

## Auditing Policy

Marketware, Inc. shall audit access and activity of electronic protected health information (ePHI) applications and systems in order to ensure compliance. The Security Rule requires healthcare organizations to implement reasonable hardware, software, and/or procedural mechanisms that record and examine activity in information systems that contain or use ePHI. Audit activities may be limited by application, system, and/or network auditing capabilities and resources. Marketware, Inc. shall make reasonable and good-faith efforts to safeguard information privacy and security through a well-thought-out approach to auditing that is consistent with available resources.

It is the policy of Marketware, Inc. to safeguard the confidentiality, integrity, and availability of applications, systems, and networks. To ensure that appropriate safeguards are in place and effective, Marketware, Inc. shall audit access and activity to detect, report, and guard against:

- Network vulnerabilities and intrusions;
- Breaches in confidentiality and security of patient protected health information;
- Performance problems and flaws in applications;
- Improper alteration or destruction of ePHI;
- Out of date software and/or software known to have vulnerabilities.

This policy applies to all Marketware, Inc. Add-on systems, including BaaS, that store, transmit, or process ePHI.

### Applicable Standards from the HITRUST Common Security Framework

- 0.a Information Security Management Program
- 01.a Access Control Policy

- 01.b User Registration
- 01.c Privilege Management
- 09.aa Audit Logging
- 09.ac Protection of Log Information
- 09.ab - Monitoring System Use
- 06.e - Prevention of Misuse of Information

### Applicable Standards from the HIPAA Security Rule

- 45 CFR –ß 164.308(a)(1)(ii)(D) - Information System Activity Review
- 45 CFR –ß 164.308(a)(5)(ii)(B) & © - Protection from Malicious Software & Log-in Monitoring
- 45 CFR –ß 164.308(a)(2) - HIPAA Security Rule Periodic Evaluation
- 45 CFR –ß 164.312(b) - Audit Controls
- 45 CFR –ß 164.312©(2) - Mechanism to Authenticate ePHI
- 45 CFR –ß 164.312(e)(2)(i) - Integrity Controls