

# CAPPITECH



**Cappitech** is a market leader in regulatory reporting and compliance intelligence for the financial services industry. The company's Cappivate™ platform bridges the gap between financial institutions and regulators, enabling customers to both comply with international transaction reporting requirements and compete more effectively in the marketplace. The platform of choice for compliance professionals, Cappivate eases the reporting burden by automating operational tasks so organisations can focus on oversight. This cross-regulation platform provides a unified experience for all regulatory reporting along with an industry leading analytics dashboard to process and audit compliance reports. The Cappitech management team has been working together for more than a decade and leads a team of experienced FinTech professionals who have a proven track record of creating innovative technological financial solutions. Trusted by over 60 leading financial institutions and public companies, Cappitech's team supports customers through every step of the compliance process.

## S O L U T I O N S

### Regulatory Reporting Solutions

Through Cappitech's regulatory SaaS platform, customers can easily automate regulatory reporting and analyze daily trades to comply with international financial regulations including MiFID II, EMIR, RTS 27, RTS 28, Best Execution and ASIC. The Cappitech solution helps asset managers, banks, brokers and other financial institutions reduce their total cost of ownership, providing a cost-effective way to comply while gaining more insight into their regulation reporting.

### Regulatory Intelligence Solutions

Cappitech empowers financial services decision-makers to get a return on their regulatory investment by using their trading data to obtain business value. Using Cappitech's web-based dashboard, users of all levels have access to regulatory data-driven analytics and insights. They can use this solution to comply with Best Execution standards, improve their trading execution and expand their bottom line business results.

## Product Features

### Regulatory Analytics Dashboard

Capitalize on trading data to gain visibility into your organization and make informed, data-driven business decisions.

### Transaction Reporting

Automated reporting to compliant ARM or Trade Repository.

### GAP Analysis

Cappitech's analysts assist investment firms to determine what products are under scope and applicable trade data.

### End-to-end Automation

Using Cappivate, we handle, process and analyze trading data to provide robust capabilities for seamless reporting and compliance insights.

### A single point of integration for multiple regulation regimes

Cappitech provides the tools to make compliance easier by providing a unified platform for complying with several regulations.

### File aggregation and normalization

Cappivate is file format agnostic and supports input of numerous formats with data then normalized to a single date structure.

### Cost Benefits

Leverage Cappitech's relationships with ARMs / TRs and technology knowledge to substantially reduce total cost of ownership of MiFID II and EMIR compliance.



### Security

Security and data protection is part of everything we do, from encryption to secured login, to multi-tenant data segregation and state-of-the-art WAF and Antivirus system, we ensure your data is kept secured and confidential.

### Guided Expertise

Using our regulatory know-how and years of experience in the financial services industry, our Professional services team supports every stage of the compliance process from client onboarding through to operational support.

## The Cappitech Approach

We believe that our customers should focus on their core business objectives while leaving the heavy compliance burden to us. Cappitech's technology is designed to take care of the daily operational tasks so that financial institutions and compliance officers can move from focusing on regulatory operations to regulatory oversight. This hassle-free approach to compliance empowers compliance officers to bridge the gap between themselves and regulators and to report with confidence. It has an intuitive analytics dashboard that provides data-driven insights derived from the trading data in the system so that financial organizations can improve their business metrics and stay ahead of their competition.

## Industry Recognition and Awards

Cappitech has been named a RegTech 100 company – one of the world's most innovative RegTech companies by FinTech Global, a data and research firm.

## Partners and Investors

We believe in partnering with top financial and regulatory organizations who share our passion for improving regulatory compliance. Cappitech is backed by 83North, a well-established venture capital firm, as well as Markit founders, who bring with them deep domain expertise in the financial services industry.

**TRAX** **DTCC**  **CME Group**

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