

CR Capital Distributors, LLC Business Continuity Plan Summary Disclosure Statement

CR Capital Distributors, LLC (“CCD” or “the Firm”) has developed a Business Continuity Plan (“BCP”) outlining how the Firm will respond to events that significantly disrupt its business. Since the timing and impact of disasters and disruptions is unpredictable, CCD will be flexible in responding to actual events as they occur which may impact business operations.

Contacting Us

If after a significant business disruption, you cannot contact CCD as you usually do, you should go to the Firm’s web site at [www.crcapitalgroup.com]. The website will provide information on how to best contact the Firm.

Business Continuity Plan

CCD intends to quickly recover and resume business operations after a significant business disruption and respond by safeguarding employees and property, making a financial and operational assessment, protecting the Firm’s books and records, and taking measures to resume normal business activities. In short, the Firm’s BCP is designed to permit the Firm to resume operations as quickly as reasonable, given the scope and severity of the significant business disruption.

The Firm’s BCP addresses: data backup and recovery; mission critical systems; financial and operational assessments; alternative communications with customers, employees, and regulators; alternate physical location of employees; critical supplier, contractor, regulatory reporting.

Varying Disruptions

Significant business disruptions can vary in scope, such as only affecting the Firm, a single building housing the Firm, the business district where the Firm is located, the city where the Firm is located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only the Firm or a building housing the Firm, CCD will transfer operations to a local site when needed and expect to recover and resume business within a few hours’ time. In a disruption affecting the Firm’s business district, city, or region, CCD will transfer operations to a site outside of the affected area, and recover and resume business promptly.

For More Information

If you have questions about CCD’s business continuity planning, you may contact CCD at mail@crcapitalgroup.com or call (949) 629-4330.