

KYC Spider | Release Notes 08.10.2019

CHECK CUSTOMER

NEW The KYC Check button has been renamed to «CHECK RISK».

VERIFY RISKS

NEW Potential hits are now shown directly in the Toolbox:

The screenshot displays the 'TOOLBOX' tab in the KYC Spider application. The interface is divided into two main sections: 'PERSON' and 'VERIFICATION'.

PERSON Section:

- Reference:** Contract (empty-ref)
- Last Name:** Muster Max
- First Name:** (empty)
- Date of Birth:** 77.77.1948 (e.g. 03.10.2019)
- Citizenship(s):** (empty)
- Country of Residence:** (empty)
- Mobile Number:** (empty) (e.g. +41 78 333 44 31)
- Email:** (empty)
- Preferred language:** English
- Buttons:** RESET, UPDATE CUSTOMER, SEARCH CUSTOMER

VERIFICATION Section:

- Asse... Name:**
 - > 1 TP FP Muster Max
 - > 2 TP FP Muster Max
 - > 3 TP FP Muster Max
- Country:** CH CH
- Date of Birth:** CH CH
- Category:** C B C C
- Primary Name:** Muster Max
- Alternative Spelling:**
 - Muster Meier Max
 - Muster Mann Max
 - Muster M. Max
 - Muster Max Melchior
 - Muster Monk Max
 - Muster Max Marcel
 - Muster Max Meinrad
 - Muster Max Manuel
 - Muster Max
- Original Script:** (empty)
- Country of Residence:** CH
- Citizenship:** CH
- Collection:** RCA-PEP (KYC)
- Relation:**
 - > Muster Meier Max (Grandfather)
 - > Muster Mann Max (Uncle)
 - > Muster M. Max (Father)
 - > Muster Max Melchior (Uncle)
 - > Muster Monk Max
 - > Muster Max Marcel
- Buttons:** BACK TO OVERVIEW, CLOSE VERIFICATION

Hits can be processed directly in the toolbox by verifying whether it is a True Positive / True Hit (TP) or a False Positive / False Hit (FP). Once the verification has been completed, a KYC Investigation Report is generated and available in the Toolbox. No risk proposal is displayed by the system and no decision regarding risk categorization needs to be taken with this step. The risk for the individual category results from the evaluation TP or FP.

The risk is classified under "Assess Contract". The layout of the report has changed slightly.

RE-CHECK INKL. WHITELISTING

NEW Re-Check Option including Whitelisting is now available to you. If your customer data is saved in the toolbox via Document Store, the verification and risk assessment of the respective entity is saved for you. Thus, when a new KYC check is performed, which can either be performed manually and individually for each entity by uploading a CSV file or by retransmitting the customer data via API, only the changes are noted. The by a change of status affected entities are marked with "to be verified" and displayed in the customer overview. In order to process these verifications efficiently, a new filter function is available (see also COSTUMER LIST).

CONTRACT

NEW the status of a contract can be changed manually from «to be processed» to «to be assessed». The following contract states are available:

«to be processed»

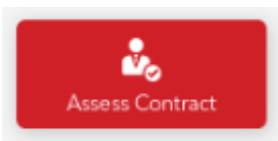
This status is shown if different steps such as KYC Check, Verification, Video Identification etc. are needed to be performed for an entity.

«to be assessed»

If the status is changed to «to be assessed», the contract group is ready for the risk assessment.

«assessed»

The risk categorisation has been completed.



The state "assessed" can be fulfilled via "Assess Contract". This button is used to categorize the risk.

CUSTOMER LIST

NEW the search filter can be fold out or fold in. A new filter "to be verified" shows you that a verification of potential hit is pending for the respective customer.

The risk per entity is displayed in an overview of two columns. The first column displays the risk of the KYC Check (via Check Risk). The second column displays the verification risk (via Assess Contract). The risks are displayed as follows

- (C) No indications (no or minimal risk)
- (B) Indications of increased risk
- (A) Indications of high risk

Should the KYC Check or the Verification have not been completed yet, it displays a «?».

TOOLBOX CUSTOMERS CONTRACTS SCANS 61,175

CUSTOMERS

Filter

Sort

Filter

APPLY

Reference	Name	Type:	Born	Countries	Last Modification	Risks
2019_10_03_ID05	Muster Max	PERS			03.10.2019 14:53	A ?
2019_10_03_ID02	Muster Max	PERS			03.10.2019 14:49	B ?
pm-1	Muster Max	PERS			02.10.2019 11:06	B C
2019_09_30_ID08	Muster Max	PERS			01.10.2019 06:48	A ?
2019_09_30_ID09	Muster Max	ORG			01.10.2019 06:48	A ?
2019_09_30_ID06	Muster Max	PERS			01.10.2019 06:48	B ?
2019_09_30_ID10	Muster Max	ORG			01.10.2019 06:48	A ?
2019_09_30_ID02	Muster Max	PERS	1999-11-11		30.09.2019 20:37	B ?
2019_09_30_ID05	Muster Max	PERS			30.09.2019 16:22	A ?
2019_09_20_ID02	Muster Max	PERS			30.09.2019 12:42	B ?
2019_09_20_ID01	Muster Max	PERS			30.09.2019 12:42	B ?
2019_09_28_ID000004	Muster Max	PERS			28.09.2019 10:07	B ?
2019_09_28_ID000002	Muster Max	PERS			28.09.2019 09:35	B ?
2019_09_28_ID00002	Muster Max	PERS			28.09.2019 09:05	B ?
2019_09_28_ID0002	Muster Max	PERS			28.09.2019 08:17	B ?

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CONTRACT LIST

NEW the search filter can be fold out or fold in. A new filter function «new verification» is available. There are the entities listed which have experienced a change in status during a re-check and therefore a renewed risk categorisation should be carried out.

Furthermore, the risk is now shown with reference to the entire contractual relationship. Once the final risk assessment (assess contract) has been carried out, the classified risk or decision is shown as following

- Low Risk
- Medium Risk
- High Risk
- Very high risk
- Rejected

If the classification has not been completed, you will see a «?».

TOOLBOX CUSTOMERS **CONTRACTS** SCANS 61,175

CONTRACTS Filter

Filter

☒ To be processed
☒ To be assessed
☒ Assessed

☐ Approved
☐ Rejected
☒ New Verification

Sort

Last Modification Descending **APPLY**

Reference	Name	State	Last Modification	Risks
[empty-ref]	Muster Max	Assessed	03.10.2019 14:55	Medium
[empty-ref]	Muster Max	Assessed	03.10.2019 14:51	Low
mmax-contract	Muster Max	Assessed	03.10.2019 12:47	Low
[empty-ref]	Muster Max	To be processed	03.10.2019 11:26	?
p-1	Muster Max	To be processed	03.10.2019 09:23	?
[empty-ref]	Muster Max	Assessed	02.10.2019 20:53	Low
[empty-ref]	Muster Max	Assessed	02.10.2019 13:42	Low
[empty-ref]	Muster Max	To be processed	02.10.2019 10:49	?
[empty-ref]	Muster Max	To be processed	02.10.2019 09:27	?
[empty-ref]	Muster Max	Assessed	02.10.2019 07:23	Low
[empty-ref]	Muster Max	Assessed	01.10.2019 09:16	Low
[empty-ref]	Muster Max	To be processed	01.10.2019 09:01	?
[empty-ref]	Muster Max	Assessed	01.10.2019 06:53	Rejected
[empty-ref]	Muster Max	Assessed	30.09.2019 16:22	Low
[empty-ref]	Muster Max	Assessed	30.09.2019 16:21	Low

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