

MEDIANT

Powering the future of investor communications



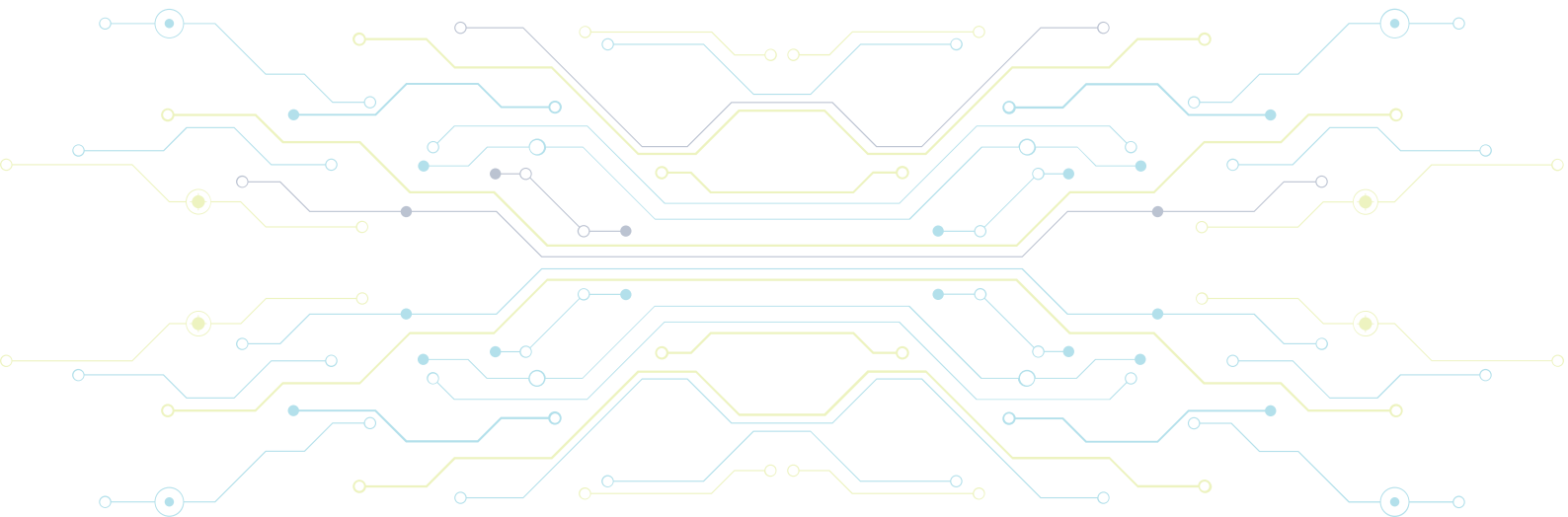
www.mediantinc.com



Introduction



Mediant powers investor communications through intelligent technology and passionate people. We don't settle for the status quo. Mediant actively develops game-changing new technologies for banks, brokers, corporate issuers, funds, and investment advisors. We connect the entire investor communications community through our single, secure platform. Unlock new levels of efficiency and accuracy through the unprecedented transparency of the Mediant platform. Our goal? To help you enrich your customer relationships through better communications.



INTELLIGENT Technology

Whether you're a broker or issuer or other financial institution, having the right technology in place is fundamental to achieving your business and compliance goals. The challenge? Keeping up with the pace of innovation. At the heart of our business is the Mediant platform – a single, integrated platform designed to be flexible and intuitive.

SEAMLESS MIGRATION

Our open architecture combined with expert resources means firms can seamlessly migrate to Mediant's MIC platform. Our implementation team will work closely with you to ensure the onboarding process is smooth and streamlined.

INTEGRATION

Our best-in-class integrated platform enables us to offer complete customization to your specific needs.

REAL-TIME DATA

The transparency of our platform enables you to get real-time data and insight combined with robust reporting.

WEB-BASED TECHNOLOGY

As a 100% SaaS platform, you always have the latest technology and functionality through frequent updates and releases.

ANYTIME, ANYWHERE ACCESS

Quick and easy electronic access to all of our solutions from any device.

INDUSTRY-LEADING SECURITY

Comprehensive cybersecurity program with highly robust, redundant infrastructure platform that provides reliability and security you need. We undergo annual audits and comply with all federal, state, and industry privacy and security regulations.



PASSIONATE People

Mediant gives you the best of both worlds – the proven scale of a large enterprise with the exciting agility of a growing business. By combining intelligent technology with passionate people, we meet our clients' every need. Our customers are paired with senior teams of specialists who have unparalleled industry knowledge. Mediant's subject matter experts help you better understand the most complex regulatory requirements and how our MIC technology platform ensures your compliance.

ABOUT THE MEDIANT TEAM:

Mediant experts have worked with the world's leading banks, brokers, corporate issuers, funds, and investment advisors

Decades of experience solving the most complex investor communications issues

High-touch communicators with a vested interest in clients' success

THE MIC PLATFORM

Mediant's MIC platform hosts a robust suite of applications designed to provide visibility throughout the entire investor communications lifecycle. This way, you can better engage your clients at every touchpoint. The MIC platform enables you to easily track, manage, and take action on real-time communications information.

MIC ADVISOR MAILBOX

Staying one step ahead for investment advisors

- Easily view what documents are sent to which customers
- Alert clients to important communications and help them understand the reasons for these documents

MIC INVESTOR MAILBOX

The one-stop-shop for the online investor

- Convenient online access to all communications in one easy-to-navigate site
- Investors can view proxy ballots, meeting materials, prospectuses, mutual fund reports, corporate action notifications, trade confirmations, tax documents, and more online
- Ability to vote on proxy ballots right from the Mailbox
- Investor education tools to help explain potentially challenging proxy terminology

MIC PROXY MANAGER

Cutting-edge web voting for managed accounts

- Easy-to-use online tool to vote ballots and create reports for multiple accounts
- Makes proxy voting and reporting easy and reliable, supported by automated account management

MIC OPERATIONS MANAGER

Transparency and compliance for the operations manager

- Ability for operations managers to monitor and audit every job and every document distributed to their customers
- Full transparency, oversight, and compliance by giving you a window into every investor communication to customers
- Track jobs from beginning to end: set-up, materials procurement, record-date positions, delivery dates, and more

WHAT We Do

Mediant offers a wide range of solutions for the investor communications lifecycle, all via our single, integrated MIC platform. Whether you're a financial advisor or a back-office professional, our centralized platform gives you access to the real-time, actionable information you need.

MEDIANT PROVIDES A COMPREHENSIVE SUITE OF SOLUTIONS FOR:

PROXY

- Highly scalable print, electronic, and mail management
- Extensive data analytics, robust tabulation, and reporting
- End-to-end proxy meeting support

CORPORATE ACTIONS

- Custom-branded print and electronic corporate action communications
- Electronic corporate action documents and source materials stored online

REGULATORY REPORT DISTRIBUTION

- Robust in-house fulfillment for control over entire regulatory report distribution process
- Automated process and better insight and tracking

STATEMENTS & TRADE CONFIRMS

- Flexible, scalable management of statement and trade confirms
- Secure and on-time distribution and delivery

ANYTIME, ANYWHERE ACCESS

- Quick and easy electronic access to all of our solutions from any device.

FULFILLMENT SERVICES

- Technology-enabled print, mail, and fulfillment services
- Secure facility successfully processes and distributes millions of investor communications documents each year

REGULATORY COMPLIANCE SERVICE

- Comprehensive business rules surrounding applicable regulatory requirements
- Regulatory updates and support from compliance specialists

TURN-KEY E-DELIVERY & ARCHIVING

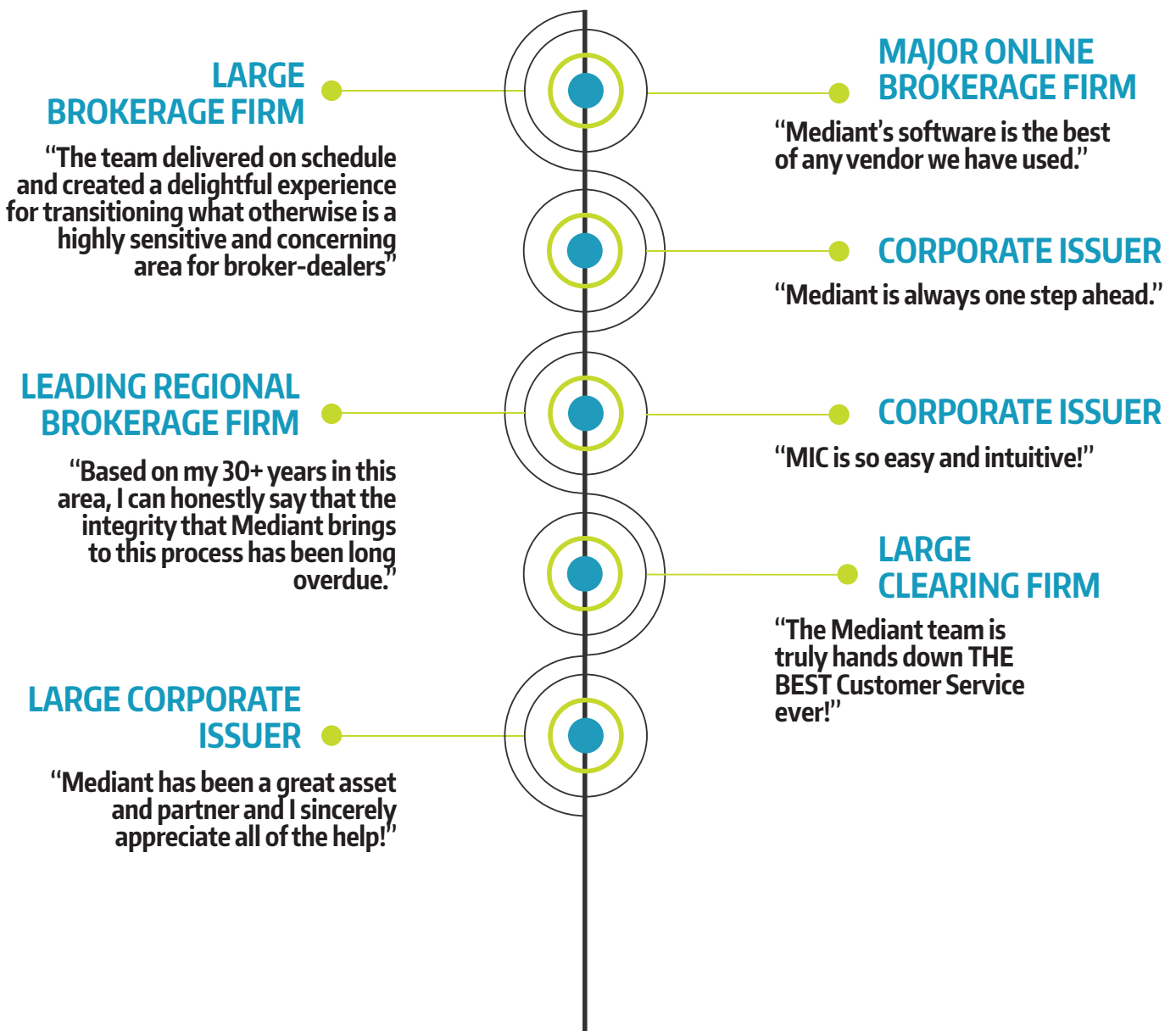
- SEC-compliant electronic delivery and document archive solution
- Streamlined e-consent process with custom, user-friendly sites

PROSPECTUS SERVICES

- Comprehensive in-house print and mail system for timely and efficient prospectus delivery
- Complete and compliant support for T+1 prospectus fulfillment, pre-sale delivery, combined confirms

CLIENT Testimonials

At Mediant, we pride ourselves on longstanding partnerships with our clients. We're in the business of solving problems on behalf of our customers. Our teams are extensions of your teams and are 100% committed to going above and beyond to meet your unique needs.



DISCOVER
Mediant

For more than a decade, Mediant has delivered investor communications and technology solutions to leading banks, brokers, corporate issuers, funds, and investment advisors. To learn more about how our intelligent technology and passionate people can transform your investor communications, contact our expert team.

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