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| FORM 4 |

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

* For information on self-filing Section 16 forms online, contact [e-support@toppanmerrill.com](mailto:e-support@toppanmerrill.com?subject=Requesting%20information%20about%20self-filing%20Section%2016%20forms).
* Practically every element in the Non Derivative Security and Derivative Security tables can be footnoted. **Footnotes can only be used in TABLES, not in other parts of the worksheet.** A single field can be associated with multiple footnotes, and multiples fields can be associated with the same footnote. The key constraint here is that the footnotes must begin with the letter “F”, not a number. **The EDGAR convention for labeling footnotes is “F1”, “F2”, and so on.** Even though footnotes are entered as F1,F2, etc., the footnotes will show up on the proof PDF as (1), (2), etc.
* The SEC may auto-populate some fields once the filing is submitted.
* Link to SEC Q&A: <http://www.sec.gov/divisions/corpfin/sec16faq.htm>
* The telephone number for the SEC Office of EDGAR & Information Analysis is 202-551-3610, they can assist you with any question regarding the requirements for Section 16.

**Reporting Person(s) Information**

**NOTE:** For multiple reporting owners add additional rows. Reporting owner name is only collected for proofing purposes. The SEC will discard any name provided on this form and replace it with the name that is on record with the SEC using the reporting owner CIK. Place an **X** or specify in all that apply.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Reporting Owner | | | Relationship of Reporting Person | | | |
| Name | CIK | CCC | Director | Officer Title (30 characters maximum) | 10% Owner | Other (specify) (30 characters maximum) |
|  |  |  |  |  |  |  |

**Date of Earliest Transaction**

      Mandatory **(Must be of the format YYYY-MM-DD)**

**If Amendment, Date Original Filed**

**(Must be of the format YYYY-MM-DD)**

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.  
 *See* Instruction 1(b).

**Issuer CIK and Ticker or Trading Symbol**

(Issuer CIK)       Mandatory

(Ticker or Trading Symbol)       Mandatory

## Address of Reporting Person

**NOTE:** The address is optional. If you do not provide an address the SEC will use the address on record with the SEC using the reporting owner CIK.

**NOTE:** If you are reporting multiple person(s) and provide an address below that address will be used for all reporting person(s). If you do not provide an address the SEC will use the address for each reporting person on record with the SEC.

Street 1:

Street 2:

City:

State:

Zip Code:

**NOTE:** Use the “Remarks” field below as a **form** **footnote**. There is a 2000 character limit.

Remarks

**NOTE:** The SEC recommends that "by power of attorney" be typed after the signature of the person holding the power of attorney (Example: John Jones, by power of attorney)

**NOTE:** Insert additional rows if this form includes multiple signatures.

| **\*\*Signature of Reporting Person(s)** (255 characters maximum) | **Date** (**YYYY-MM-DD**) |
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| **Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned** | | | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **T** (Transaction) or **H** (Holding) | 1.Title of Security (Instr. 3) | 2.Transaction Date (**YYYY-MM-DD**) | 2A. Deemed Execution Date, if any (**YYYY-MM-DD**) | 3. Transaction Code (Instr. 8) | | | 4. Securities  (Instr. 3, 4, and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transactions(s) | | 6. Ownership Form: **D** (Direct) or **I** (Indirect) | 7. Nature of Indirect Beneficial Ownership |
| Transaction Code | Does this transaction involve an Equity Swap (enter **Y** or **N** only) | Is this a Form 5 transaction being reported early? (enter **Y** or **N** only) | Number of Securities | Price | **A** (Acquired)  or **D** (Disposed) of |
| U.S. Dollars **OR** | Number of Securities |
| start here |  |  |  |  |  |  |  |  |  |  |  |  |  |

Enter data in table row above. Add more rows as needed, 30 transactions/holdings is the maximum allowable by the SEC.

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **T**ransaction | m1 | m | o2 | m | m3 | m4 | m | m6 | m9 | m5 | m7 | cm8 |
| **H**olding | m1 | na | na | na | na | na | na | na | na | m5 | m7 | cm8 |

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| m | mandatory | 1. Maximum 60 characters. 2. May have footnote **only**, **or** date **and** footnote. 3. If **Y** is entered, the SEC will add a “**/K**” after the code in the “Transaction Code” column and in your proof PDF received from Toppan Merrill. 4. If **Y** is entered the SEC will enter the letter **V** (for voluntarily reporting early) in the column with the column heading “V” which will be found in your proof PDF received from Toppan Merrill. 5. Must have **EITHER** U.S. Dollars **OR** Number of Securities, **not both**. 6. If no value is provided a footnote is required. 7. If “**I**” (Indirect) is entered "Nature of Indirect Beneficial Ownership" (next column) must be provided. If D then "Nature of Indirect Beneficial Ownership" must be blank. 8. Required IF “**I**” (Indirect) is entered in the previous column. There is a 100 character limit. 9. Footnotes are not allowed in this field. |
|  |  |
| cm | conditional mandatory dependent on other values |
|  |
|  |  |
| o | optional when applicable |
|  |
| na | not allowed for Holdings |

| **Table II - Derivative Securities Beneficially Owned (*e.g.*, puts, calls, warrants, options, convertible securities)** | | | | | | | | | | | | | | | | | | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **T** (Transaction) or **H** (Holding ) | 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (**YYYY-MM-DD**) | 3A. Deemed Execution Date, if any (**YYYY-MM-DD**) | 4. Transaction Coding | | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) | | | 6. Date Exercisable and Expiration Date | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | | 8. Price of Derivative Security | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction **Dollars OR Number NOT both** | | 10. Ownership Form: **D** (Direct) or **I** (Indirect) | 11. Nature of Indirect Beneficial Ownership |
| Transaction Code | Does this transaction involve an equity swap? (enter **Y** or **N** only) | Is this a Form 5 transaction being reported early? (enter **Y** or **N** only) | Dollar Value **OR** Number of Securities **NOT** both | | Acquired **A** or Disposed of **D** | Date Exercisable (**YYYY-MM-DD**) | Expiration Date (**YYYY-MM-DD**) | Title | Dollar Value **OR** Number of Securities **NOT** both | |
| Number of Securities | **OR** U.S. Dollars | U.S. Dollars **OR** | Number of Securities |
| U.S. Dollars **OR** | Number of Securities |
| start here |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |

Enter data in table row above Add more rows as needed, 30 transactions/holdings is the maximum allowable by the SEC.

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **T**ransaction | m1 | m2,6 | m | o3 | m | m4 | m5 | m7 | m11 | m6 | m6 | m | m7,6 | m6,8 | m7 | m9 | cm10 |
| **H**olding | m1 | m2,6 | na | na | na | na | na | na | na | m6 | m6 | m | m7,6 | na | m7 | m9 | cm10 |

|  |  |  |
| --- | --- | --- |
| m | mandatory | 1. Maximum 60 characters. 2. Enter the conversion or exercise price of the derivative security (up to 20 digits). Valid values range from 0 to 999,999,999,999.9999. 3. May have footnote **only**, **or** date **and** footnote. 4. If **Y** is entered, the SEC will add a “**/K**” after the code in the “Transaction Code” column and in your proof PDF received from Toppan Merrill. 5. If **Y** is entered the SEC will enter the letter **V** (for voluntarily reporting early) in the column with the column heading “V” which will be found in your proof PDF received from Toppan Merrill. 6. If no value is provided a footnote is required. 7. The value entered should state the face amount of debt securities in U.S. Dollars **OR** the number of equity securities, **whichever is appropriate**. **You cannot provide a value for both.** Zero is allowed. 8. Enter the price of the derivative security (up to 20 digits). The value entered should be in U.S. Dollars. Valid values range from 0 to 999,999,999,999**.**9999 (note: only four digits allowed after decimal). 9. If “**I**” (Indirect) is entered "Nature of Ownership" (next column) must be provided. If “**D**” then "Nature of Indirect Beneficial Ownership" must be blank. 10. Required IF “**I**” (Indirect) is entered in the previous column. There is a 100 character limit. 11. Footnotes are not allowed in this field. |
|  |  |
| cm | dependent on other values |
|  |  |
| o | optional when applicable |
|  |  |
| na | not allowed for Holdings |

**Explanation of Responses:**

**NOTE:** F1,F2, etc., no parenthesis. Footnotes cannot exceed 1,000 characters including spaces. You can use two footnotes if your footnote text exceed 1,000 characters. Add more rows as needed. Footnotes can **only** be associated with data in **tables**. To get a character count copy your footnote text into a new document and select **Properties** under the **File** menu. Click the **Statistics** tab and look at **Characters (with spaces)**.

| Footnote number | Footnote text |
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