATIVE OF SUCH PURCHASER(S).**

3. All joint purchasers must sign this claim. Executors, administrators, guardians, conservators, and trustees must complete and sign this claim on behalf of persons represented by them and their authority must accompany this claim and their titles or capacities must be stated. The Social Security (or taxpayer identification) number and telephone number of the beneficial owner may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

### **C. IDENTIFICATION OF TRANSACTIONS**

1. Use Part II of this form entitled “Schedule of Transactions in PTC Publicly Traded Common Stock” to supply all required details of your transaction(s) in PTC publicly traded common stock. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

2. On the schedules, provide all of the requested information with respect to: (i) all of your holdings of PTC publicly traded common stock as of the beginning of trading on November 6, 2014; (ii) all of your purchases, acquisitions, and sales of PTC publicly traded common stock during the requested time periods; and (iii) all of your holdings in PTC publicly traded common stock as of the close of trading on May 20, 2016, whether such purchases, acquisitions, sales or transactions resulted in a profit or a loss. Failure to report all such transactions may result in the rejection of your claim.

3. The date of covering a “short sale” is deemed to be the date of purchase of PTC publicly traded common stock. The date of a “short sale” is deemed to be the date of sale of PTC publicly traded common stock.

4. Copies of broker confirmations or other documentation of your transactions in PTC publicly traded common stock should be attached to your claim. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim. The Parties do not have information about your transactions in PTC publicly traded common stock.

5. **NOTICE REGARDING ELECTRONIC FILES:** Certain claimants with large numbers of transactions may request, or may be requested, to submit information regarding their transactions in electronic files. All claimants **MUST** submit a manually signed paper Claim Form whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Claims Administrator at (877) 242-4889 to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues to the claimant a written acknowledgment of receipt and acceptance of electronically submitted data.



**PART II – SCHEDULE OF TRANSACTIONS IN PTC PUBLICLY TRADED COMMON STOCK**

<b>1. HOLDINGS AS OF NOVEMBER 6, 2014</b> – State the total number of shares of PTC publicly traded common stock held as of the opening of trading on November 6, 2014. (Must be documented.) If none, write “zero” or “0.” _____	Confirm Proof of Position Enclosed ○
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**2. PURCHASES/ACQUISITIONS FROM NOVEMBER 6, 2014 THROUGH FEBRUARY 23, 2016.** Separately list each and every purchase/acquisition of PTC publicly traded common stock from after the opening of trading on November 6, 2014 through and including the close of trading on February 23, 2016. (Must be documented.)

Date of Purchase/ Acquisition (List Chronologically) (Month/Day/Year)	Number of Shares Purchased/ Acquired	Purchase/ Acquisition Price Per Share	Total Purchase/ Acquisition Price (excluding taxes, commissions, and fees)	Confirm Proof of Purchase/ Acquisition Enclosed
/ /		\$	\$	○
/ /		\$	\$	○
/ /		\$	\$	○
/ /		\$	\$	○

**3. PURCHASES/ACQUISITIONS FROM FEBRUARY 24, 2016 THROUGH MAY 20, 2016** – State the total number of shares of PTC publicly traded common stock purchased/acquired from after the opening of trading on February 24, 2016 through and including the close of trading on May 20, 2016. If none, write “zero” or “0.”<sup>1</sup> \_\_\_\_\_

<b>4. SALES FROM NOVEMBER 6, 2014 THROUGH MAY 20, 2016</b> – Separately list each and every sale/disposition of PTC publicly traded common stock from after the opening of trading on November 6, 2014 through and including the close of trading on May 20, 2016. (Must be documented.)	<b>IF NONE, CHECK HERE</b> ○
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Date of Sale (List Chronologically) (Month/Day/Year)	Number of Shares Sold	Sale Price Per Share	Total Sale Price (excluding taxes, commissions, and fees)	Confirm Proof of Sale Enclosed
/ /		\$	\$	○
/ /		\$	\$	○
/ /		\$	\$	○
/ /		\$	\$	○

<b>5. HOLDINGS AS OF MAY 20, 2016</b> – State the total number of shares of PTC publicly traded common stock held as of the close of trading on May 20, 2016. (Must be documented.) If none, write “zero” or “0.” _____	Confirm Proof of Position Enclosed ○
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**IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS YOU MUST PHOTOCOPY THIS PAGE AND CHECK THIS BOX**

**IF YOU DO NOT CHECK THIS BOX THESE ADDITIONAL PAGES WILL NOT BE REVIEWED. INCLUDE THE BENEFICIAL OWNER’S FULL NAME AND LAST FOUR DIGITS OF SOCIAL SECURITY NUMBER/TAXPAYER IDENTIFICATION NUMBER ON EACH PAGE.**

<sup>1</sup> **Please note:** Information requested with respect to your purchases/acquisitions of PTC publicly traded common stock from after the opening of trading on February 24, 2016 through and including the close of trading on May 20, 2016 is needed in order to balance your claim; purchases during this period, however, are not eligible under the Settlement and will not be used for purposes of calculating your Recognized Claim pursuant to the Plan of Allocation.

**YOU MUST READ AND SIGN THE RELEASE ON THIS PAGE. FAILURE TO SIGN THE RELEASE MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.**

**D. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS**

I (We) submit this Proof of Claim and Release under the terms of the Stipulation and Agreement of Settlement, dated March 1, 2018 (the "Stipulation") described in the Notice. I (We) also submit to the jurisdiction of the United States District Court for the District of New Jersey, with respect to my (our) claim as a Settlement Class Member and for purposes of enforcing the release set forth herein. I (We) further acknowledge that I am (we are) bound by and subject to the terms of any judgment that may be entered in the Action. I (We) agree to furnish additional information to the Claims Administrator to support this claim (including transactions in other PTC securities) if requested to do so. I (We) have not submitted any other claim in the Action covering the same purchases or sales of PTC publicly traded common stock during the Class Period and know of no other person having done so on my (our) behalf.

**E. RELEASE AND ACKNOWLEDGEMENT**

1. I (We) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever settle, release, and discharge from the Released Claims each and all of the Released Defendant Parties, both as defined in the accompanying Notice. This release shall be of no force or effect unless and until the Court approves the Settlement and the Settlement becomes effective on the Effective Date (as defined in the Stipulation).

2. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

3. I (We) hereby warrant and represent that I (we) have included the information requested about all of my (our) transactions in PTC publicly traded common stock which are the subject of this claim, as well as the opening and closing positions in such securities held by me (us) on the dates requested in this Claim Form.

4. I (We) certify that I am (we are) not subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code. (Note: If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the prior sentence.)

I declare under penalty of perjury under the laws of the United States of America that all of the foregoing information supplied on this Claim Form by the undersigned is true and correct.

Executed this \_\_\_\_\_ day of \_\_\_\_\_, in \_\_\_\_\_, \_\_\_\_\_.  
(Month / Year) (City) (State/Country)

\_\_\_\_\_  
Signature of Claimant

\_\_\_\_\_  
Signature of Joint Claimant, if any

\_\_\_\_\_  
Print Name of Claimant

\_\_\_\_\_  
Print Name of Joint Claimant, if any

\_\_\_\_\_  
(Capacity of person(s) signing, e.g., Beneficial Purchaser, Executor or Administrator)

ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.

THANK YOU FOR YOUR PATIENCE.

## REMINDER CHECKLIST

1. Please sign the above release and acknowledgement.
2. If this claim is being made on behalf of Joint Claimants, then both must sign.
3. Remember to attach copies of supporting documentation, if available.
4. **Do not send** originals of certificates.
5. Keep a copy of your Claim Form and all supporting documentation for your records.
6. If you desire an acknowledgment of receipt of your Claim Form, please send it Certified Mail, Return Receipt Requested.
7. If you move, please send your new address to:  
*PTC Therapeutics, Inc. Securities Litigation*  
Claims Administrator  
c/o A.B. Data, Ltd.  
PO Box 173032  
Milwaukee, WI 53217  
[info@PTCTherapeuticsSecuritiesLitigation.com](mailto:info@PTCTherapeuticsSecuritiesLitigation.com)
8. **Do not use red pen or highlighter** on the Claim Form or supporting documentation.