

Advisor Selection Checklist



ADVISOR			
Do I feel my advisor cares about me, my loved ones, and my goals?			
Is my advisor responsive to me?			
Is my advisor proactive?			
Advisor Peace of Mind Rating	/ 3	/ 3	/ 3
FIRM			
	FIRM #1: EP WEALTH	FIRM #2	FIRM #3
Fee-Only Fiduciary	✓		
No commissions on Insurance	✓		
No commissions on Investment Products	✓		
Personal Website and Real Time Financial Aggregator	✓		
Investor Education Events and Newsletters	✓		
Dedicated Financial Planning Department	✓		
Certified Financial Planners™	✓ 17 CFPs on staff		
Employee Benefits and Stock Options Analysis	✓		
Real Estate Analysis	✓		
Social Security Analysis	✓		
College Funding	✓		
Business Planning for Small Business Owners and Executives	✓		
Insurance Analysis	✓		
Medicare Analysis	✓		
Financial Planning Predictive Modeling (Monte Carlo Analysis)	✓		
Dedicated Investment Management Team	✓		
Decisions Made by 7 person Investment Committee	✓		
Active and Passive Investment Options	✓		
In-house Research by CFA® charterholders	✓ 6 CFA® charterholders		
Institutional Research	✓		
Individual Stocks and Bond Strategies	✓		
Liquid Investments	✓		
Transparent Performance Reporting	✓		
Annual Reviews	✓		
Firm Peace of Mind Rating	24 / 24	/ 24	/ 24
Total Peace of Mind Rating	/ 27	/ 27	/ 27

This Advisor Selection Checklist was developed and created by EP Wealth Advisors ("EPWA") and can be used as a tool to evaluate advisors and advisory firms. However, this checklist is not intended to be regarded as a comprehensive list of all categories, requirements, or qualifications that a client or prospective client should consider when assessing or comparing Financial Advisors and/or Firms. There are factors that may be important to you that were not considered and are not accounted for in this checklist. EPWA makes no representations or warranties as to the accuracy, timeliness, suitability, completeness, or relevance of any information presented in this report. There is no guarantee or warranty that hiring or working with an Advisor and/or Advisory Firm that meets all or a majority of the categories or obtains a high Total Peace of Mind Rating score will increase the probability of investment success or that you will achieve higher performance or results, nor does it guarantee that you will obtain better overall client experience. All investments and investment strategies are subject to profit and loss and the risk of investment loss can never be eliminated. EPWA is not in the business of providing tax or legal services or advice. Always consult your tax advisor and/or attorney regarding your specific situation.