



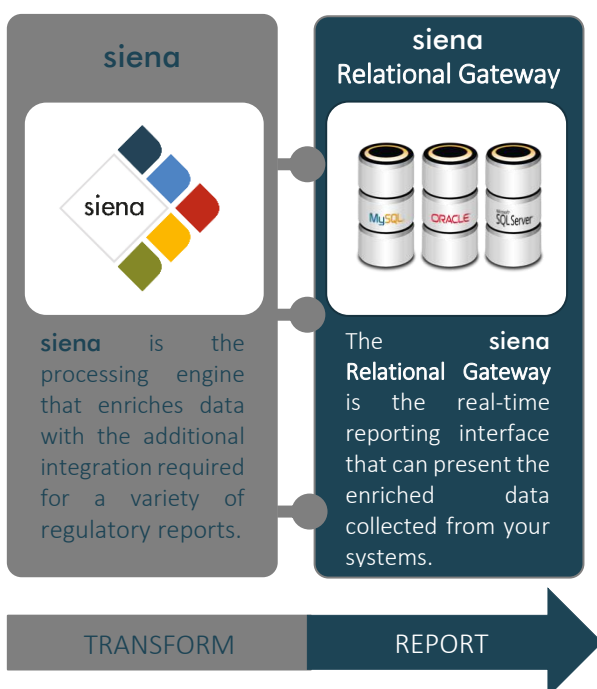
## One solution for all your regulatory requirements

Regulatory compliance is a fact of life for financial institutions, and the banking industry has been heavily affected by a multitude of new compliance requirements. Banks are looking for tools that allow them to address not one, but several regulations at one time.

The lack of a single, standardised, real-time source for validated, up-to-date information creates huge challenges for banks, which results in duplicated effort and costs for the financial industry. For many banks, this means making significant changes to their existing IT infrastructure in order to comply with regulations. Banks are increasingly realising that they cannot delay in meeting these regulatory pressures, as exemplified by MiFID II\* timelines.

MiFID II compliance is designed to improve efficiency, transparency and safety within the financial services sector.

To reduce effort and cost, we created **siena Regulatory Reporting**, a global solution that allows your bank to meet critical regulatory obligations in real-time and more importantly to **seamlessly integrate with your existing infrastructure**; this means you do not need to replace it, you **simply connect siena to your current systems**.



### Key Benefits

#### Fast protection for you organisation

Customers prefer **siena** because it:

- ✓ Covers pre-trade compliance, execution and post trade disclosure;
- ✓ Ties all the quotes and deals transacted for a client and all the interactions with an institution to all source documents;
- ✓ Can help you comply instantly.

#### Higher control for you teams

Your teams can:

- ✓ Set all parameters and permissions and monitor exceptions in real-time;
- ✓ Run full audits and reports to meet all your regulatory requirements.

## Protection and control at your finger tips

With **siena Regulatory Reporting**, you can comply with regulation by addressing:

- ✓ Client oversight;
- ✓ Appropriateness and control;
- ✓ Pre-trade compliance;
- ✓ Record keeping and telephony;
- ✓ Trade and order handling;
- ✓ Post trade disclosure;
- ✓ Best execution;
- ✓ Client reporting;
- ✓ Market data reporting;
- ✓ Transaction/trade reporting;
- ✓ Systematic internalisation.

### Key Features

- ✓ Reporting through active blotters and reports;
- ✓ Control your users access and manage workflow functions;
- ✓ 'On behalf of' and 'in the name of' functionality supporting agency trading;
- ✓ Repository for all quotes and deals, however executed;
- ✓ Simple data reporting from a harmonised DataMart;
- ✓ Easy to use contact manager;
- ✓ Supports your best execution policy;
- ✓ Monitors breaches in real-time;
- ✓ Pre-trade customer segmentations;
- ✓ Reconciliation with the trade repositories.

### Why eurobase?

We have been working with banks for over **25 years** in over **25 countries**. We understand the cost and effort that customers need to apply in order to meet their fiduciary obligations whilst remaining competitive.

We offer a consultancy approach that allows us to understand your requirements and provide you with a unique but cost-effective tailored solution. At **eurobase** we support all trading styles including voice and agency trading.

The financial industry has transformed in the last twenty five years and despite its volatility, at **eurobase** we have grown and rapidly evolved to provide our customers with relevant, up-to-date solutions that have the versatility to respond to the changing demands of the industry.

Our promise to our customers is to provide the most **reliable** software solutions in the financial services industry. We design our solutions with quality and deliver a **mindful** and **personal** service.

\*MiFID is the Markets in Financial Instruments Directive (2004/39/EC). It has been applicable across the European Union since November 2007. It is a cornerstone of the EU's regulation of financial markets seeking to improve the competitiveness of EU financial markets by creating a single market for investment services and activities and to ensure a high degree of harmonised protection for investors in financial instruments.