



LOCATION

Twin Cities, MN

REVENUE

\$835,316

ASKING

\$2,000,000

Located in Minnesota’s Twin Cities, this IAR/RR firm takes pride in its client-centric, values-based approach to financial planning and investments. Using a comprehensive approach to wealth management, the firm strives to bring clarity and confidence to each client’s financial decisions. Annual gross revenue for this firm is approximately \$835,000, of which \$786,000 is fee income from \$108 million in managed assets, most of which is charged on a flat fee basis.

The principals seek an acquiring firm where responsiveness and forging a connection of trust with each client is paramount to their service philosophy. Interested buyers should have defined operational processes and use technology to enhance client service and internal efficiencies, have at least one Certified Financial Planner™ on staff, and an existing presence in the Twin Cities area. The firm’s two principals and a staff member wish to remain post-sale.

PRACTICE INFORMATION

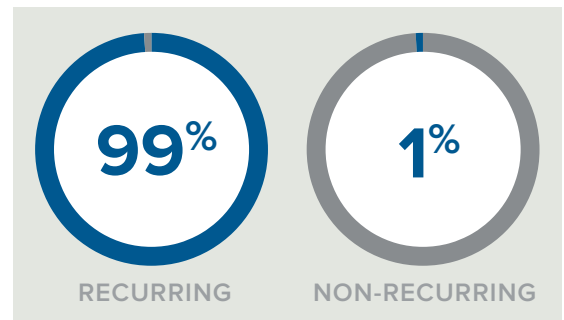
Assets Under Advisory	\$108,024,339
Assets Under Management	\$171,618,410
Households	120
Form of Ownership	LLC
Total Number of Employees	1

INQUIRE NOW

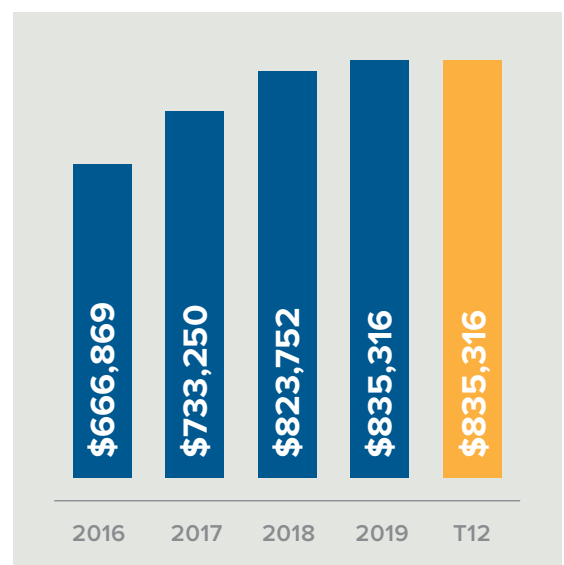
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REVENUE



HISTORICAL REVENUE





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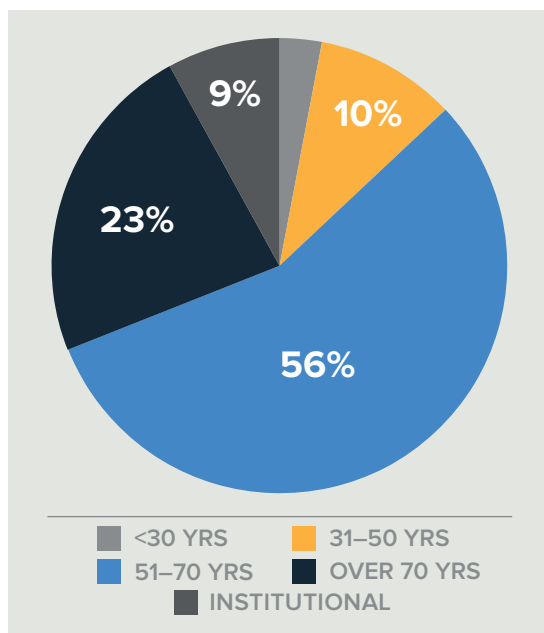
ASKING

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SELLER INFORMATION

Licenses	7, 63, 65, FINRA SIE exam
Designations	CPA, CFP®
Education	Bachelor's degree
Years in Business	12
Years in Industry	26
Seller's Age Range	54-58
Insurance Lines Carried	Life, Disability, LTC

CLIENT DEMOGRAPHICS



SOURCES OF REVENUE

	RECURRING REVENUE	NON-RECURRING REVENUE
FEE-BASED		
Fees from AUM	\$721,232	
Third-Party Assets	\$16,600	
401(k) Plans	\$48,031	
SECURITIES-BASED		
Mutual Funds	\$30,155	\$7,565
HOURLY-BASED		
Financial Planning	\$7,000	
INSURANCE-BASED		
Term-Life		\$647
Long-Term Care	\$1,022	\$2,407
Disability	\$507	
Other	\$150	
TOTALS	\$824,697	\$10,619

REVENUE SOURCES

