

Mediant

# BROKER SERVICES

Enriching customer relationships through better communications



[www.mediantinc.com](http://www.mediantinc.com)

## OUR Broker Services

Brokers are challenged with managing the proliferating touchpoints within investor communications. Between proxy statements, prospectuses, mutual fund reports, and more, your customers may be getting in excess of one hundred types of documents from their securities issuers. Mediant's broker services help you turn compliance into opportunity by enabling you to use every document as a chance to enhance your customer's experience and build a better relationship.

### WHY MEDIANT BROKER SERVICES

#### TECHNOLOGY

Our single, integrated MIC platform provides a robust suite of solutions for financial advisors, retail investors, and managed accounts.

#### EFFICIENCY

Mediant offers increased efficiency by streamlining the investor communications process combined with transparent, real-time reporting. This way, we reduce your compliance risk and allow brokers to focus on their clients' needs.

#### BEST-OF-BREED EXPERTISE

Mediant specializes in investor communications. We partner with leading firms like Donnelley Financial Solutions to ensure we're providing scale. Our expert teams provide true partnership and measurable results.

#### STRICT COMPLIANCE

Documented business rules and frequent regulatory updates and alerts ensure strict compliance with evolving regulatory requirements.



## WHAT We Do

Mediant provides the brokerage industry's only end-to-end solution for investor communications. Our single, integrated platform offers total transparency and flexibility to be customized specifically to your needs.

### ELECTRONIC INVESTOR COMMUNICATIONS

- E-delivery
- Document hosting
- Compliance monitoring

### STATEMENTS & CONFIRMS

- Statements and trade confirmation prospectuses
- Print and electronic distribution

### PRE-SALE SUPPORT

- Advisor delivery of prospectus and marketing materials to investors

### POST-SALE PROSPECTUS & REGULATORY

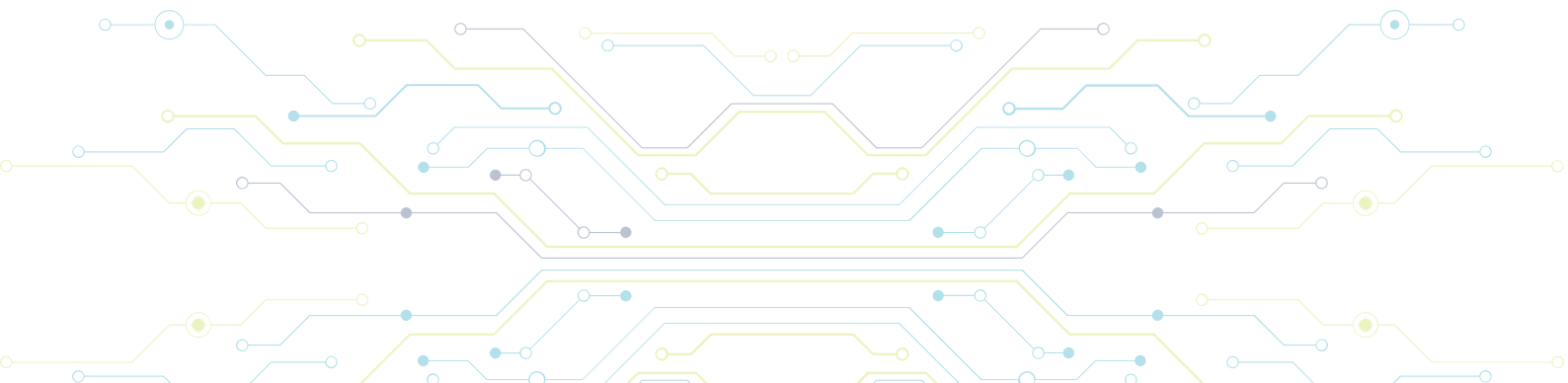
- T+1 prospectus fulfillment for daily broker trades
- Inline combined confirm and prospectus
- Distribution of mutual fund regulatory documents

### PROXY SERVICES

- Full coverage of all beneficial proxy events
- Distribution, voting, and tabulation for retail and managed accounts

### CORPORATE ACTIONS & BANKRUPTCY

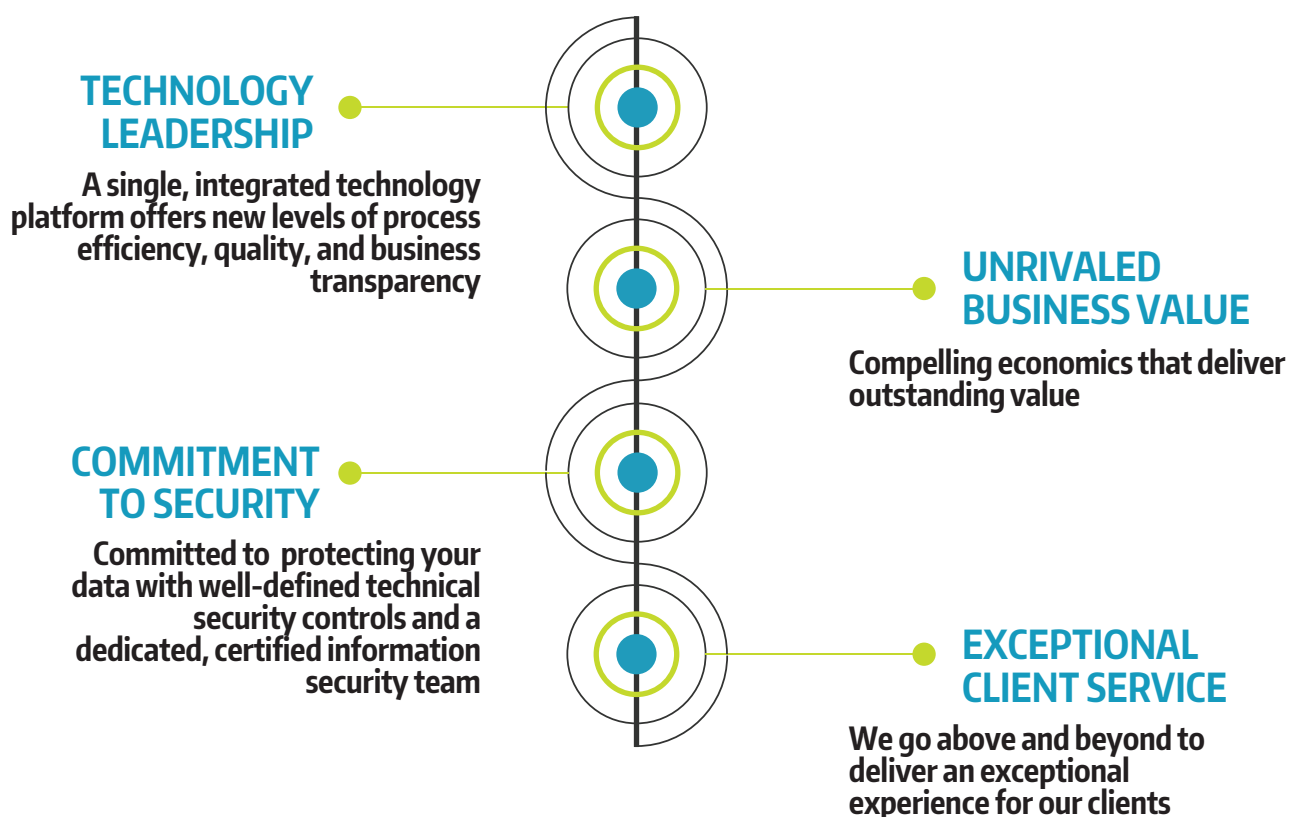
- Voluntary reorganizations and bankruptcy notifications customized to client requirements
- Print and electronic distribution



## ABOUT Mediant

Mediant powers investor communications through intelligent technology and passionate people. We don't settle for the status quo. Mediant actively develops game-changing new technologies for banks, brokers, corporate issuers, funds, and investment advisors.

We connect the entire investor communications community through our single, secure platform. Unlock new levels of efficiency and accuracy through the unprecedented transparency of the Mediant platform. Our goal? To help you enrich your customer relationships through better communications.



# THE MEDIANT SOLUTIONS SUITE FOR BROKERS

Mediant provides a comprehensive suite of solutions designed to support every investor communications touchpoint.



# MIC

## MIC PROXY MANAGER

- Makes proxy voting, reporting, and account management easy and reliable

## MIC INVESTOR MAILBOX

- Documents become immediately available to investors in MIC Investor Mailbox
- Support for all investor communications, including proxy ballots, meeting materials, prospectuses, mutual fund reports, corporate action notifications, statements, trade confirmations, and more

## MIC OPERATIONS MANAGER

- Unparalleled transparency with granular detail on all jobs
- Piece-level tracking and proof of delivery data
- Robust compliance monitoring

## MIC ADVISOR MAILBOX

- Immediate access for advisors to see all investor communications
- Custom alert e-mails and calendar reminders



Discover how Mediant can help  
by contacting a member of our expert team.

**Call us at 212-440-6803  
or e-mail [info@mediantinc.com](mailto:info@mediantinc.com)**

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