



Filer Manual – Volume II

EDGAR Filing

VERSION 54

September 2020

Notice

Use this EDGAR® Filer Manual when making electronic filings with the SEC. See Rule 301 of Regulation S-T.

The EDGAR Filer Manual consists of two volumes. EDGAR Filer Manual (Volume I) General Information introduces the requirements for becoming an EDGAR Filer and maintaining EDGAR company information. EDGAR Filer Manual (Volume II) EDGAR Filing illustrates the process to submit an online filing.

For up-to-date information regarding filing-related topics, consult the following EDGAR websites:

- Information for EDGAR Filers (<https://www.sec.gov/info/edgar.shtml>)
- EDGAR Gateway to submit filings (<https://www.portal.edgarfiling.sec.gov>)
- EDGAR Company Database (<https://www.edgarcompany.sec.gov>)

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To protect the privacy of our filing community, please **do not** include social security numbers in filings submitted to the Commission.

Updates

On September 21, 2020, the following changes will be made to the EDGAR Filer Manual as part of EDGAR Release 20.3:

- EDGAR will be updated to add the following new submission form types, as contemplated by Commission Release 33-10765, adopted March 11, 2020:
 - 497VPI: Initial summary prospectus for variable contracts filed pursuant to Securities Act Rule 497(k)
 - 497VPU: Updating summary prospectus for variable contracts filed pursuant to Securities Act Rule 497(k)
 - N-VP: Notice Document for Certain Variable Contracts
 - N-VP/A: Amendment to Notice Document for Certain Variable Contracts
 - N-VPFS: Financial Statements for Certain Variable Contracts
 - N-VPFS/A: Amendment to Financial Statements for Certain Variable Contracts

The new submission form types will be accessible by selecting the “EDGARLink Online Form Submission” link on the EDGAR Filing Website. Additionally, filers may construct XML submissions for these submission form types by following the “EDGARLink Online XML Technical Specification” document available on <https://www.sec.gov/edgar/filer-information/current-edgar-technical-specifications>. See Chapter 3 (Index to Forms) and Appendix C (EDGAR Submission Types) of the “EDGAR Filer Manual, Volume II: EDGAR Filing.”

- As part of Commission Release 33-10765, new exhibits for Forms N-3, N-4, and N-6 will be made available on EDGARLink Online. Filers will have the option to attach these new exhibits in official HTML or ASCII format (and unofficially in PDF format) on Forms N-3, N-4, and N-6. See Appendix E (Automated Conformance Rules for EDGAR Data Fields) of the “EDGAR Filer Manual, Volume II: EDGAR Filing.”
- After September 21, 2020, EDGAR will no longer accept the following form types due to the Commission’s rescission of the following form types: N-1, N-1/A, N-27E-1, N-27E-1/A, N-27F-1, and N-27F-1/A.
- Submission form types N-CEN and N-CEN/A will be updated to include the following changes:
 - Add new sub-item “k. Rule 6c-11 (17 CFR 270.6c-11)” to Item C.7
 - Update the static “Instruction” in Item E.2

For more information, see the “EDGAR Form N-CEN XML Technical Specification” document available on <https://www.sec.gov/edgar/filer-information/current-edgar-technical-specifications>.

- EDGAR will be updated to allow filers to “mark changed material” by redlining changed content in inline XBRL submissions for the following submission form types:
10-K, 10-K/A, 10-KT, 10-KT/A, 10-Q, 10-Q/A, 10-QT, 10-QT/A, 10-12B, 10-12B/A, 10-12G, 10-12G/A, 8-K, 8-K/A, 8-K12B, 8-K12B/A, 8-K12G3, 8-K12G3/A, 8-K15D5, 8-K15D5/A, 20-F, 20-F/A, 20FR12B, 20FR12B/A, 20FR12G, 20FR12G/A, 40-F, 40-F/A, 40FR12B, 40FR12B/A, 40FR12G, 40FR12G/A, 6-K, 6-K/A, SP 15D2, SP 15D2/A, POS AM, POS EX, POSASR, S-1, S-1/A, S-1MEF, S-3, S-3/A, S-3ASR, S-3D, S-3DPOS, S-3MEF, S-4, S-4/A, S-4EF, S-4MEF, S-4 POS, S-11, S-11/A, S-11MEF, F-1, F-1/A, F-1MEF, F-3, F-3/A, F-3ASR, F-3D, F-3DPOS, F-3MEF, F-4, F-4/A, F-4EF, F-4MEF, F-4 POS, F-10, F-10/A, F-10EF, F-10POS, 485BPOS, 497, 485APOS, 485BXT, N-1A, N-1A/A
- EDGAR will disallow the IFRS-2018 taxonomy, DEI-2018 taxonomy, and Risk Return taxonomy 2018 linkbases (presentation, calculation, and definition links). Please see <https://www.sec.gov/info/edgar/edgartaxonomies.shtml> for the complete list of supported standard taxonomies.