



MEDIANT End-to-End Proxy Solution

EXPERTLY DESIGNED FOR MUTUAL FUNDS, VARIABLE ANNUITIES, AND ALTERNATIVE INVESTMENTS

For more than a decade, Mediant has delivered investor communications and technology solutions to broker-dealers, corporate issuers, banks, mutual funds, and investment advisors. Our proven reputation is built on our pioneering use of technology to deliver solutions that help clients save money, improve relationships with shareholders, and safeguard regulatory compliance.

Our transformational end-to-end proxy solution is powered by intelligent technology and a dedicated team of experts who specialize in mutual fund, variable annuity, and alternative investment proxy meeting events. Our goal? To maximize your shareholder engagement and cost efficiencies through superior technology, unmatched client service, and strict compliance.

TECHNOLOGY

Mediant provides custom-fit solutions to meet the needs of our mutual fund, variable annuity, and alternative investment clients now and in the future. Mediant's intuitive technology and software solutions are designed with your operational needs in mind. Our MIC technology platform is integrated and easy-to-use so that you can streamline complex communications, increase operational efficiency, and maintain strict regulatory compliance.

REGULATORY COMPLIANCE

Mediant ensures clients are compliant by providing excellent supervisory tools, comprehensive real-time, online reporting, and publishing monthly regulatory updates and alerts. Our subject matter experts are always on hand to help you better understand regulatory requirements and safeguard compliance.

SERVICE

Providing an exceptional client experience is a cornerstone of Mediant's philosophy. As your long-term partner, we provide high-touch service, helping you every step of the way through the investor communications cycle. Our clients gain access to senior teams of experts with unparalleled industry knowledge.

ECONOMICS

Mediant provides compelling economics. Our innovative technology drives efficient operations and low cost structure, which allows us to pass along savings to our clients.

WORLD-CLASS

Custom-Fit Solutions

UNMATCHED PROXY MANAGEMENT

Our dedicated team specializes in mutual fund, variable annuity, and alternative investment proxy services. We expertly manage all aspects of your event from developing a comprehensive timeline customized to your needs to meeting date reporting and beyond. Mediant's consultative approach ensures you achieve your event goals, and delivers meticulous project management services, including:

- Broker search distribution
- File and data management
- Online, real-time meeting reporting
- E-delivery services
- Client-branded document hosting
- Customizable solicitation campaigns
- Tabulation certification and inspector of election services

MEETING SUPPORT

Your dedicated relationship manager is available throughout your proxy meeting for any questions, reporting, or voting issues that may arise.

- On-site meeting support - inspector of elections and registration
- Master and fund specific tabulation results
- Quorum monitoring
- Final tabulation reports and filing

SOLICITATION / CALL CENTER

An in-house dedicated call center will customize a solicitation campaign for each proxy as necessary.

- In-bound and out-bound - agents available to handle both
- Solicitation and proxy event questions
- On-demand NOBO and voted/unvoted reports
- Solicitation data analysis available to condense calling lists and provide savings

PRINT AND MAIL MANAGEMENT

The Mediant end-to-end solution includes a scalable print network that uses intelligent technology to control and minimize print needs. Print and mail services include:

- Piece-level mail tracking
- Householding and bundling options
- Customized proxy card

TABULATION SERVICES

Our integrated tabulation service gives real-time voting results from multiple sources, including registered and beneficial holders as well as solicitor votes.

- Digital voting services (internet and telephone)
- Votes accepted from all industry sources
- Automated overvote tracking

EXPERIENCE THE DIFFERENCE

- Vast print network
- Intuitive technology tools
- Industry's only end-to-end proxy offering
- Local service with national footprint
- Leading digital solutions
- Deep material expertise
- Compelling economics
- Proven performance
- Tailored to your needs