

# **WASTE MANAGEMENT, INC. SECURITIES LITIGATION**

## **INSTRUCTIONS FOR PROOF OF CLAIM**

In order for you to qualify to participate in the distributions described in the Notice of Proposed Class Action Settlement, Right to Exclusion, and Hearing (the "Notice"), you must file a Proof of Claim in the attached form and you must provide the required documentation to substantiate your claim.

## **REQUIREMENTS FOR FILING A PROOF OF CLAIM**

Your claim will be considered only upon compliance with all of the following conditions:

1. You must accurately complete all portions of the attached Proof of Claim form.  
*NOTE:* The Proof of Claim contains several purchase, acquisition and sale schedules. You must carefully complete each applicable section of the schedules. Do not omit to state any potentially relevant information regarding your holdings, purchases, acquisitions and sales of the applicable securities. This information is necessary to determine your share of any distribution. If you cannot list all transactions in the spaces provided in the Proof of Claim form, or if you believe that you must or should supply additional information with respect to any transaction, attach additional sheets to the Proof of Claim supplying the required information. You must be properly identified on each additional sheet of paper.
2. You must **sign** the Proof of Claim form on page 17.  
*NOTE:* If the applicable securities were or are owned jointly, all joint owners must sign the Proof of Claim. Executors, administrators, guardians, conservators and trustees may complete and sign the Proof of Claim on behalf of persons or entities represented by them, but they must identify such persons or entities and provide proof of their authority (for example, currently effective letters testamentary or letters of administration) to complete and execute the Proof of Claim on their behalf and to bind them in accordance with the terms thereof. A Proof of Claim submitted by legal representatives of a claimant must be executed by all such representatives.
3. You must attach to the Proof of Claim form the original, or legible copies, of broker confirmation slips, monthly brokerage statements, or other satisfactory proof confirming the particulars of each purchase, acquisition and sale you have made of the applicable securities between June 11, 1998 through November 9, 1999, inclusive.
4. By submitting the Proof of Claim form, you expressly agree to the following:
  - a. you agree to the terms of the Release that are contained in the Settlement Agreement and that are included as an Appendix to the Notice;
  - b. you consent to the jurisdiction of the United States District Court for the Southern District of Texas, Houston Division ("the Court"), for purposes of making a claim;
  - c. you agree to be subject to discovery with respect to the validity and/or amount of your claim;
  - d. you consent to summary disposition by the Court with respect to the validity and/or amount of your claim; and
  - e. you waive trial by jury (to the extent any such right may exist) with respect to the validity or amount of your claim.
5. You must mail the completed and **signed** Proof of Claim and supporting documents by first class mail, postage prepaid, **postmarked no later than July 15, 2002**, to:

**In re Waste Management, Inc. Securities Litigation  
c/o Complete Claim Solutions, Inc.  
P.O. Box 24655  
West Palm Beach, Florida 33416**

Your failure to complete and mail the Proof of Claim by July 15, 2002 may preclude you from receiving any share of the available distributions. So that you will have a record of the date of your mailing and its receipt by the Claims Administrator, you are advised to use certified mail, return receipt requested.

If you wish to submit your Proof of Claim in a manner other than by first class mail, then it must be **received** at the address above no later than July 15, 2002.

ANY PERSON WHO KNOWINGLY SUBMITS A FALSE PROOF OF CLAIM IS SUBJECT TO  
PENALTIES FOR PERJURY AND OTHER VIOLATIONS OF FEDERAL LAW.

## **I. PROOF OF CLAIM FORM**

(Please print or type)

### **IDENTITY OF CLAIMANT:**

(Complete only the applicable portions)

- |   |                                      |   |
|---|--------------------------------------|---|
| <input type="checkbox"/> Individual   | <input type="checkbox"/> Partnership | <input type="checkbox"/> Corporation                            |
| <input type="checkbox"/> Estate   | <input type="checkbox"/> Trust       | <input type="checkbox"/> Two or more persons<br>as joint owners |
| <input type="checkbox"/> IRA, Keogh or other type of Individual<br>Retirement Plan (indicate type of plan,<br>mailing address, and name of current custodian) |                                      | <input type="checkbox"/> Agent or Attorney                      |
| <input type="checkbox"/> Other (describe on separate sheet)   |                                      |   |

Fill in only those of the following that are applicable to you.

A. Legal Name of Claimant: \_\_\_\_\_

Mailing address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Daytime telephone number: \_\_\_\_\_

B. Legal Representative: \_\_\_\_\_

Mailing address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Daytime telephone number: \_\_\_\_\_

C. Taxpayer Identification Number (TIN)

Social Security Number: \_\_\_\_\_  
(for individuals)

**OR**

Taxpayer I.D. Number: \_\_\_\_\_  
(for estates, trusts, corporations, etc.)

**(Legal Representative of Claimants must attach Power of Attorney  
or other instrument showing authority to act as agent.)**

## **II. SCHEDULE OF ALL HOLDINGS OF WMX OR USA WASTE SECURITIES AS OF THE CLOSE OF BUSINESS ON JUNE 10, 1998**

Separately list each security, i.e., stock, notes (including convertible bonds) or options, of the securities of USA Waste Services, Inc. ("USA Waste"), and/or the former Waste Management, Inc. ("WMX"), you held as of the close of business on **June 10, 1998**.

<u>USA Waste</u>	<u>Number of Units</u>
Number of shares of common stock held on June 10, 1998	_____
Notes (Describe terms of each note, <u>i.e.</u> , coupon and maturity date)	_____
Call options (Describe terms of each option, <u>i.e.</u> , expiration date and strike price)	_____
Put options (Describe terms of each option, <u>i.e.</u> , expiration date and strike price)	_____

<u>Former Waste Management, Inc. ("WMX")</u>	<u>Number of Units</u>
Number of shares of common stock held on June 10, 1998	_____
Notes (Describe terms of each note, <u>i.e.</u> , coupon and maturity date)	_____
Call options (Describe terms of each option, <u>i.e.</u> , expiration date and strike price)	_____
Put options (Describe terms of each option, <u>i.e.</u> , expiration date and strike price)	_____

Attach a separate schedule if more space is needed. (Be sure to include your name on any separate sheets.)

## **III. SCHEDULE OF PURCHASES, ACQUISITIONS AND SALES OF WMX, USA WASTE, AND WMI SECURITIES DURING THE CLASS PERIOD**

Separately list each of your purchases, acquisitions and sales of WMI, WMX and USA Waste securities. Attach a separate schedule if more space is needed. (Be sure to include your name on any separate sheets.)

For purposes of this Schedule, you should list all transactions during the Class Period of June 11, 1998 to November 9, 1999 in securities of Waste Management, Inc. ("WMI") as well as transactions in the securities of USA Waste Services, Inc. ("USA Waste"), or in the securities of the former Waste Management, Inc. ("WMX") from June 11, 1998 to July 16, 1998.

The date of purchase and sale is the "trade" or "contract" date, and not the "settlement" or "payment" date. The purchase price is the price paid without regard to commissions or other expenses. The sale price is the price received without regard to commissions or other expenses.

### **PURCHASES OR ACQUISITIONS OF WMI COMMON STOCK**

List by date, number of shares purchased or acquired, price paid per share and total price paid for each purchase or acquisition you made of **WMI common stock** between June 11, 1998 and November 9, 1999, inclusive:

<u>Date(s) of Purchase/ Acquisition (List Chronologically) Month/Day/Year</u>	<u>Number of Shares Purchased/Acquired</u>	<u>Purchase Price Per Share</u>	<u>Total Price Paid*</u>
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____

### **SALES OF WMI COMMON STOCK**

List by date, number of shares sold, price received per share and total price received for each sale you made of **WMI common stock** between June 11, 1998 and November 9, 1999, inclusive:

<u>Date(s) of Sale (List Chronologically) Month/Day/Year</u>	<u>Number of Shares Sold</u>	<u>Sale Price Per Share</u>	<u>Total Price Received*</u>
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____

*\*without regard to commissions, fees, and taxes.*

## **PURCHASES OR ACQUISITIONS OF USA WASTE COMMON STOCK**

List by date, number of shares purchased or acquired, price paid per share and total price paid for each purchase or acquisition you made of **USA Waste common stock** between June 11, 1998 and July 16, 1998, inclusive:

<u>Date(s) of Purchase/ Acquisition (List Chronologically) Month/Day/Year</u>	<u>Number of Shares Purchased/Acquired</u>	<u>Purchase Price Per Share</u>	<u>Total Price Paid*</u>
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____

## **SALES OF USA WASTE COMMON STOCK**

List by date, number of shares sold, price received per share and total price received for each sale you made of **USA Waste common stock** sold between June 11, 1998 and July 16, 1998, inclusive:

<u>Date(s) of Sale (List Chronologically) Month/Day/Year</u>	<u>Number of Shares Sold</u>	<u>Sale Price Per Share</u>	<u>Total Price Received*</u>
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____

*\*without regard to commissions, fees, and taxes.*

### **PURCHASES OR ACQUISITIONS OF WMX COMMON STOCK**

List by date, number of shares purchased or acquired, price paid per share and total price paid for each purchase or acquisition you made of **WMX common stock** between June 11, 1998 and July 16, 1998, inclusive:

<u>Date(s) of Purchase/ Acquisition (List Chronologically) Month/Day/Year</u>	<u>Number of Shares Purchased/Acquired</u>	<u>Purchase Price Per Share</u>	<u>Total Price Paid*</u>
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____

### **SALES OF WMX COMMON STOCK**

List by date, number of shares sold, price received per share and total price received for each sale you made of **WMX common stock** sold between June 11, 1998 and July 16, 1998, inclusive:

<u>Date(s) of Sale (List Chronologically) Month/Day/Year</u>	<u>Number of Shares Sold</u>	<u>Sale Price Per Share</u>	<u>Total Price Received*</u>
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____

*\*without regard to commissions, fees, and taxes.*

### **PURCHASES OR ACQUISITIONS OF WMI NOTES**

List by date, face amount of notes purchased or acquired, price paid per note and total price paid for each purchase or acquisition you made of **WMI notes** between June 11, 1998 and November 9, 1999, inclusive:

<u>Date(s) of Purchase/ Acquisition (List Chronologically) Month/Day/Year</u>	<u>Face Amount of Notes Purchased/Acquired</u>	<u>Purchase Price Per Note</u>	<u>Total Price Paid*</u>
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____

### **SALES OF WMI NOTES**

List by date, face amount of notes sold, price received per note and total price received for each sale you made of **WMI notes** between June 11, 1998 and November 9, 1999, inclusive:

<u>Date(s) of Sale (List Chronologically) Month/Day/Year</u>	<u>Face Amount of Notes Sold</u>	<u>Sale Price Per Note</u>	<u>Total Price Received*</u>
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____

*\*without regard to commissions, fees, and taxes.*

### **PURCHASES OR ACQUISITIONS OF USA WASTE NOTES**

List by date, face amount of notes purchased or acquired, price paid per note and total price paid for each purchase or acquisition you made of **USA Waste notes** between June 11, 1998 and July 16, 1998, inclusive:

<u>Date(s) of Purchase/ Acquisition (List Chronologically) Month/Day/Year</u>	<u>Face Amount of Notes Purchased/Acquired</u>	<u>Purchase Price Per Note</u>	<u>Total Price Paid*</u>
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____

### **SALES OF USA WASTE NOTES**

List by date, face amount of notes sold, price received per note and total price received for each sale you made of **USA Waste notes** between June 11, 1998 and July 16, 1998, inclusive:

<u>Date(s) of Sale (List Chronologically) Month/Day/Year</u>	<u>Face Amount of Notes Sold</u>	<u>Sale Price Per Note</u>	<u>Total Price Received*</u>
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____

*\*without regard to commissions, fees, and taxes.*



### **PURCHASES OR ACQUISITIONS OF WMX NOTES**

List by date, face amount of notes purchased or acquired, price paid per note and total price paid for each purchase or acquisition you made of **WMX notes** between June 11, 1998 and July 16, 1998, inclusive:

<u>Date(s) of Purchase/ Acquisition (List Chronologically) Month/Day/Year</u>	<u>Face Amount of Notes Purchased/Acquired</u>	<u>Purchase Price Per Note</u>	<u>Total Price Paid*</u>
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____

### **SALES OF WMX NOTES**

List by date, face amount of notes sold, price received per note and total price received for each sale you made of **WMX notes** between June 11, 1998 and July 16, 1998, inclusive:

<u>Date(s) of Sale (List Chronologically) Month/Day/Year</u>	<u>Face Amount of Notes Sold</u>	<u>Sale Price Per Note</u>	<u>Total Price Received*</u>
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____
_____	_____	\$ _____	\$ _____

*\*without regard to commissions, fees, and taxes.*

### **PURCHASES OF WMI CALL OPTIONS**

List by date, number of contracts purchased, price paid per contract, expiration date (month/year), strike price, and total price paid for each purchase you made of **WMI call options** between June 11, 1998 through November 9, 1999, inclusive. Please indicate if option was assigned, exercised or expired.

<u>Date(s) of Purchase (Chronologically) Month/Day/Year</u>	<u>Number of Contracts</u>	<u>Purchase Price Per Contract*</u>	<u>Expiration Month/Year</u>	<u>Strike Price</u>	<u>Total Price Paid*</u>	<u>Assigned? Exercised? or Expired?</u>
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____

### **SALES OF WMI CALL OPTIONS**

List by date, number of call options sold, price received per contract, expiration date (month/year), strike price, and total price received for each sale you made of **WMI call options** between June 11, 1998 and November 9, 1999, inclusive:

<u>Date(s) of Sale (Chronologically) Month/Day/Year</u>	<u>Number of Contracts</u>	<u>Sale Price Per Contract*</u>	<u>Expiration Month/Year</u>	<u>Strike Price</u>	<u>Total Price Received*</u>
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____

*\*without regard to commissions, fees and taxes.*

### **PURCHASES OF USA WASTE CALL OPTIONS**

List by date, number of contracts purchased, price paid per contract, expiration date (month/year), strike price, and total price paid for each purchase you made of **USA Waste call options** between June 11, 1998 through July 16, 1998, inclusive. Please indicate if option was assigned, exercised or expired.

<u>Date(s) of Purchase (Chronologically) Month/Day/Year</u>	<u>Number of Contracts</u>	<u>Purchase Price Per Contract*</u>	<u>Expiration Month/Year</u>	<u>Strike Price</u>	<u>Total Price Paid*</u>	<u>Assigned? Exercised? or Expired?</u>
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____

### **SALES OF USA WASTE CALL OPTIONS**

List by date, number of call options sold, price received per contract, expiration date (month/year) strike price, and total price received for each sale you made of **USA Waste call options** between June 11, 1998 and July 16, 1998, inclusive:

<u>Date(s) of Sale (Chronologically) Month/Day/Year</u>	<u>Number of Contracts</u>	<u>Sale Price Per Contract*</u>	<u>Expiration Month/Year</u>	<u>Strike Price</u>	<u>Total Price Received*</u>
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____

*\*without regard to commissions, fees and taxes.*

### **PURCHASES OF WMX CALL OPTIONS**

List by date, number of contracts purchased, price paid per contract, expiration date (month/year), strike price, and total price paid for each purchase you made of **WMX call options** between June 11, 1998 through July 16, 1998, inclusive. Please indicate if option was assigned, exercised or expired.

<u>Date(s) of Purchase (Chronologically) Month/Day/Year</u>	<u>Number of Contracts</u>	<u>Purchase Price Per Contract*</u>	<u>Expiration Month/Year</u>	<u>Strike Price</u>	<u>Total Price Paid*</u>	<u>Assigned? Exercised? or Expired?</u>
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____

### **SALES OF WMX CALL OPTIONS**

List by date, number of call options sold, price received per contract, expiration date (month/year), strike price, and total price received for each sale you made of **WMX call options** between June 11, 1998 and July 16, 1998, inclusive:

<u>Date(s) of Sale (Chronologically) Month/Day/Year</u>	<u>Number of Contracts</u>	<u>Sale Price Per Contract*</u>	<u>Expiration Month/Year</u>	<u>Strike Price</u>	<u>Total Price Received*</u>
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____

*\*without regard to commissions, fees and taxes.*

### **SALES OF WMI PUT OPTIONS**

List by date, number of contracts sold, price received per contract, expiration date (month/year), strike price, and total price received for each sale you made of **WMI put options** between June 11, 1998 through November 9, 1999, inclusive. Please indicate if you know whether the option was assigned, exercised or expired.

<u>Date(s) of Purchase (Chronologically) Month/Day/Year</u>	<u>Number of Contracts</u>	<u>Price Per Contract*</u>	<u>Expiration Month/Year</u>	<u>Strike Price</u>	<u>Total Price Received*</u>	<u>Assigned? Exercised? or Expired?</u>
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____

### **PURCHASES OF WMI PUT OPTIONS**

List by date, number of contracts purchased, price paid per contract, expiration date (month/year), strike price, and total price paid for each purchase you made of **WMI put options** between June 11, 1998 and November 9, 1999, inclusive. Please indicate if option was assigned, exercised or expired.

<u>Date(s) of Purchase (Chronologically) Month/Day/Year</u>	<u>Number of Contracts</u>	<u>Price Per Contract*</u>	<u>Expiration Month/Year</u>	<u>Strike Price</u>	<u>Total Price Paid*</u>	<u>Assigned? Exercised? or Expired?</u>
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____
_____	_____	\$ _____	_____	_____	\$ _____	_____

*\*without regard to commissions, fees and taxes.*

### **SALES OF USA WASTE PUT OPTIONS**

List by date, number of contracts sold, price received per contract, expiration date (month/year), strike price, and total price received for each sale you made of **USA Waste put options** between June 11, 1998 through July 16, 1998, inclusive. Please indicate if you know whether the option was assigned, exercised or expired.

<u>Date(s) of Purchase (Chronologically) Month/Day/Year</u>	<u>Number of Contracts</u>	<u>Price Per Contract*</u>	<u>Expiration Month/Year</u>	<u>Strike Price</u>	<u>Total Price Received*</u>	<u>Assigned? Exercised? or Expired?</u>
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____

### **PURCHASES OF USA WASTE PUT OPTIONS**

List by date, number of contracts purchased, price paid per contract, expiration date (month/year), strike price, and total price paid for each purchase you made of **USA Waste put options** between June 11, 1998 and July 16, 1998, inclusive. Please indicate if option was assigned, exercised or expired.

<u>Date(s) of Purchase (Chronologically) Month/Day/Year</u>	<u>Number of Contracts</u>	<u>Price Per Contract*</u>	<u>Expiration Month/Year</u>	<u>Strike Price</u>	<u>Total Price Paid*</u>	<u>Assigned? Exercised? or Expired?</u>
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____

*\*without regard to commissions, fees and taxes.*

### **SALES OF WMX PUT OPTIONS**

List by date, number of contracts sold, price received per contract, expiration date (month/year), strike price, and total price received for each sale you made of **WMX put options** between June 11, 1998 through July 16, 1998, inclusive. Please indicate if you know whether the option was assigned, exercised or expired.

<u>Date(s) of Purchase (Chronologically) Month/Day/Year</u>	<u>Number of Contracts</u>	<u>Price Per Contract*</u>	<u>Expiration Month/Year</u>	<u>Strike Price</u>	<u>Total Price Received*</u>	<u>Assigned? Exercised? or Expired?</u>
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____

### **PURCHASES OF WMX PUT OPTIONS**

List by date, number of contracts purchased, price paid per contract, expiration date (month/year), strike price, and total price paid for each purchase you made of **WMX put options** between June 11, 1998 and July 16, 1998, inclusive. Please indicate if option was assigned, exercised or expired.

<u>Date(s) of Purchase (Chronologically) Month/Day/Year</u>	<u>Number of Contracts</u>	<u>Price Per Contract*</u>	<u>Expiration Month/Year</u>	<u>Strike Price</u>	<u>Total Price Paid*</u>	<u>Assigned? Exercised? or Expired?</u>
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____
_____	_____	\$_____	_____	_____	\$_____	_____

*\*without regard to commissions, fees and taxes.*

### **PURCHASES OR ACQUISITIONS OF WMI WARRANTS**

List by date acquired, number of warrants purchased or acquired, price paid, expiration date (month/year), total price paid, and exercise price for each purchase or acquisition you made of **WMI warrants** (in connection with Waste Management's acquisition of Eastern Environmental or otherwise) between June 11, 1998 through November 9, 1999, inclusive. Please indicate if the warrants were exercised or expired.

<u>Date(s) of Acquisition</u> (Chronologically) <u>Month/Day/Year</u>	<u>Number</u> <u>of</u> <u>Warrants</u>	<u>Price</u> <u>Paid</u>	<u>Expiration</u> <u>Month/Year</u>	<u>Total</u> <u>Price</u> <u>Paid*</u>	<u>Exercise</u> <u>Price Per</u> <u>Warrant</u>	<u>Exercised?</u> <u>or Expired?</u>
_____	_____	\$ _____	_____	\$ _____	_____	_____
_____	_____	\$ _____	_____	\$ _____	_____	_____
_____	_____	\$ _____	_____	\$ _____	_____	_____
_____	_____	\$ _____	_____	\$ _____	_____	_____
_____	_____	\$ _____	_____	\$ _____	_____	_____
_____	_____	\$ _____	_____	\$ _____	_____	_____
_____	_____	\$ _____	_____	\$ _____	_____	_____

### **SALE OF WMI WARRANTS**

List by date, number of warrants sold, price received, expiration date (month/year), exercise price, and total price received for each sale you made of **WMI warrants** between June 11, 1998 and November 9, 1999, inclusive:

<u>Date(s) of Sale</u> (Chronologically) <u>Month/Day/Year</u>	<u>Number</u> <u>of</u> <u>Warrants</u>	<u>Sales</u> <u>Price*</u>	<u>Expiration</u> <u>Month/Year</u>	<u>Exercise</u> <u>Price Per</u> <u>Warrant</u>	<u>Total Price</u> <u>Received*</u>
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____
_____	_____	\$ _____	_____	_____	\$ _____

*\*without regard to commissions, fees and taxes.*



**IV. SCHEDULE OF ALL HOLDINGS OF WASTE MANAGEMENT SECURITIES  
AS OF THE CLOSE OF BUSINESS ON NOVEMBER 9, 1999**

Separately list each security, i.e., stock, notes or options, of Waste Management, Inc. ("WMI"), you held as of the close of business on **November 9, 1999**.

<u>Waste Management</u>	<u>Number of Units</u>
Number of shares of common stock held on November 9, 1999	_____
Notes (Describe terms of each note, <u>i.e.</u> , coupon and maturity date)	_____
Call options (Describe terms of each option, <u>i.e.</u> , expiration date and strike price)	_____
Put options (Describe terms of each option, <u>i.e.</u> , expiration date and strike price)	_____
Warrants (Describe terms of each warrant, <u>i.e.</u> , expiration date and exercise price)	_____

Attach a separate schedule if more space is needed. (Be sure to include your name on any separate sheets.)

**V. VERIFICATION**

I (We) have read and am (are) familiar with the contents of the Instructions accompanying this Proof of Claim and I (we) verify that the information I (we) have set forth in the foregoing Proof of Claim and in documents attached hereto is true and correct and complete to the best of my (our) knowledge. I (We) am (are) not a Defendant(s) in the above-captioned Action and have not requested exclusion from the class by April 19, 2002. I (We) have attached hereto the original or legible copies of broker confirmation slips or statements or, if not available, other proof of the dates and amounts of my (our) purchases, acquisitions and sales of WMI securities. I (We) further certify that I (we) have read and am familiar with the accompanying Notice of Proposed Class Action Settlement, Right to Exclusion, and Hearing (the "Notice") to which this Proof of Claim relates. I (We) understand and agree that this Proof of Claim will be processed and will be allowed, if at all, in accordance with the procedures set forth in the Notice.

**Certification:** I declare under penalty or perjury under the laws of the United States of America that the foregoing information supplied by the undersigned is true and correct and that this Proof of Claim form was executed this \_\_\_\_ day of \_\_\_\_\_, 2002.

I (we) certify that I am (we are) not subjected to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code.

**NOTE:** If you have been notified by the Internal Revenue Service that you are subject to backup withholding, please strike out the language that you are not subject to backup withholding in the certification above.

The Internal Revenue Service does not require your consent to any provision other than the certifications required to avoid backup withholding.

_____ Signature of Owner	_____ Print name here	Date: _____
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_____ Signature of Joint Owner (if any)	_____ Print name here	Date: _____
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If the Claimant is other than an individual, or if the Claimant is not the person completing this form, the following must also be provided:

_____ Name of person signing	_____ Capacity of person signing (Executor, President, Trustee, etc.)	Date: _____
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